



# Project CPM Scheduling Fundamentals

October 25, 2017

Presented to:



**2017 REGIONAL BUILDING CONSTRUCTION CONFERENCE**  
**by Department of General Services & PMI, Montgomery County Chapter**

Presented by:



# Agenda

- I. Introduction – Back to Basics
- II. Development of the Schedule
- III. Basic Scheduling Computations
- IV. Practical Applications
- V. Delay Analysis
- VI. Communicating Complex Analyses To The Non-technical Audience

# I. Introduction

- Schedule
  - A plan of procedure listing the sequence of events and the time allotted for each. <sup>1</sup>
  - Time sequence of activities and events. <sup>2</sup>
- Scheduling
  - To make a schedule. <sup>3</sup>

<sup>1</sup> Webster's Dictionary – ISBN 0-345-40095-X

<sup>2</sup> The Contractors' Dictionary – ISBN 0-471-11523-1

<sup>3</sup> Webster's Dictionary – ISBN 0-345-40095-X



# I. Introduction

- Planning

- The process of preparing for the commitment of resources in the most effective fashion.
- Planning can be thought of as determining “what” is going to be done, “how,” “where,” and by “whom.”
- In Scheduling, this information is needed in order to determine “when.” <sup>4</sup>

<sup>4</sup> Construction Planning and Scheduling – ISBN 13: 978-0-13-247398-9

# I. Introduction

- Estimating

- To form an approximate judgement regarding the worth, amount, size, etc. <sup>5</sup>
- “To determine the probable cost of the project, construction estimates are prepared before a project is constructed. Thus, an estimate is, at best, a close approximation of the actual cost.” <sup>6</sup>

<sup>5</sup> Webster’s Dictionary – ISBN 0-345-40095-X

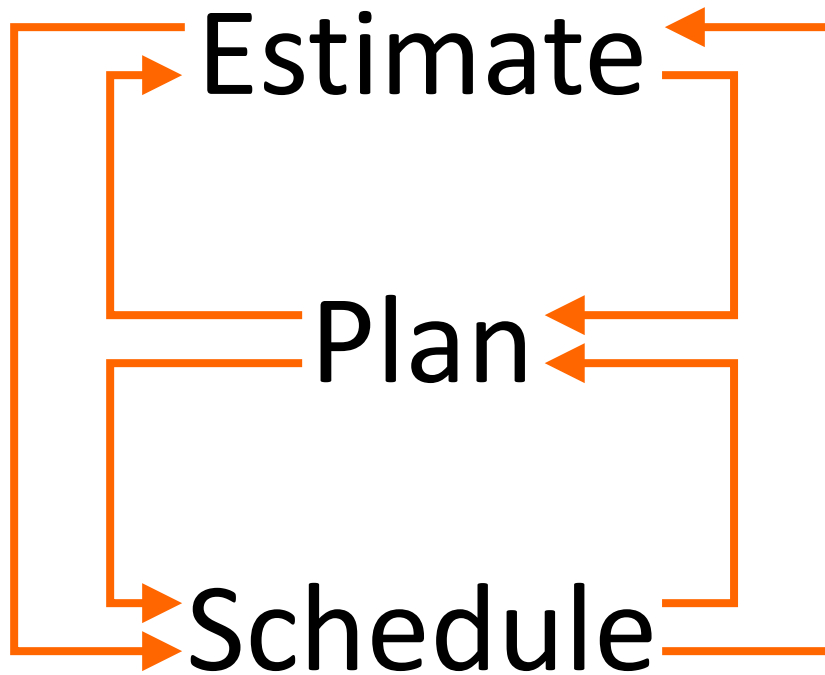
<sup>6</sup> Construction Planning and Scheduling – ISBN 13: 978-0-13-247398-9

# I. Introduction

- Estimating
  - “The soundness of the completed estimate depends on the following two factors: (1) the accuracy of the quantity take-off and (2) the judicious selection of the unit costs and production rates to be used.” <sup>7</sup>

<sup>7</sup> Construction Planning and Scheduling – ISBN 13: 978-0-13-247398-9

# I. Introduction

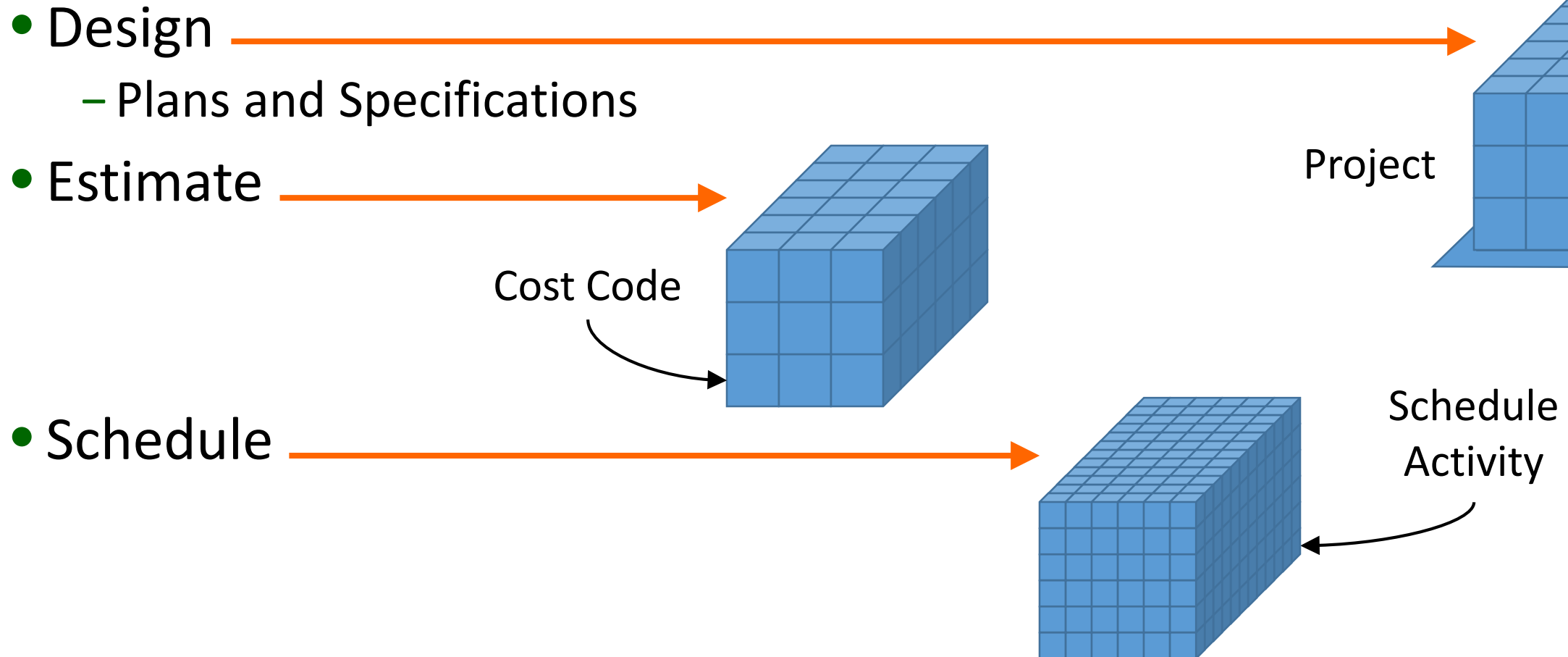


“inextricably related”

“an iterative process”

# I. Introduction

## Humpty Dumpty Syndrome



# I. Introduction

## Humpty Dumpty Syndrome

- Design — Plans and Specifications

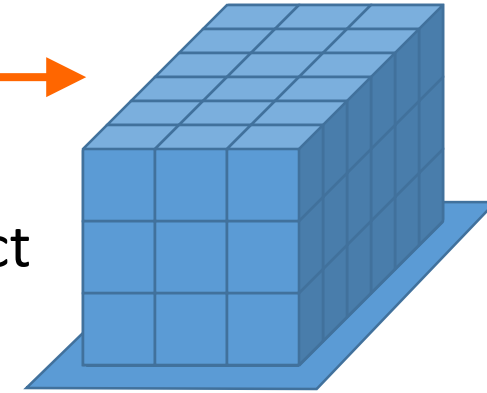
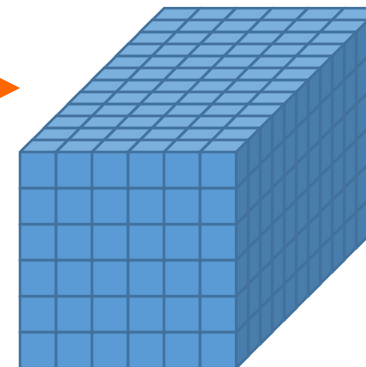
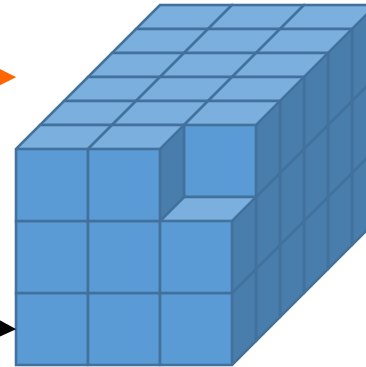
- Estimate

Cost Code

- Schedule

Project

Schedule  
Activity



# I. Introduction

## Humpty Dumpty Syndrome

- Design — Plans and Specifications

- Estimate

Cost Code

- Schedule

Project

Schedule Activity

# I. Introduction

- Scheduling
- Planning
- Estimating

3 inextricably related terms in Project Management

3 different functions



# I. Introduction

## The Schedule

- What is the purpose of a Construction Schedule?
  - “When used properly, the project schedule is effective in project management, analysis, control, and overall performance, not just as a device for telling subcontractors where to move their workforces.”
  - “Network-Based project management methodology (Schedule) is a dynamic planning and control procedure.”

# I. Introduction

## Basics and Definitions

- Planning and Scheduling
  - Different
  - Distinction in Construction Management/Scheduling
    - Planning – What, how, where, and by whom
    - Scheduling – When
  - Need Planning first to develop a schedule

# I. Introduction

## Basics and Definitions

- Major objective of project planning
  - Completely define all work required
    - If project well understood, easier to plan
    - Not well understood -> changes in resource allocation, schedule, budget, etc.
    - Risk is scope not well defined at beginning

# I. Introduction Project(s)

- A **project** is a set of tasks or activities related to the achievement of some planned objective, where that planned objective is normally **unique**.

# I. Introduction Project(s)

Every Project is Unique

# I. Introduction Project(s)

- Projects are
  - Finite
  - Complex
  - Homogeneous
  - Non-repetitive

# I. Introduction Project(s)

- Finite

- Specific definable beginning and end point
- End point = all project goals are met

# I. Introduction Project(s)

- Complex

- A “non-trivial” number of activities
- Concurrent/success and uses resources

- Activity

- A unique unit of the project which can be described within prescribed limits of time.
- Any portion of a project which consumes resources and has a definable beginning and ending.



# I. Introduction Project(s)

- Homogeneous
  - Activities “belong” to the project.

# I. Introduction Project(s)

- Non-repetitive
  - Unique

# I. Introduction

- Activity

- A unique unit of the project which can be described within prescribed limits of time.
- Any portion of a project which consumes resources and has a definable beginning and ending.

# I. Introduction

- Types of Activities
  - Production
  - Procurement
  - Management Decisions

# I. Introduction

- Responsibility for Activities
  - Contractor
  - Owner
  - Designer
  - 3<sup>rd</sup> Party

# I. Introduction

- Critical Path

- Many definitions...most are incomplete.
- The critical path is the longest path to a point in the Schedule (usually the end).
  - Shortest?
  - Longest?

# I. Introduction

## Historical Development of Construction Schedules

- Early forms of scheduling date back over 3000 years to the construction of Egyptian pyramids.
- 1765: Joseph Priestley first developed the bar chart to plot the lifetimes of various famous people.
- 1759-1823: William Playfair is the first to develop a range of statistical charts including line, bar, and pie charts.
- 1896: Polish researcher Karol Adamiecki developed the Harmonygraph (Harmonogram).

# I. Introduction

## Historical Development of Construction Schedules

- 1910's: Henry L. Gantt developed the Gantt Chart.
  - Similar to the Harmonygraph, but more accessible and versatile
- 1950's: Network-Based Scheduling Methodologies.
  - 3 Developments
    - Central Electricity Generating Board, Great Britain (1957-1960)
    - Polaris Missile Project (1958)
    - DuPont Company (1956-1959)



# I. Introduction

- CPM: Critical Path Method
  - Developed by DuPont & Remington Rand Univac
  - Activity durations are “fixed” / “deterministic”
  - Objective to determine how best to reduce the time required to perform plant overhaul

# I. Introduction

- CPM: Critical Path Method
    - Involves both a graphical portrayal of the interrelationships among the elements of a project (activities), and an arithmetic procedure which identifies the relative importance of each element (activity) in the overall schedule.
    - “Importance” with respect to timely completion.
- Network

# I. Introduction

- PERT: Program Evaluation and Review Technique
  - Developed by US Navy & Booz Allen Hamilton
  - Includes uncertainty in calculations (optimistic time, pessimistic time, and mostly likely time)
  - Developed for schedules containing activities with uncertain durations
  - Probabilistic method
  - Requires more technical understanding than CPM

# I. Introduction

- Construction Industry
  - Utilizes CPM almost exclusively
  - Recent trends towards risk analysis in CPM

# I. Introduction

- Historical Development of Construction Schedules
  - Three “Generations”

# I. Introduction

- Generation 1

- Bar Charts
- Harmonygraphs
- Intuition/Experience

1950

---

- Generation 2

- Network Based Schedule Methods
- Graphical and Mathematical Procedure


1990

---

- Generation 3

- Expansion of Logic

# I. Introduction

- Elements of a Construction Schedule
  - Activities
  - and how they are connected  **Logic**

# I. Introduction

- Fundamental Activity Attributes
  - Description – Name that provides insight into scope/location.
  - Activity ID – Used by computer to recognize activities.
  - Duration – An estimate of the time required to complete the scope of work included in the activity.
  - Logic – Defines the relationship between/among the activities.
  - Float



# I. Introduction

- Float

- What is float?

- Measure of that “relative importance” discussed earlier.
    - Measure of an Activity’s flexibility with respect to when it is performed.
    - More later...

# I. Introduction

- Logic

- Simple Precedence

- What has to be completed before the Activity can start?
      - Predecessor(s)
    - What activities can not start until the Activity is finished?
      - Successor(s)

# I. Introduction



# I. Introduction

- Network-Based Schedules (CPM)
  - Consists of 2 main components
    1. Network – a graphical portrayal of the plan for carrying out the work (schedule) showing the dependency relationship among the project activities.
    2. Arithmetic Procedure (Scheduling Computations) – an algorithm is applied, based on network logic, to determine how long the project is expected to take and when each activity may be scheduled.

# I. Introduction

- Software
  - Microsoft Project
  - Primavera – By Oracle (P6 Professional)\*

# I. Introduction

- Primavera Conventions

Midnight

By convention, all  
activities start 1 click  
after midnight

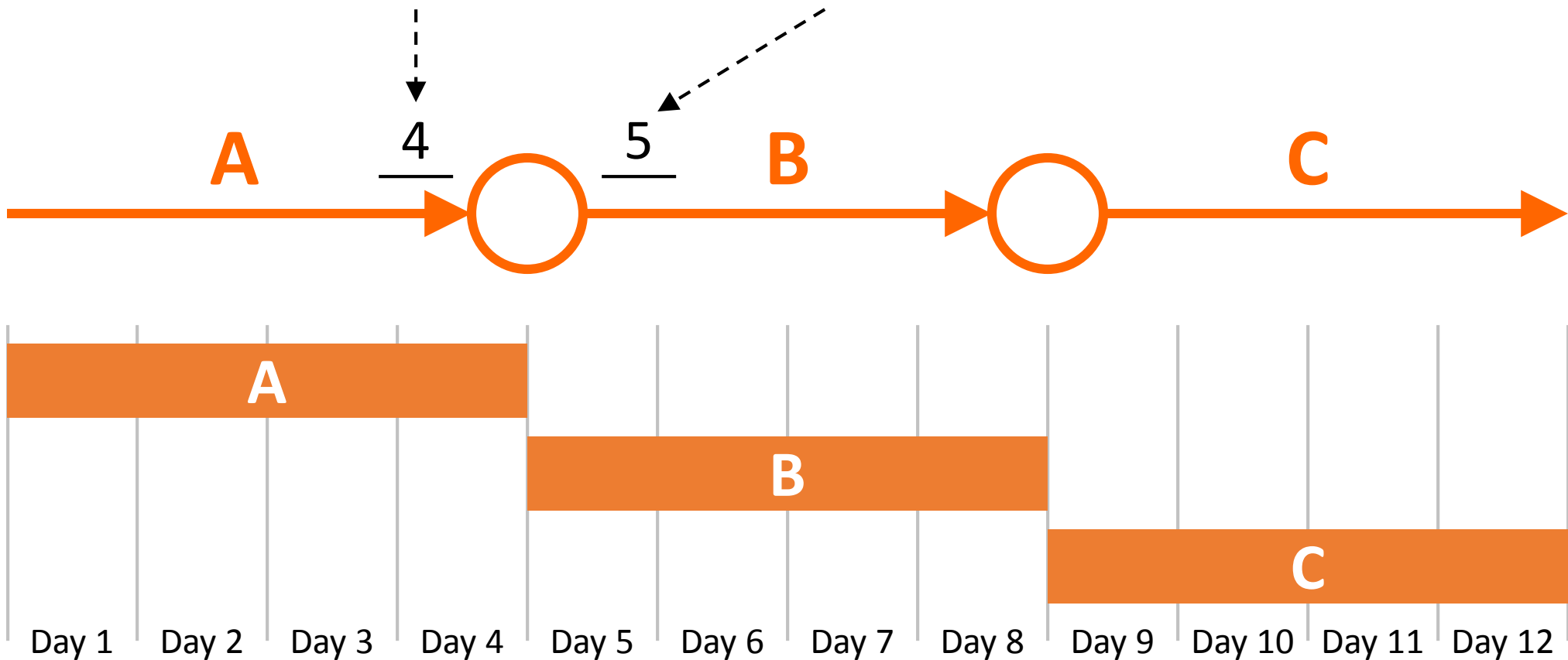
Midnight

By convention, all  
activities end 1 click  
before midnight

Day X

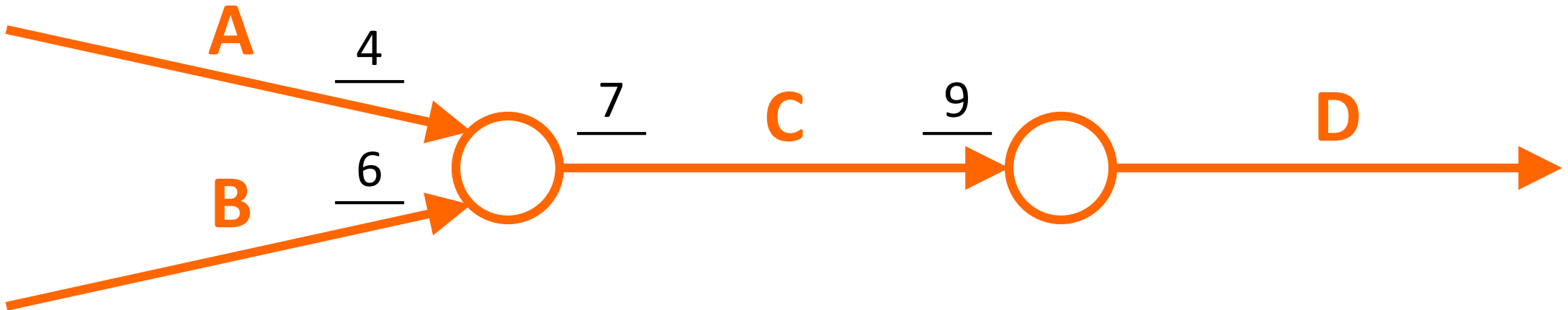
# I. Introduction

- If A ends on day 4, when can B start?



# I. Introduction

- When can C start?



- If C's duration is 3 days, when will C finish?



# I. Introduction

- Arithmetic Procedure

- Forward Pass

- Beginning at a specified project start time, proceed sequentially from the beginning to the end of the project giving the earliest (expected) start and finish times for each activity.

- Backward Pass

- Beginning at the end of the project, proceed sequentially from the end to the beginning of the project giving the latest allowable start and finish times for each activity.<sup>8</sup>

<sup>8</sup> Project Management with CPM, PERT and Precedence Diagraming – ISBN 0-960-63448-7

# I. Introduction

- The Forward Pass answers the question:
  - When can this activity start? (earliest time)
- The Backward Pass answers the question:
  - When must this activity finish? (latest time)

# I. Introduction

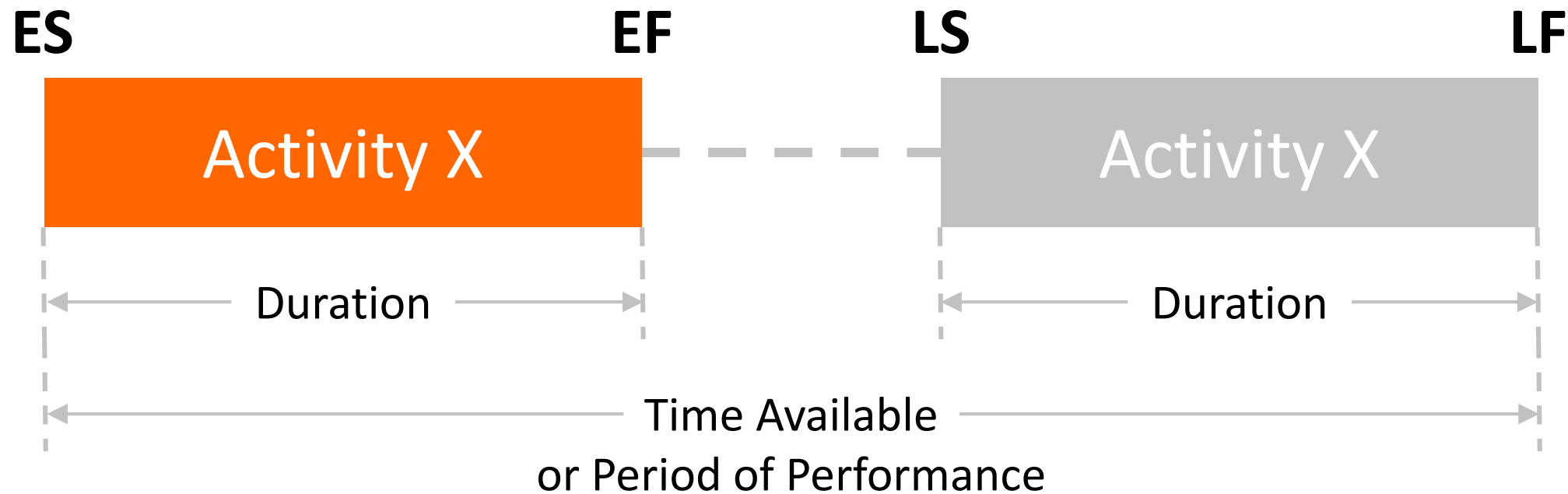
- Forward Pass
  - Early Start (ES)
  - Early Finish (EF)
  - Project Duration
- Backward Pass
  - Late Start (LS)
  - Late Finish (LF)
  - Float



**Critical Path**

# I. Introduction

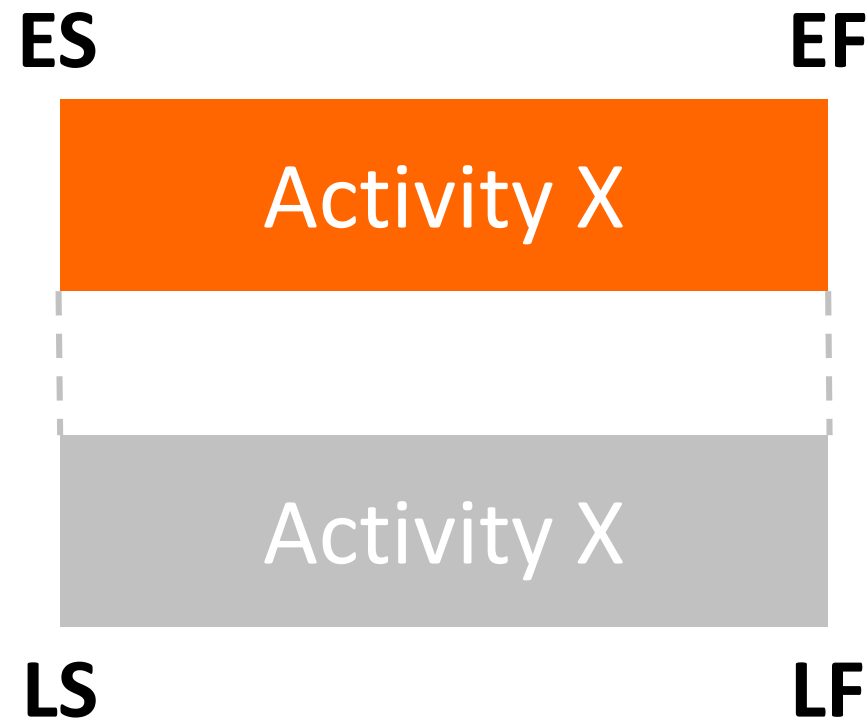
- The Four (4) Activity Times



- Float = LS-ES or LF-EF

# I. Introduction

- What if?



- Float = 0

# I. Introduction

- Generation 3 – Network Based Schedules (CPM)

- The real world, today

- Graphic

- Utilizes Activity-On-Arrow Diagrams

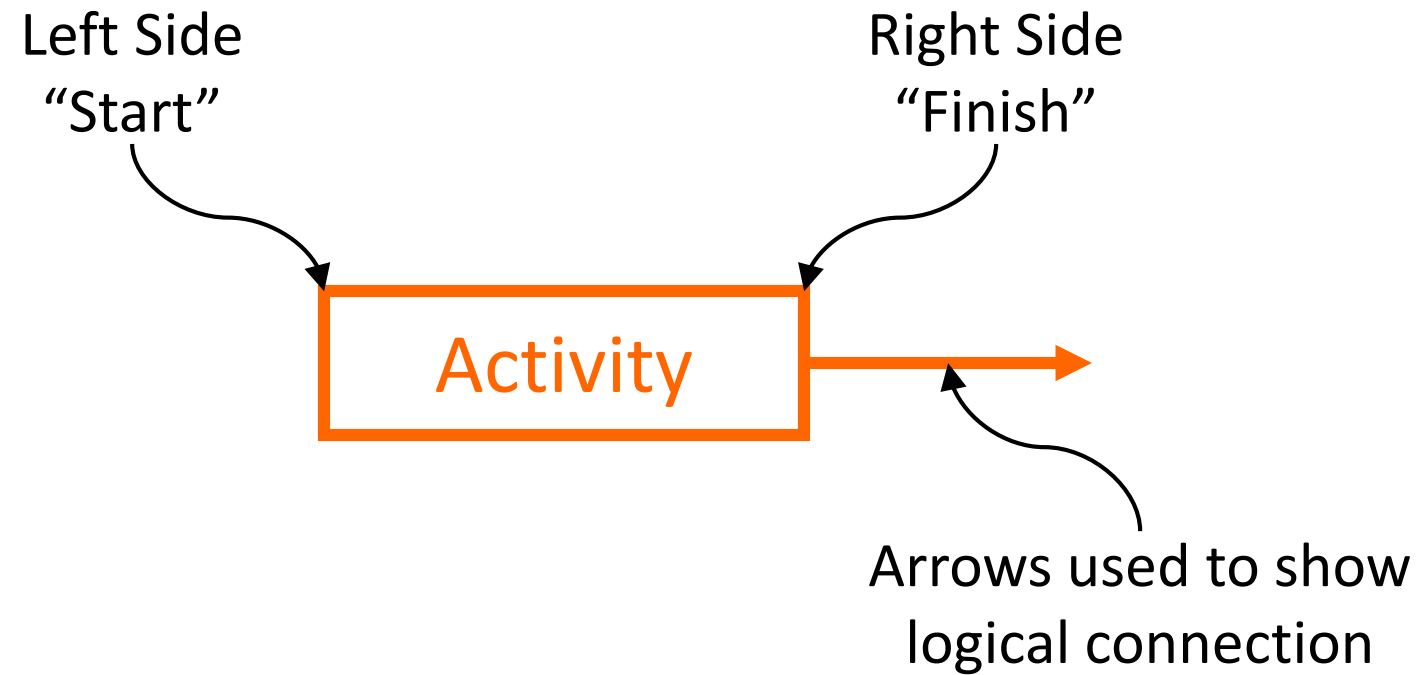


- Arithmetic Procedure

- Based on enhanced logic

# I. Introduction

- Primavera Conventions



# I. Introduction

- Primavera Convention

ES	EF
ACT ID	TF
	OD
ACT DES	RD
	LF
LS	LF



# I. Introduction

- Generation 3

- Enhanced / Additional Logic

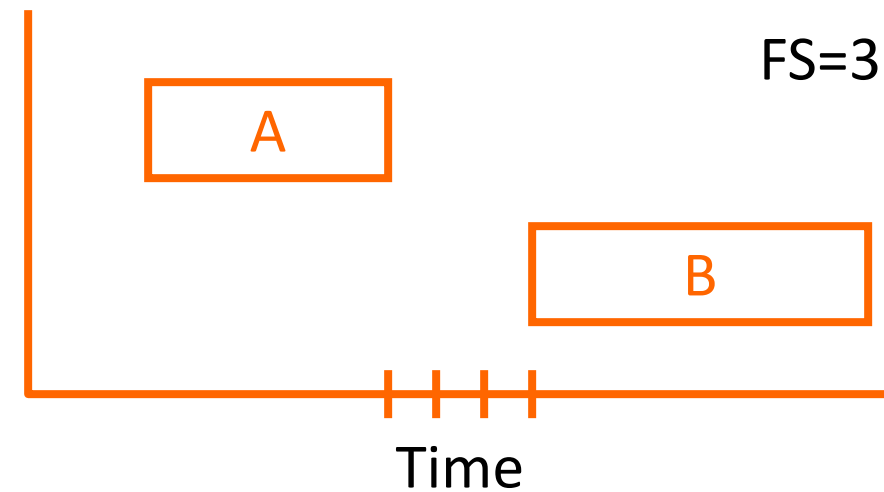
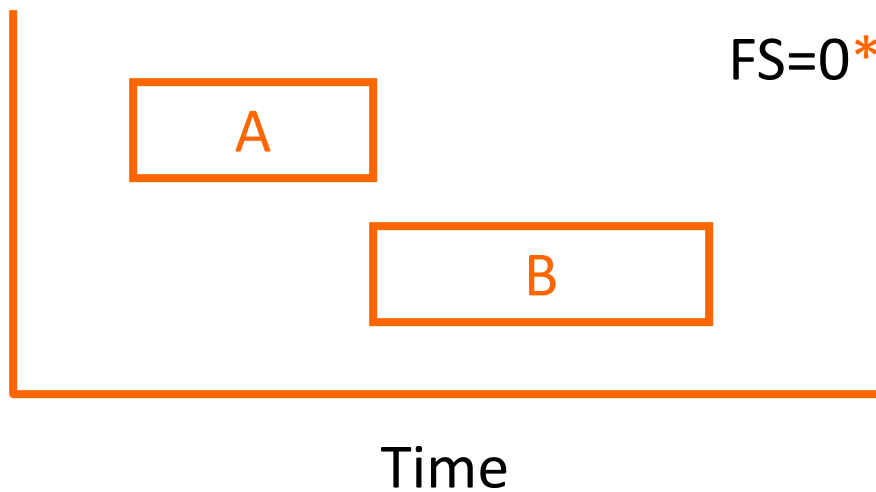
- Finish-Start (FS) (simple precedence – 1<sup>st</sup> generation)
    - Start-Start (SS)
    - Finish-Finish (FF)



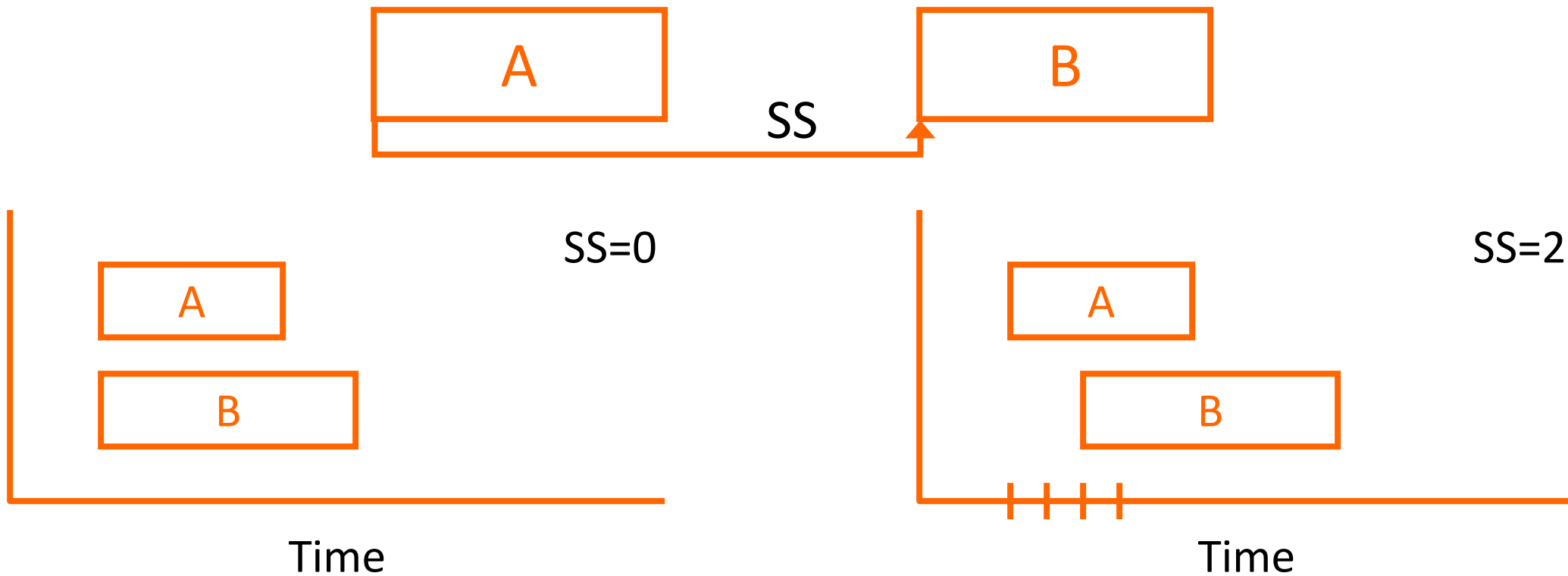
- Lag

# I. Introduction

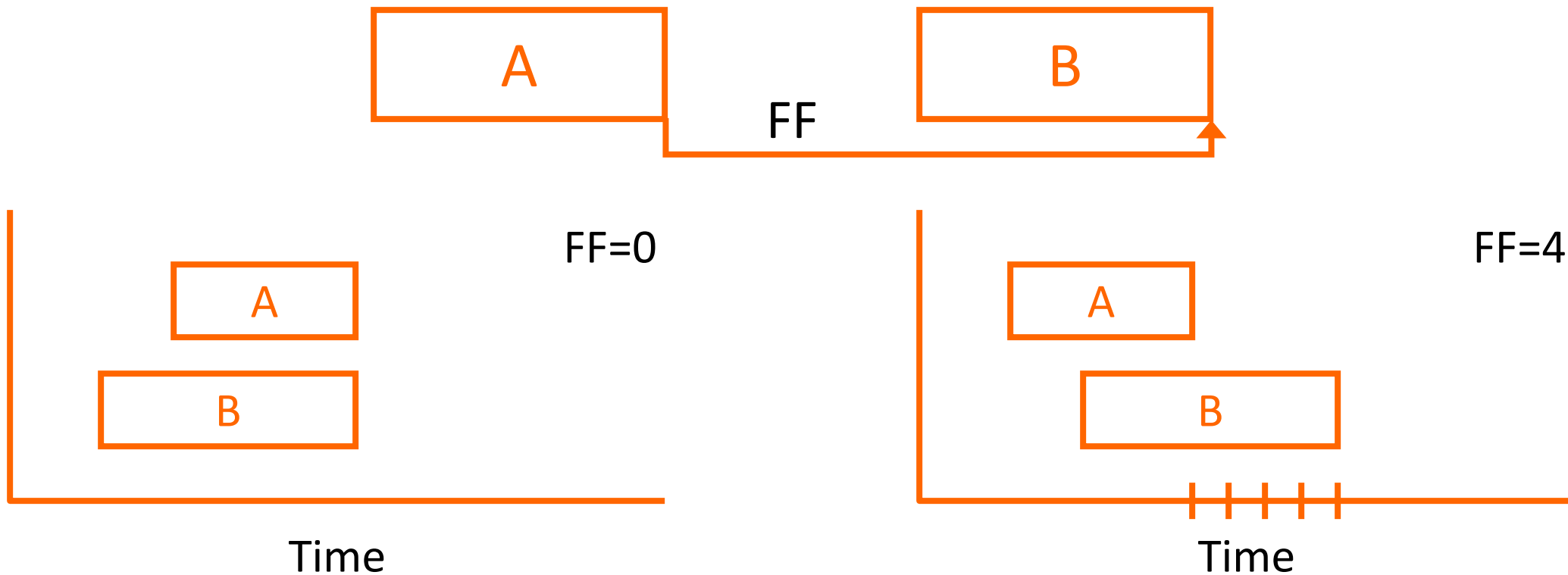
## \* Simple Precedence



# I. Introduction



# I. Introduction



# I. Introduction

- Categories of Schedules
  - As-Planned (aka. Baseline or Initial)
  - Updated
  - Refined
  - “As-Built”

# I. Introduction

- A CPM Construction Schedule is a model of how the project is planned to be built.
- “Project is to be constructed in accordance with the Schedule.”

## II. Development of the Schedule

## II. Development of the Schedule

- Identify Contractual Requirements (e.g., required finish date)
- Break the project down into activities (Activity List)
- Develop Logic between/among activities
  - Determine Predecessors/Successors
  - Determine relationship types
  - Determine lag values
- Develop Network



## II. Development of the Schedule

- Estimate Original Durations (based on Planning/Estimating Assumptions)
- Add durations to Network (Network + Durations = Schedule)
- Perform Scheduling Computations
  - Forward Pass
  - Backward Pass
- Time/Cost Tradeoff (iterative process to meet contractual requirements)

## II. Development of the Schedule

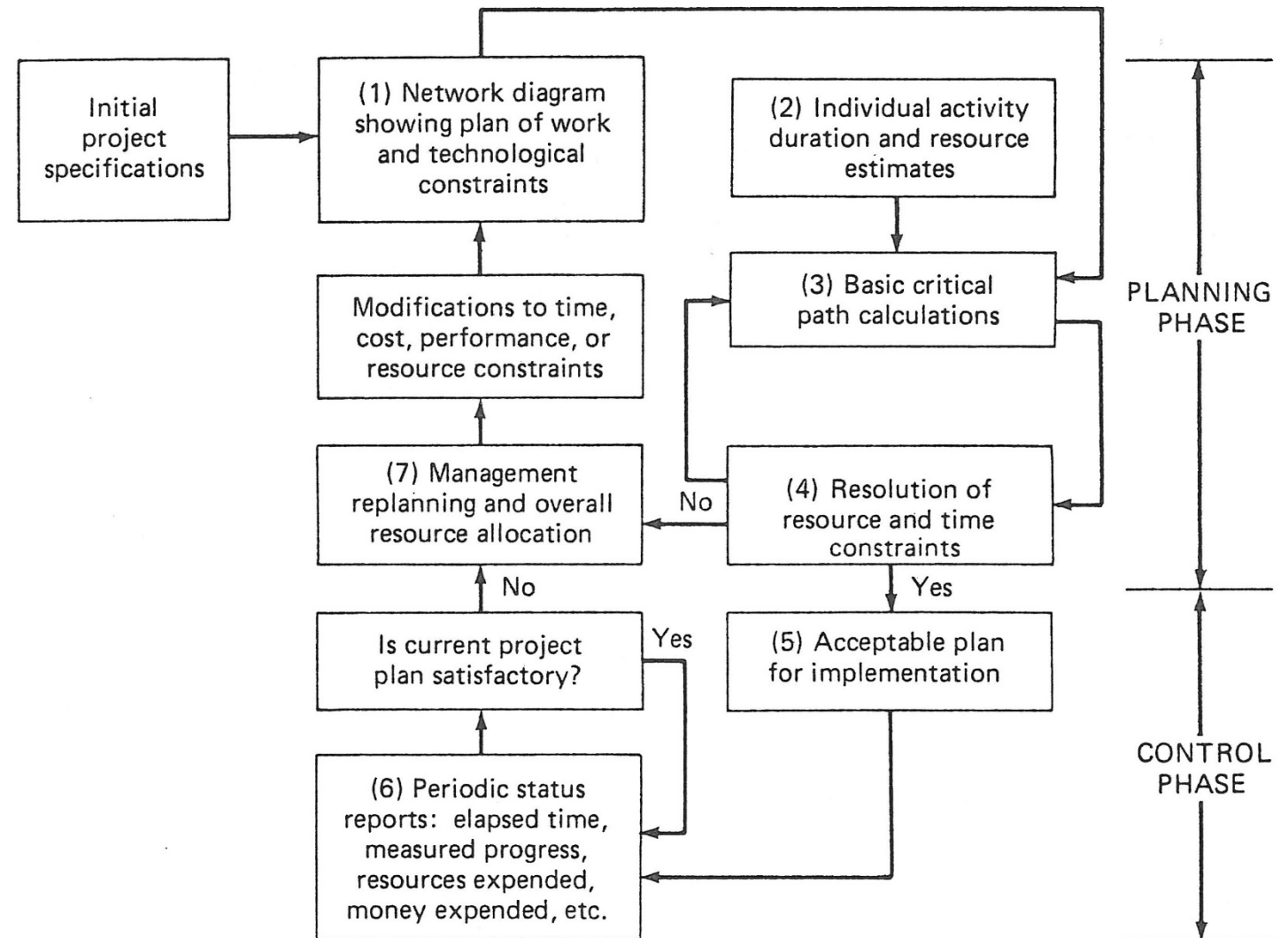
- “Scheduling Computations”
- “Calculating the Schedule”
- “Schedule”



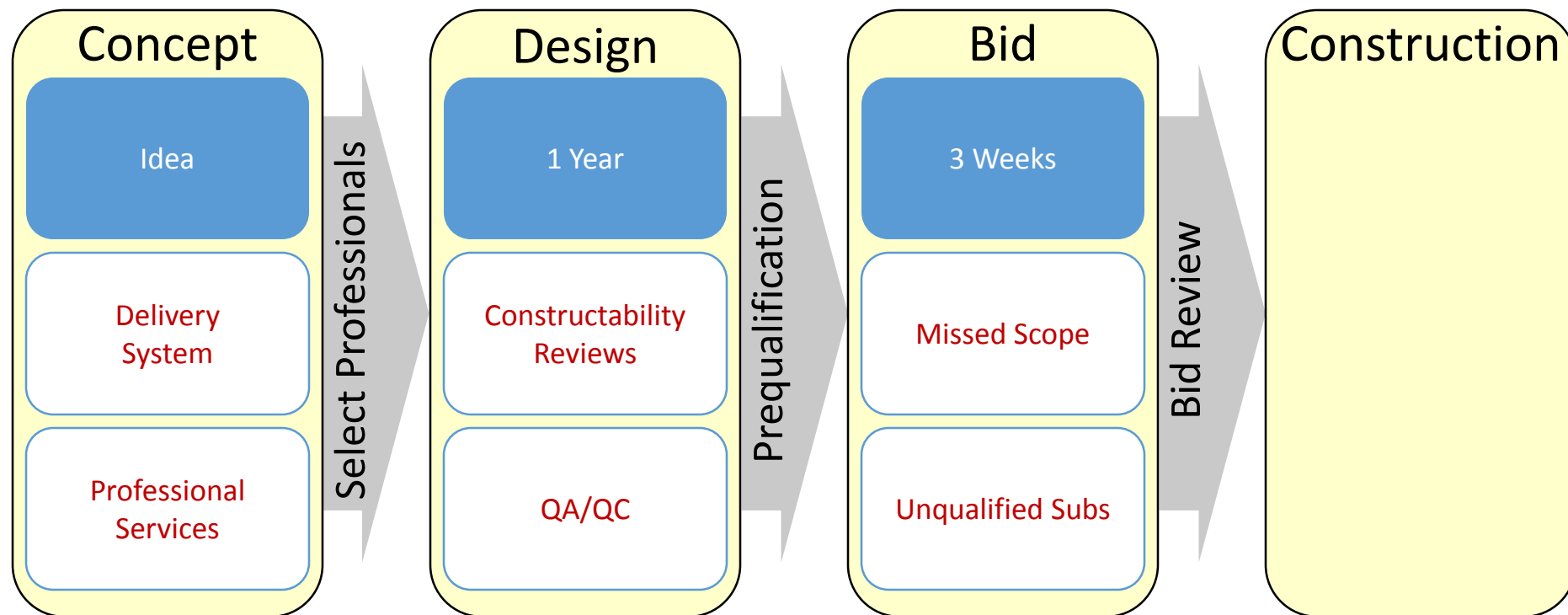
Perform Forward  
and Backward Pass

## II. Development of the Schedule

- Dynamic network-based planning and control procedures



## II. Development of the Schedule Project Phases



## II. Development of the Schedule

- When is a schedule developed?
- During 3-week bid?
- Makes No Sense!
- When should a schedule be developed?

## II. Development of the Schedule

- Concept Phase
  - Schedule
  - Budget
  - Manage Expectations (of client/end-user)
  - Select Delivery System (roles of professionals)
  - Select Design Professionals

## II. Development of the Schedule

- Design Phase
  - Control Client
  - Control Design Team
  - Constructability (Contractor)
  - Contract (Define Standard of Care) – Use Initial Decision Maker (IDM)
  - Control Changes
  - QA/QC Inspection
  - Peer Review
  - Dispute Resolution (AIA, DRB)
  - Schedule

## II. Development of the Schedule

- Bid Phase
  - Prequalification
  - Allow appropriate Bid Time
  - Manage Addenda
  - Control Client, Design Team, Changes
  - Requisite preparation of Schedule
  - Schedule?



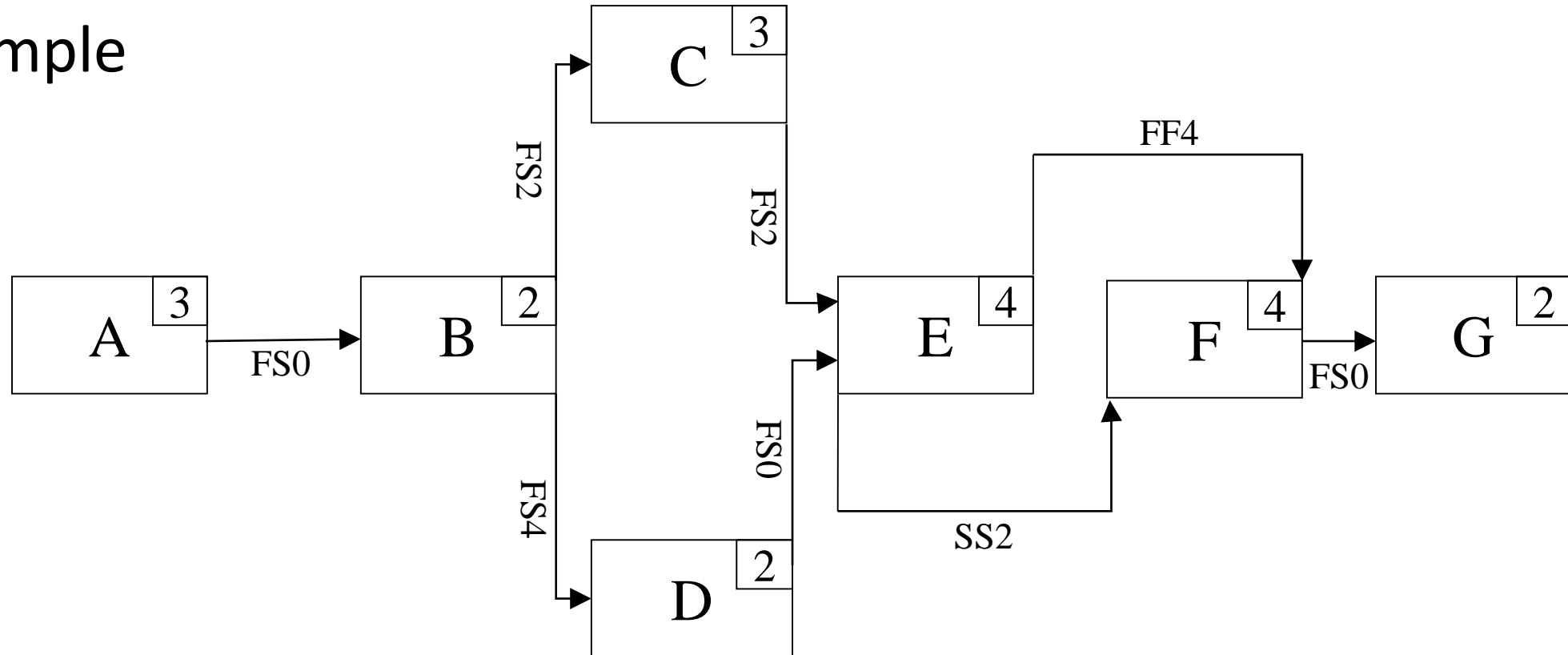
## II. Development of the Schedule

- Construction Phase
  - Schedule / Budget (Done)
  - Control Client
  - Control Design Team
  - Constructability
  - Change Management
  - Consistency [Contract Enforcement]

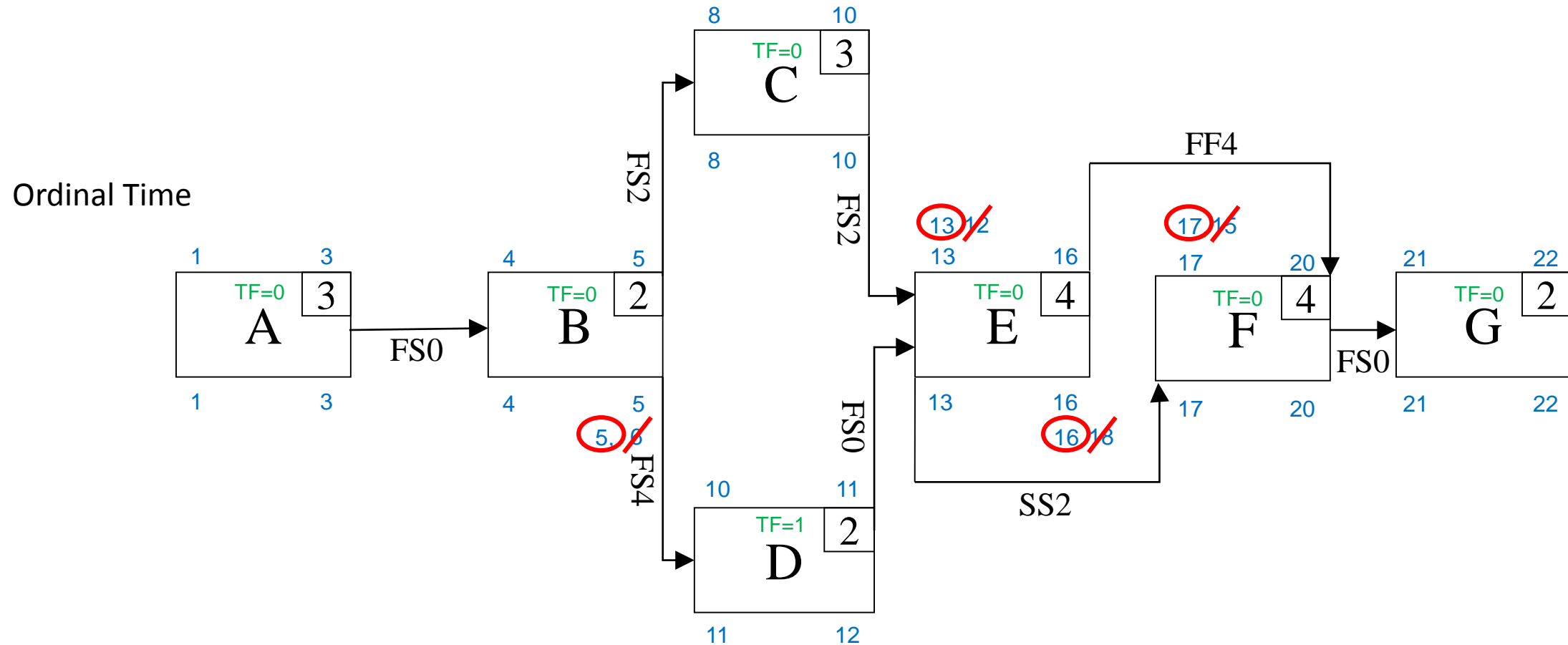
# III. Basic Scheduling Computations

# III. Basic Scheduling Computations

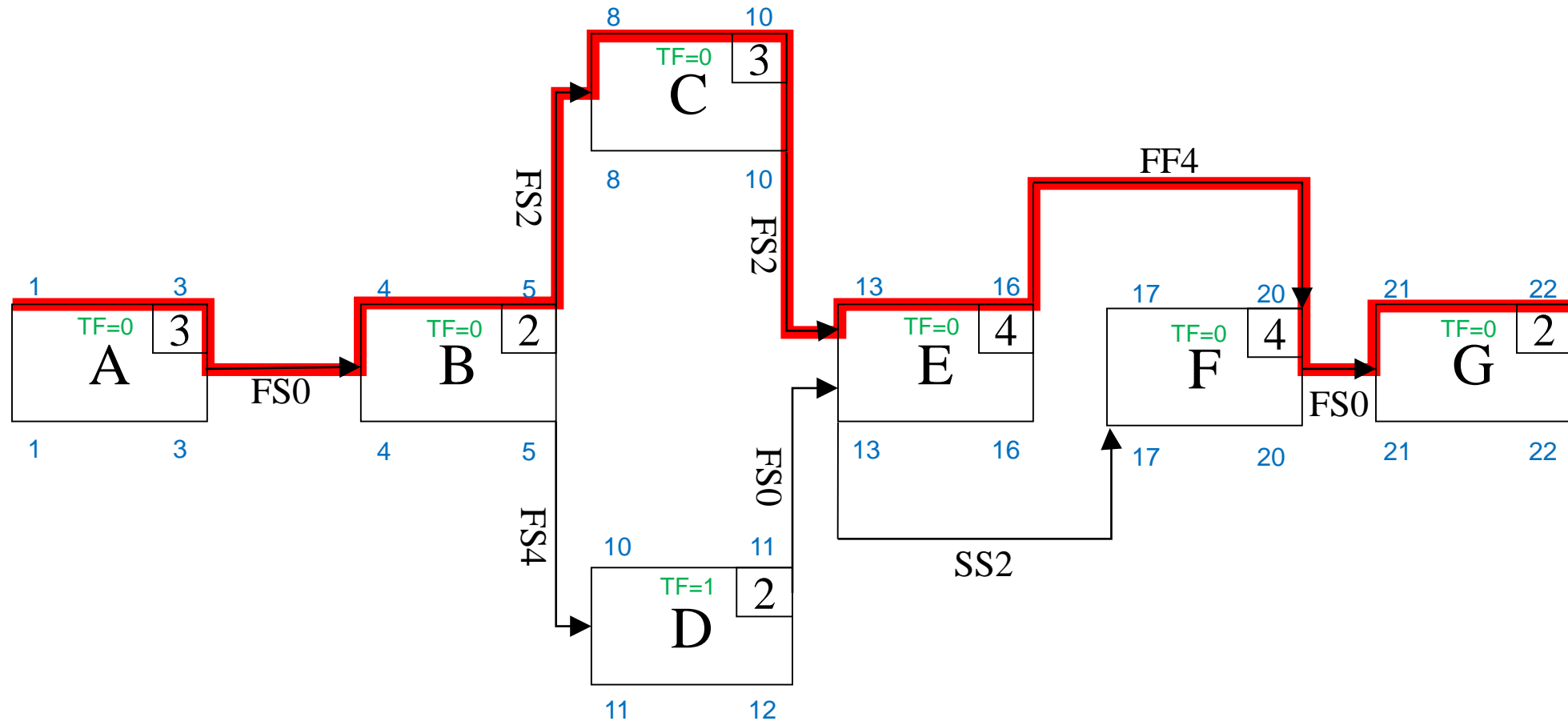
- Example



# III. Basic Scheduling Computations

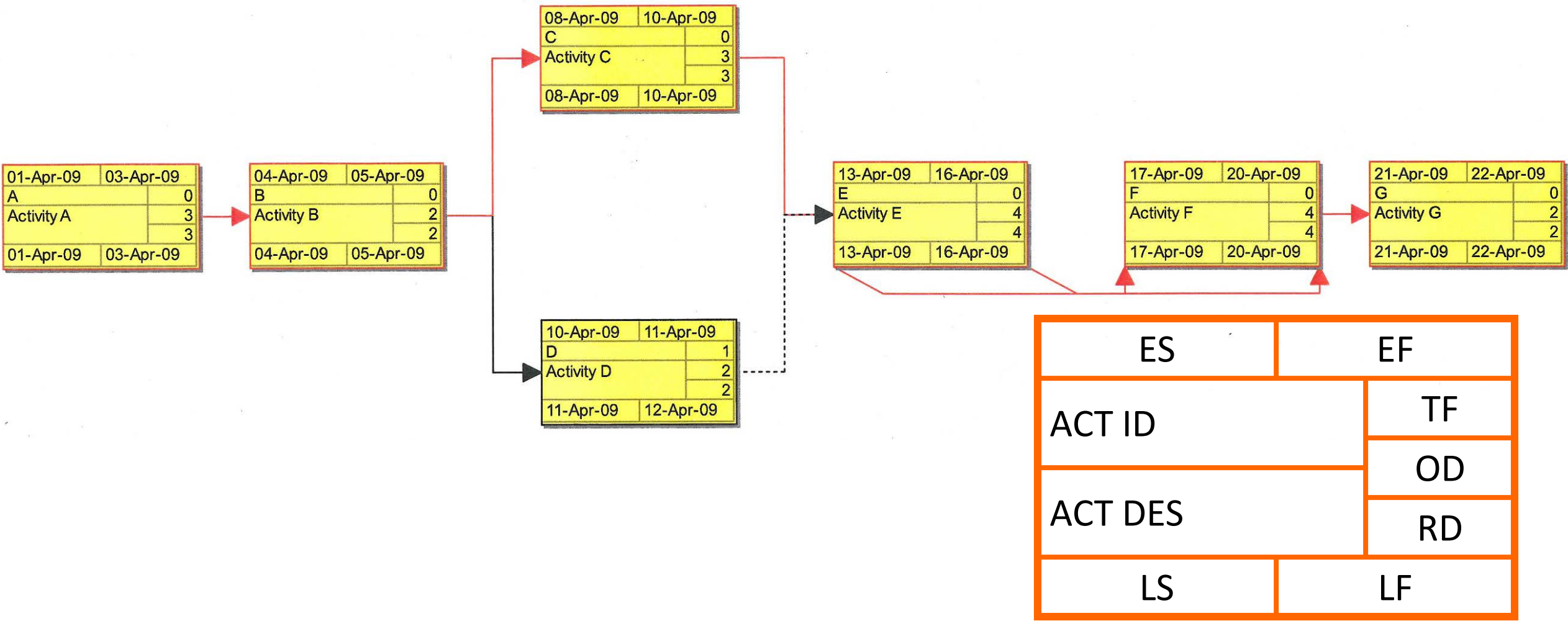


# III. Basic Scheduling Computations



**CRITICAL PATH: A-B-C-E-F-G**

# III. Basic Scheduling Computations



# III. Basic Scheduling Computations

- Comments on:
  - Calendars
  - Weather
  - Float
  - Critical Path

# IV. Practical Applications



## IV. Practical Applications

- Project Management

- Management – a process concerned with the achievement of goals or objectives
- Project Management – involves the coordination of group activities wherein the manager

- Plans
- Organizes
- Staffs
- Directs
- Controls

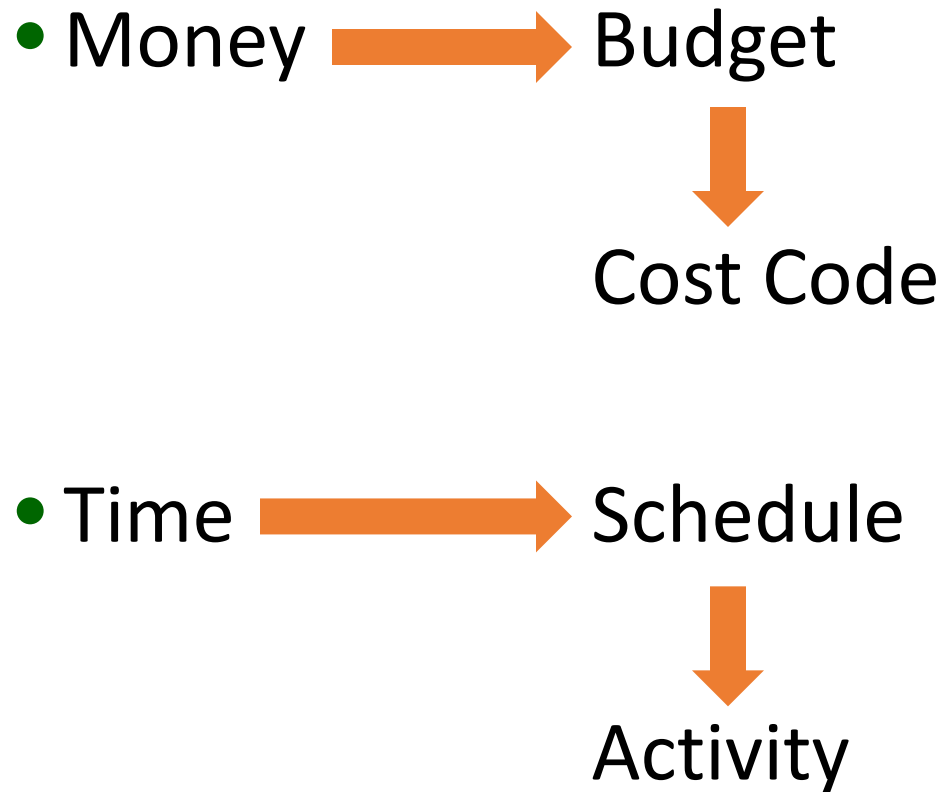
to achieve an objective with constraints on time, cost, and performance of the end product.

## IV. Practical Applications

- Project Management
- Scheduling is one component of Project Management System
- Question: How is your project doing?
  - Money
  - Time

Answer must be integrated

## IV. Practical Applications



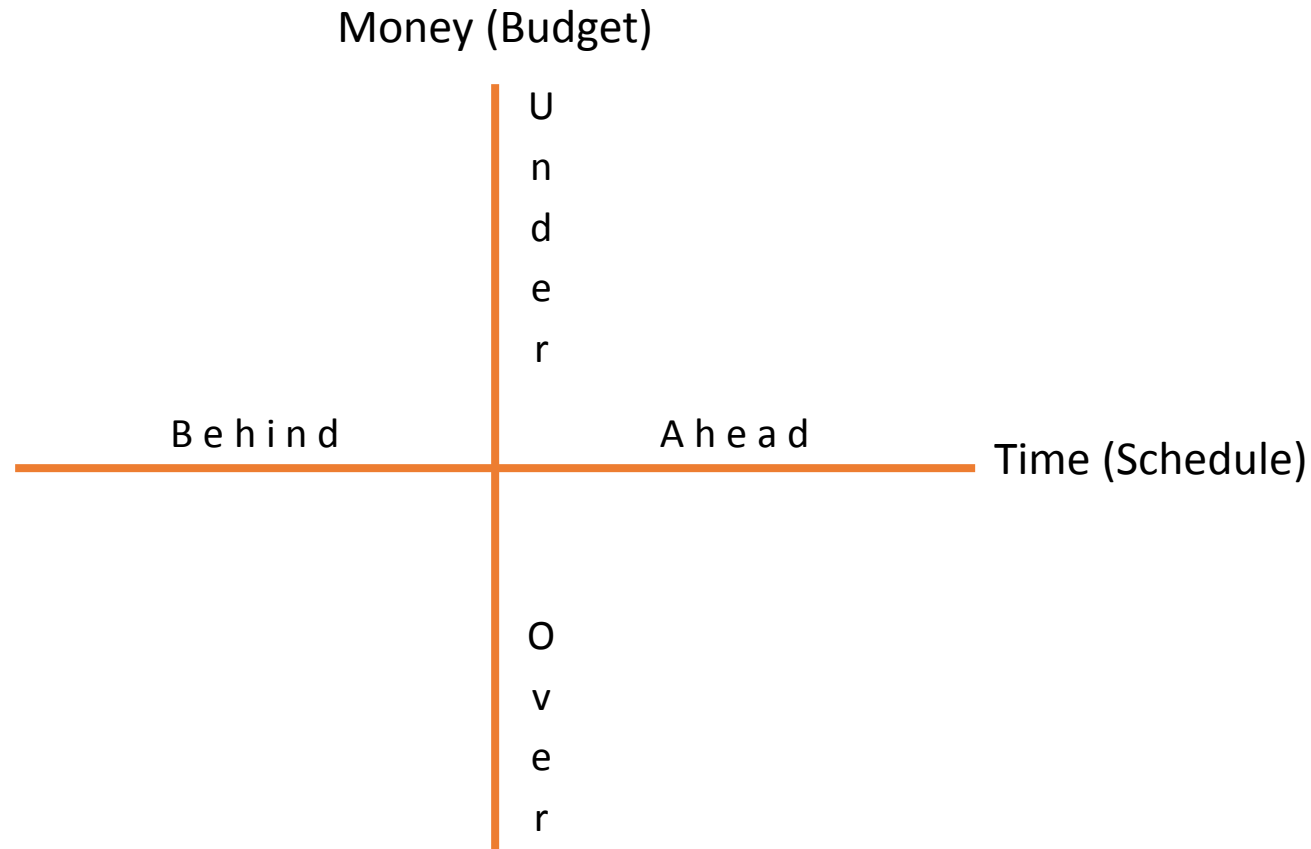
## IV. Practical Applications

- Comparative Analysis

Plan  Actual

## IV. Practical Applications

- Comparative Analysis



## IV. Practical Applications

- Project Management Functions
  - Planning
  - Scheduling
  - Monitoring
  - Controlling

## IV. Practical Applications

- Controlling
  - The process of making events conform to schedules by coordinating the action of all parts of the organization according to the plan

## IV. Practical Applications

- How do you measure success?
  - On Time
  - On Budget
  - Acceptable Quality
  - Acceptable Function
  - Safety
  - No Claims
  - No Lawsuits



## IV. Practical Applications

- What is failure?

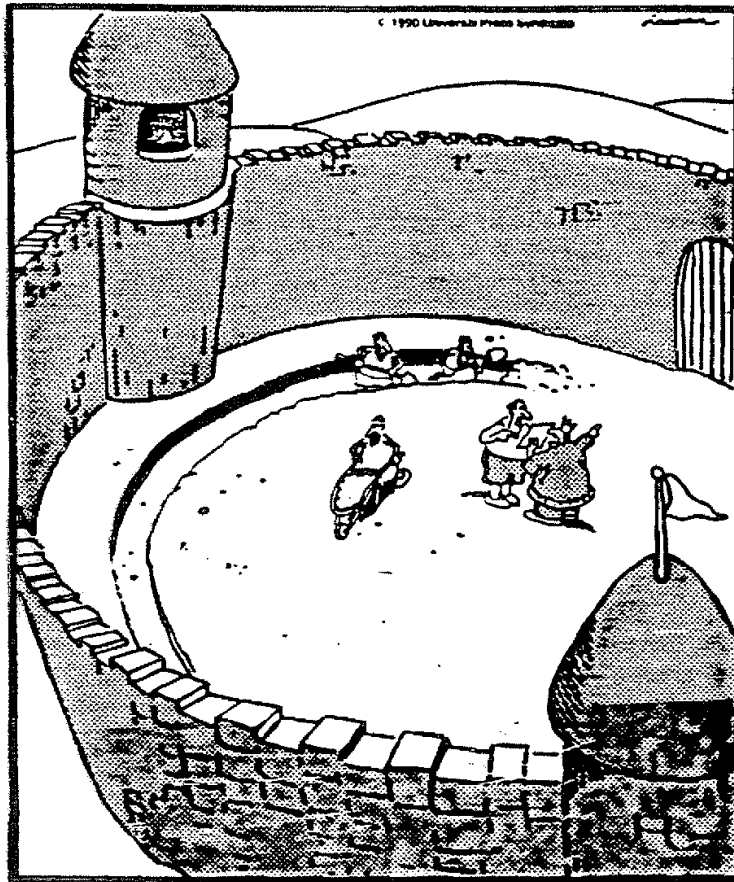
## IV. Practical Applications



## IV. Practical Applications

### THE FAR SIDE

By GARY LARSON



**Suddenly, a heated exchange took place between the king and the moat Contractor.**

THE FAR SIDE COPYRIGHT 1990 UNIVERSAL PRESS SYNDICATE  
All rights reserved.

## IV. Practical Applications

- Importance of Time in Construction Contracts
  - How duties and responsibilities are defined in the contract:
    1. Scope: What will be provided
    2. Price: How much compensation will be paid for the item or performance provided
    3. Time: When is the performance required
  - The project schedule...
    - Baseline for performance of contracting parties
    - Used to assess parties' respective rights and obligations

## IV. Practical Applications

- As-Planned (Baseline) Schedule
  - Project Scope at time of award/bid
  - Represent contractor's plan before:
    - Any Changes (Change Orders)
    - Starting construction
  - Comply with contract requirements
  - Good for about 30 days

## IV. Practical Applications

- Updated Schedule
  - Reflect “current” status of project as of a certain date (Data Date)
  - Contain accurate information on work completed per activity:
    - Actual Start
    - Actual Finish
    - Status of activities started but not yet finished
  - Recalculate a schedule looking forward

## IV. Practical Applications

- What can change in an updated schedule?
  - A lot
    - Projected dates for activities
    - Float values
    - The Critical Path
    - Project Completion Date(s)

## IV. Practical Applications

- Revised Schedule
  - Whenever a fundamental change is made to the schedule.
    - Add activities
    - Delete activities
    - Change, add or delete any relationship(s)
    - Change a duration
  - When is this appropriate?



## IV. Practical Applications

- It's all about:

### Schedule Maintenance

“Living, breathing document”

“Dynamic Tool”

“Forward Looking”

## IV. Practical Applications

- “As-Built” Schedule
  - All activities completed
  - Really a database of information...not a schedule

## IV. Practical Applications

- A CPM Construction Schedule is a model of how the project is planned to be built.
- At the “end-of-the-day”  
The “As-Built” Schedule becomes a record of how the project was built.

# V. Delay Analysis

# V. Delay Analysis

- It's all about:

## Schedule Maintenance

“Living, breathing document”

“Dynamic Tool”

“Forward Looking”

# V. Delay Analysis

- Delay
  - To put off to a later time: postpone
  - To impede or retard
  - An instance of being delayed <sup>9</sup>

<sup>9</sup> Webster's Dictionary – ISBN 0-345-40095-X

## V. Delay Analysis

- Delay in the Context of the Construction Industry
  - In construction claims, the term “delay” is used to mean two different but related matters. Delay is often used to mean the time period during which some part of the construction project has been extended beyond what was originally planned due to unanticipated circumstances.
  - Delay can also be the incident that affects the performance of a particular activity, with or without affecting project completion.

## V. Delay Analysis

- Delay in the Context of the Construction Industry
  - Although there may be unique aspects of the different projects, sites, contract terms, and customs and practices in different parts of the world, for the most part the reality of delay unfortunately is universal to the construction industry.<sup>10</sup>

<sup>10</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1



# V. Delay Analysis

- Delay v. Critical Delay
  - Critical project delays are those that extend the overall project completion date.<sup>11</sup>
    - Milestones
    - Distinction in terms of damages

<sup>11</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

## V. Delay Analysis

- Other related terms:

- Disruption

- “An activity-specific loss of productivity caused by changes in the working conditions under which that activity was (is) performed.” <sup>12</sup>
    - “A material alteration in the performance conditions that were reasonably expected at the time of bid, resulting in increased cost of performance.” <sup>13</sup>

<sup>12</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

<sup>13</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

## V. Delay Analysis

- Other related terms:

- Acceleration

- “Is an attempt to speed up the progress of the work to achieve an earlier project completion or to overcome previous delays.” <sup>14</sup>

- Constructive Acceleration

- “Is based on the premise that a contractor is entitled to a time extension for excusable delays but it is not granted.” <sup>15</sup>

<sup>14</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

<sup>15</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

## V. Delay Analysis

- Constructive Acceleration Hurdles
  1. There must be an excusable delay.
  2. There must have been timely notice of the delay and a proper request for a time extension.
  3. The time extension request must either be postponed or refused.
  4. The owner or other party must by coercion, direction, or in some other manner that reasonably can be construed as a command order completion within the unextended performance period.
  5. The contractor must actually accelerate its performance and thereby incur added costs.<sup>16</sup>

<sup>16</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

# V. Delay Analysis

- Causes of Delay
  - Owner
  - Designer
  - Contractor
  - Delays That May Be Beyond the Control of the Parties

# V. Delay Analysis

## • Owner

- Changes
- Access to Project Site
- Right-of-Way
- Utility relocation delays
- Unidentified or mislocated utilities
- Demolition of existing structures
- Differing site conditions
- Relocation of tenants
- Approvals from governing authorities
- Payment delays
- Project financing
- Design defects (vicarious liability)
- Defective specifications
- Tardy shop drawing processing
- Inspection delays
- Delayed notice to proceed/contract award
- Inappropriate stop work orders
- Owner-furnished items
- Over-inspection
- Constructive changes
- Owner interference
- Failure to coordinate multiple prime contractors

# V. Delay Analysis

- Designer

- Design defects, errors, and omissions
- Slow correction of defects
- Tardy shop drawing review
- Delayed testing and inspections
- Poor contract administration
- Late responses to Requests for Information (RFI)

# V. Delay Analysis

- Contractor

- Inadequacy of labor force
- Failure to evaluate site
- Failure to evaluate design
- Underbidding
- Management failures
- Scheduling and planning
- Coordination and subcontractors
- Subcontractor delays
- Material procurement
- Equipment failures
- Financial resources
- Construction defects



## V. Delay Analysis

- Delays That May Be Beyond the Control of the Parties
  - Unusually severe weather conditions
  - Labor disputes
  - Unavoidable calamities
  - Force Majeure “Acts of God”
  - Unusual delays in transportation
  - Floods
  - Governmental acts
  - Vandalism
  - Fire
  - Strikes

# V. Delay Analysis

- Categories of Delay



# V. Delay Analysis

- Concurrent Delay
  - What is it?
  - Proper analysis of Concurrent Delay adds an order of magnitude to the difficulty.

## V. Delay Analysis

“Evaluation of delay claims and schedule disruptions is as much an art as it is a science, even though scheduling may involve mathematical formulas, calculations, and computer models.” <sup>17</sup>

<sup>17</sup> Construction Delay Claims – ISBN 978-1-4548-4517-1

# V. Delay Analysis

- General Methodologies
  - A. Impacted As-Planned
  - B. As-Built
    - As-Planned to As-Built Comparison
    - As-Built
    - Collapsed As-Built
  - C. Time Impact Analysis
    - aka. Update Impact Method

# V. Delay Analysis

## A. Impacted As-Planned

- Measures impact on planned not actual performance.
- Uses only the planned schedule.
- Assumes as-planned logic is followed.

# V. Delay Analysis

## B. As-Built

- All As-Built methods are founded on the use of as-built data or “As-Built Schedules.”
  - As-Planned to As-Built Comparison
    - Logic may be different
    - Critical Path is not static
  - As-Built (Alone)
    - Critical Path ???
  - Collapsed As-Built
    - Remove delays systematically = but for “would have completed”

# V. Delay Analysis

## C. Time Impact Analysis

- aka. Corps method, windows analysis, update impact method, contemporaneous impact, snapshot techniques
  1. Update schedule at time of delay
  2. Impact (insert delay fragnet)
  3. Determine impact (calculate schedule)
  4. Update
- Goal: develop a stop-action picture of project each time a delay or disruption occurs



## V. Delay Analysis

- Forensic
  - Of, suited to, or used in courts of law or in public debate <sup>18</sup>

<sup>18</sup> Webster's Dictionary – ISBN 0-345-40095-X

# V. Delay Analysis

- Issues
  - Retrospective v. Prospective Analysis

## V. Delay Analysis

- Is there one authoritative text on forensic schedule analysis?
- Is there any authoritative text on forensic schedule analysis?

- It's all about:

## Schedule Maintenance

“Living, breathing document”

“Dynamic Tool”

“Forward Looking”

# **VI. Communicating Complex Analyses To The Non-technical Audience**



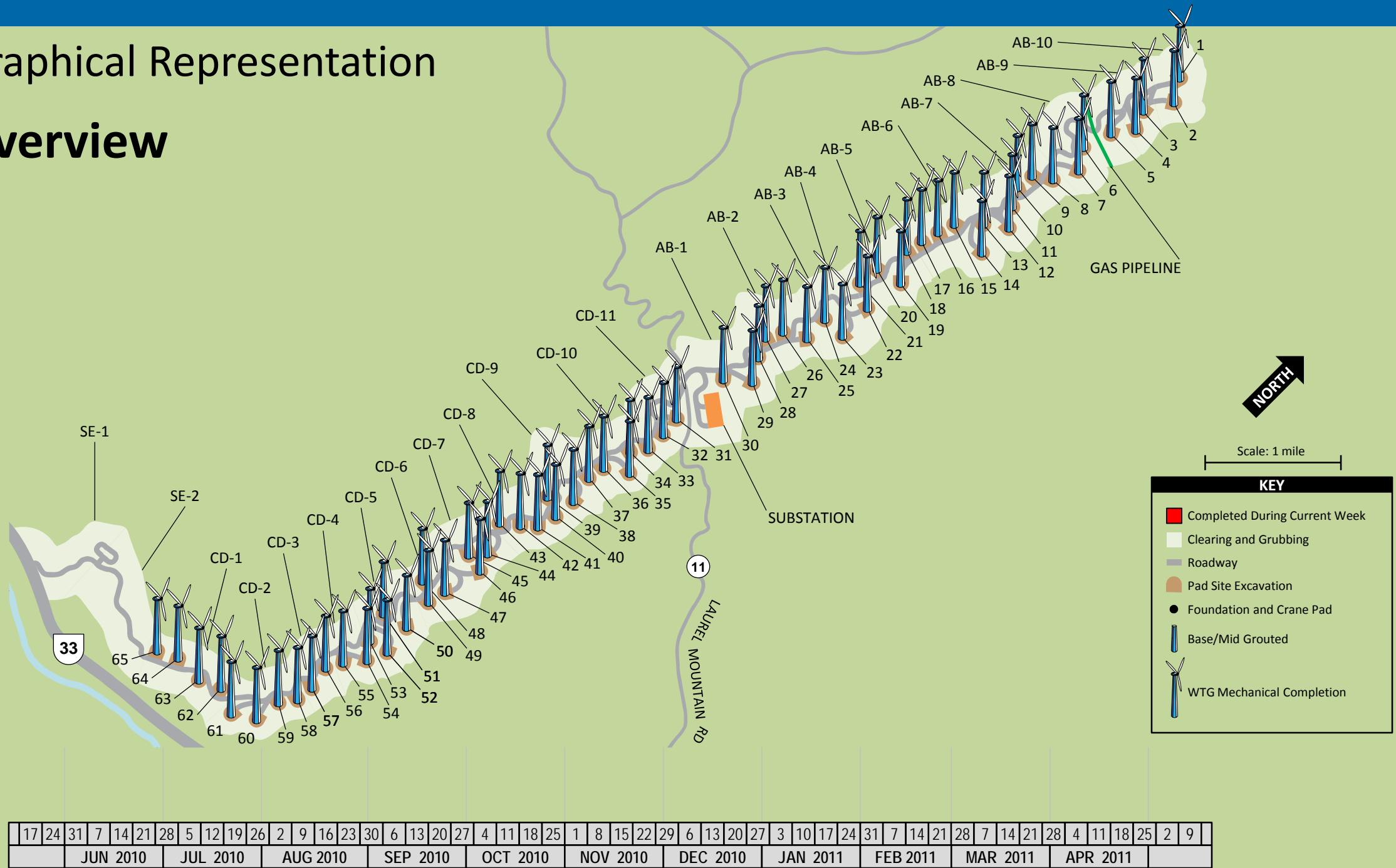
# Laurel Mountain Wind Project, WV





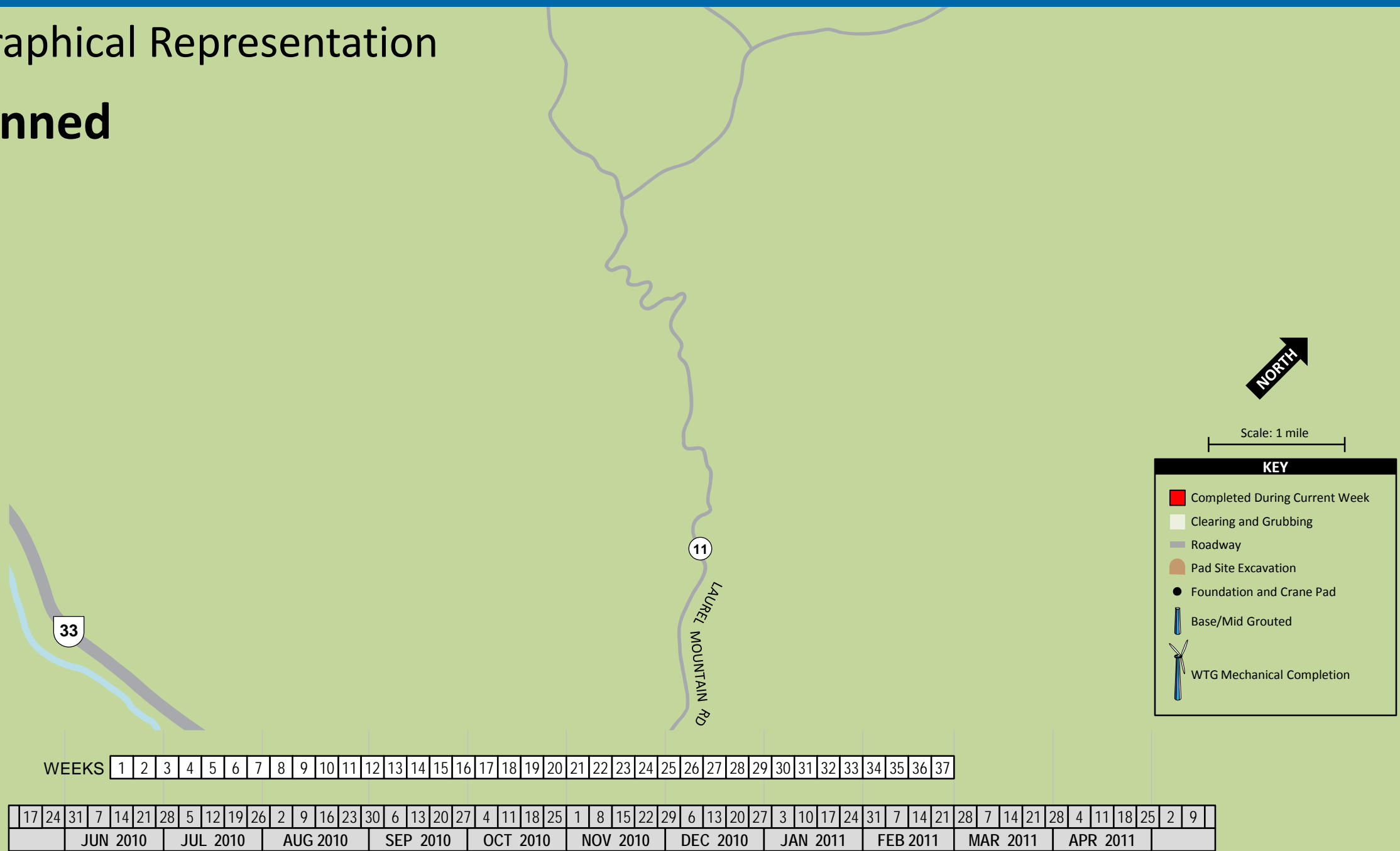
# III.A. Graphical Representation

## Site Overview



# III.A. Graphical Representation

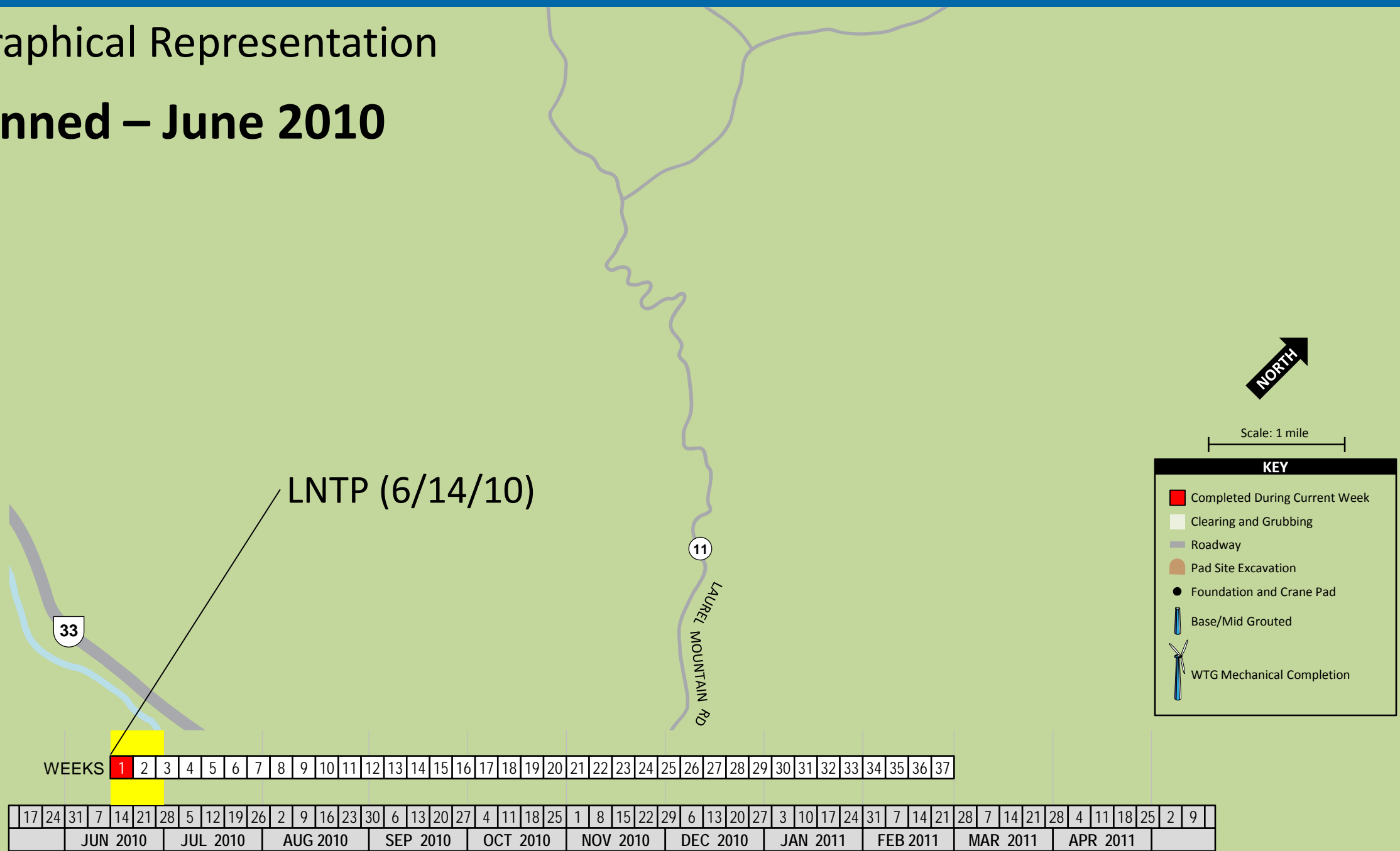
## As-Planned





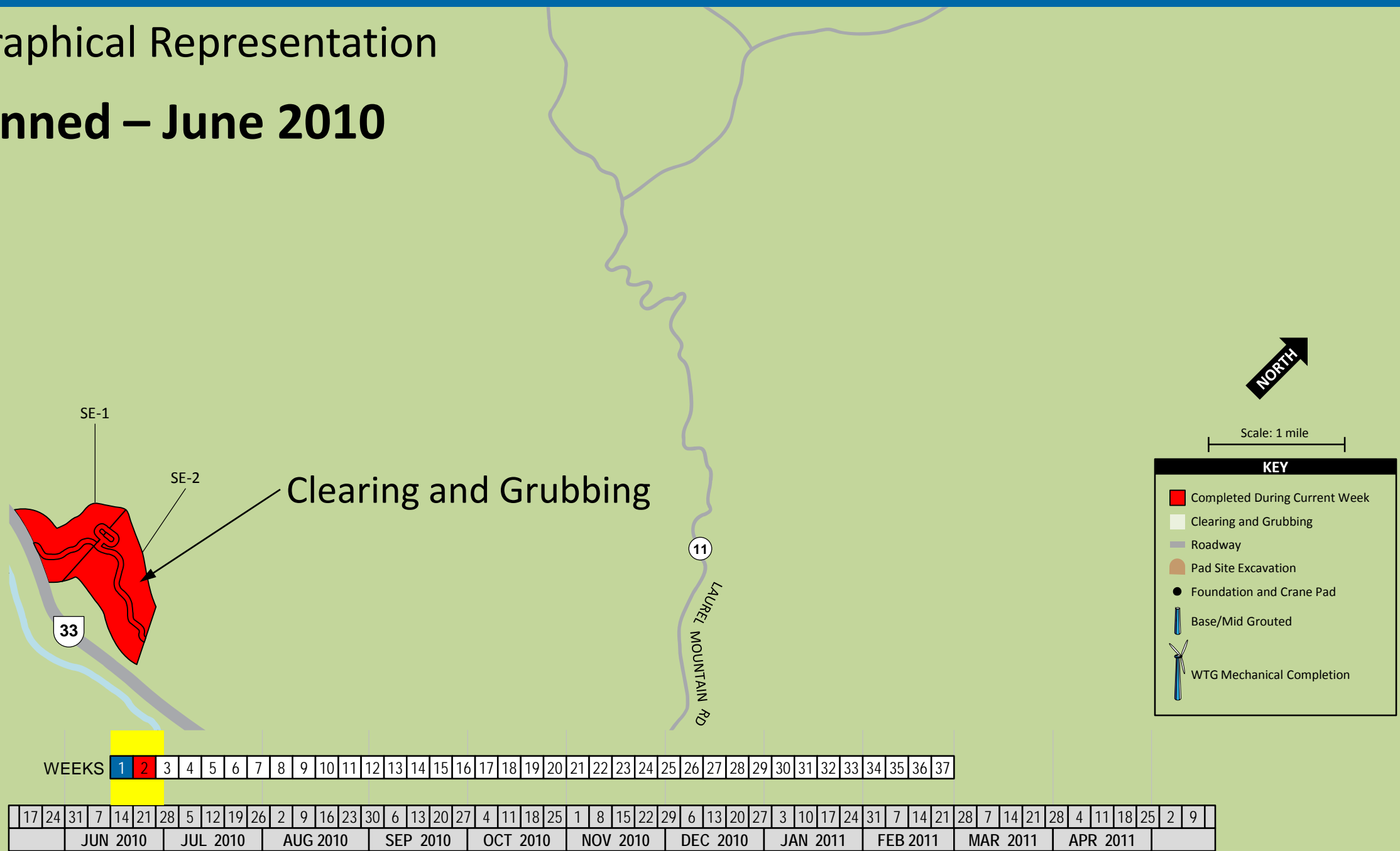
# III.A. Graphical Representation

## As-Planned – June 2010



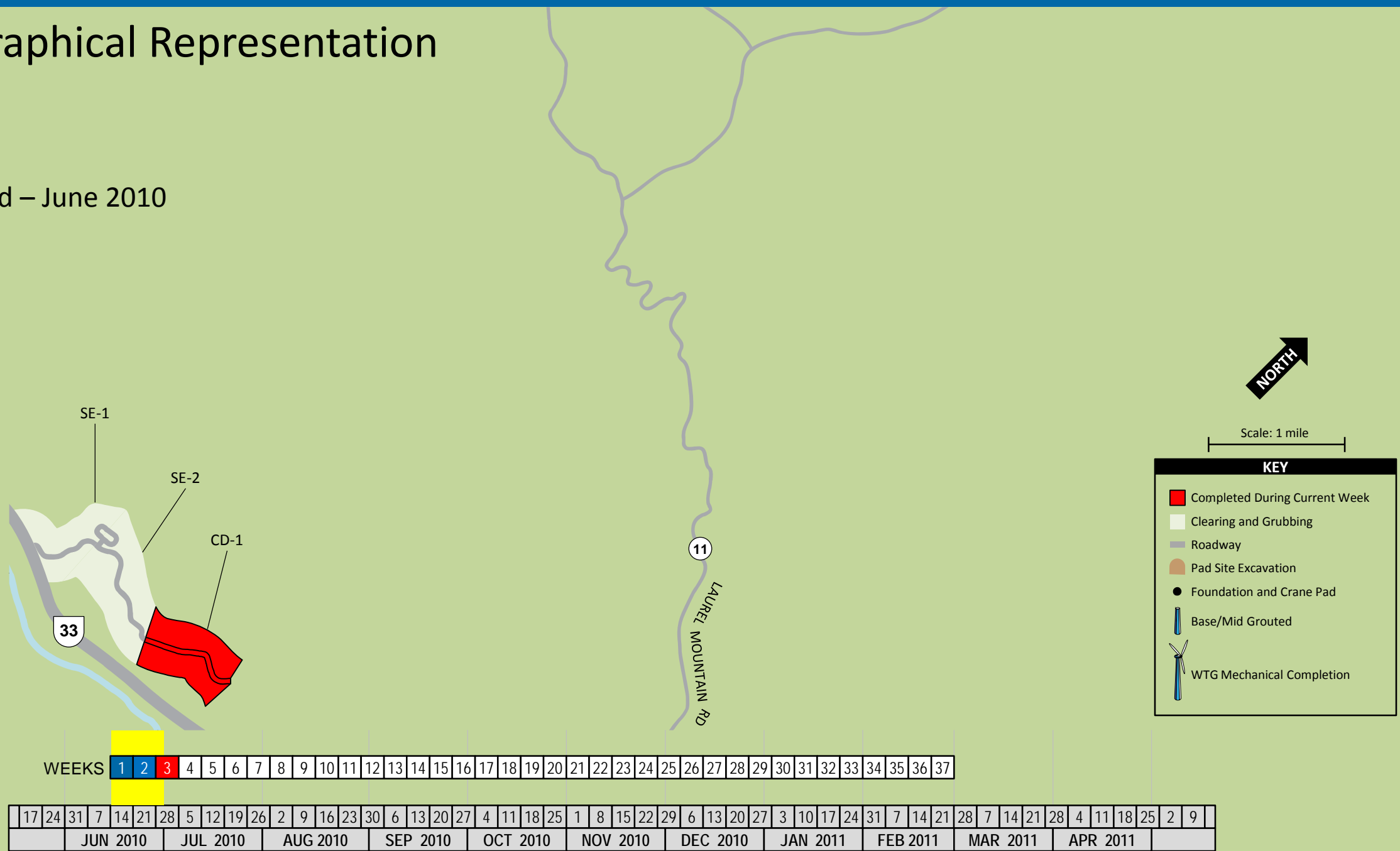
# III.A. Graphical Representation

## As-Planned – June 2010



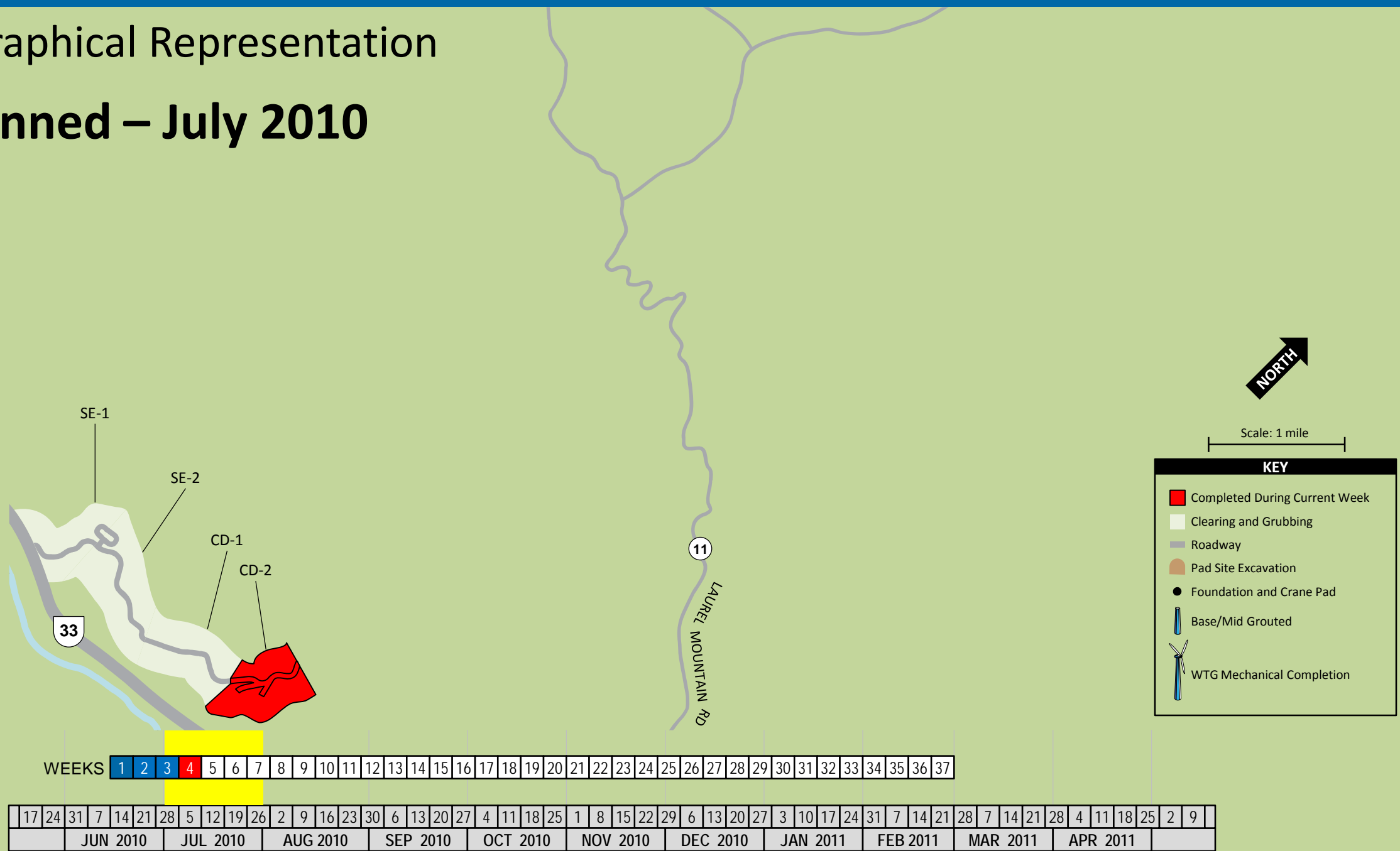
# III.A. Graphical Representation

• As-Planned – June 2010



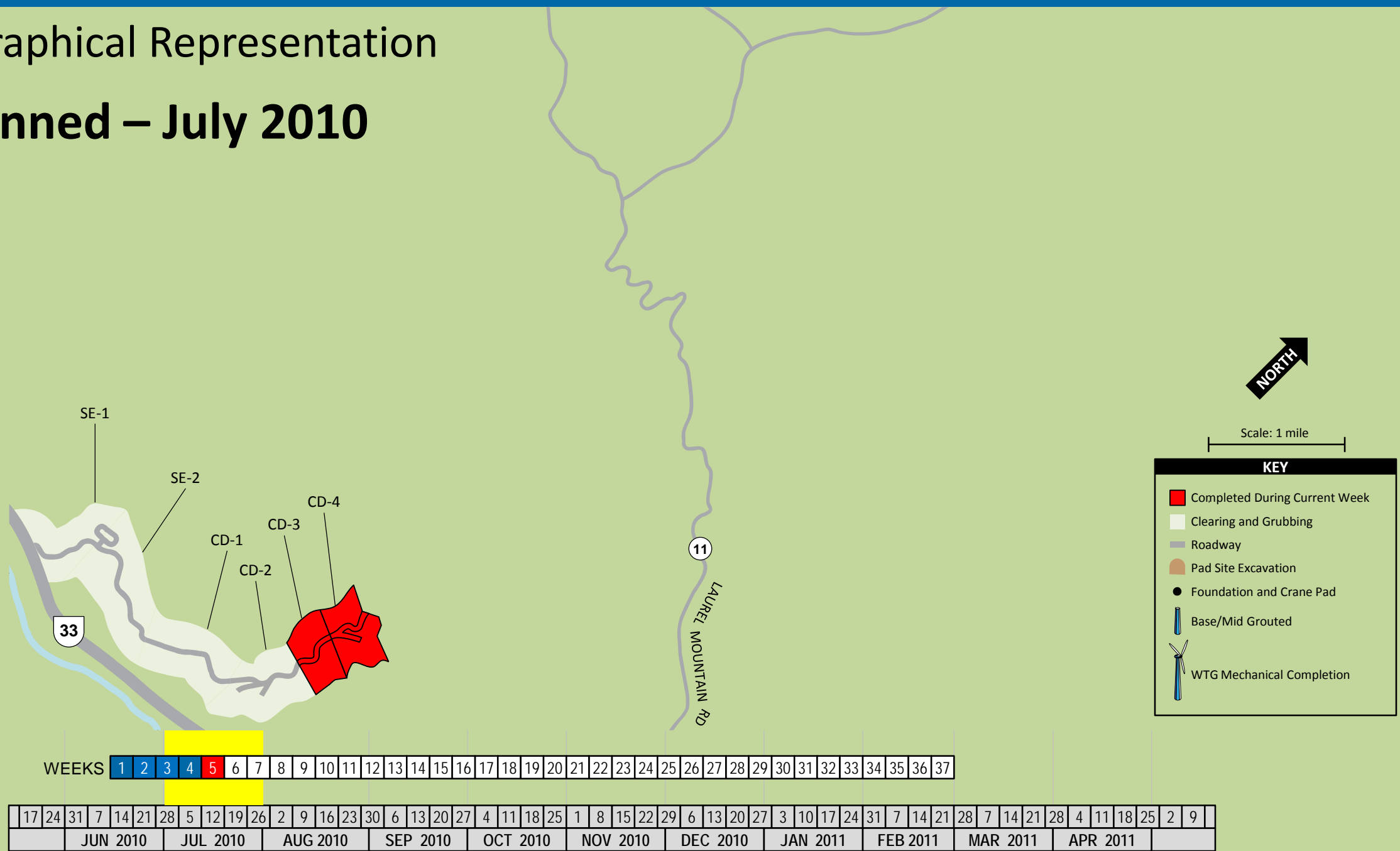
# III.A. Graphical Representation

## As-Planned – July 2010



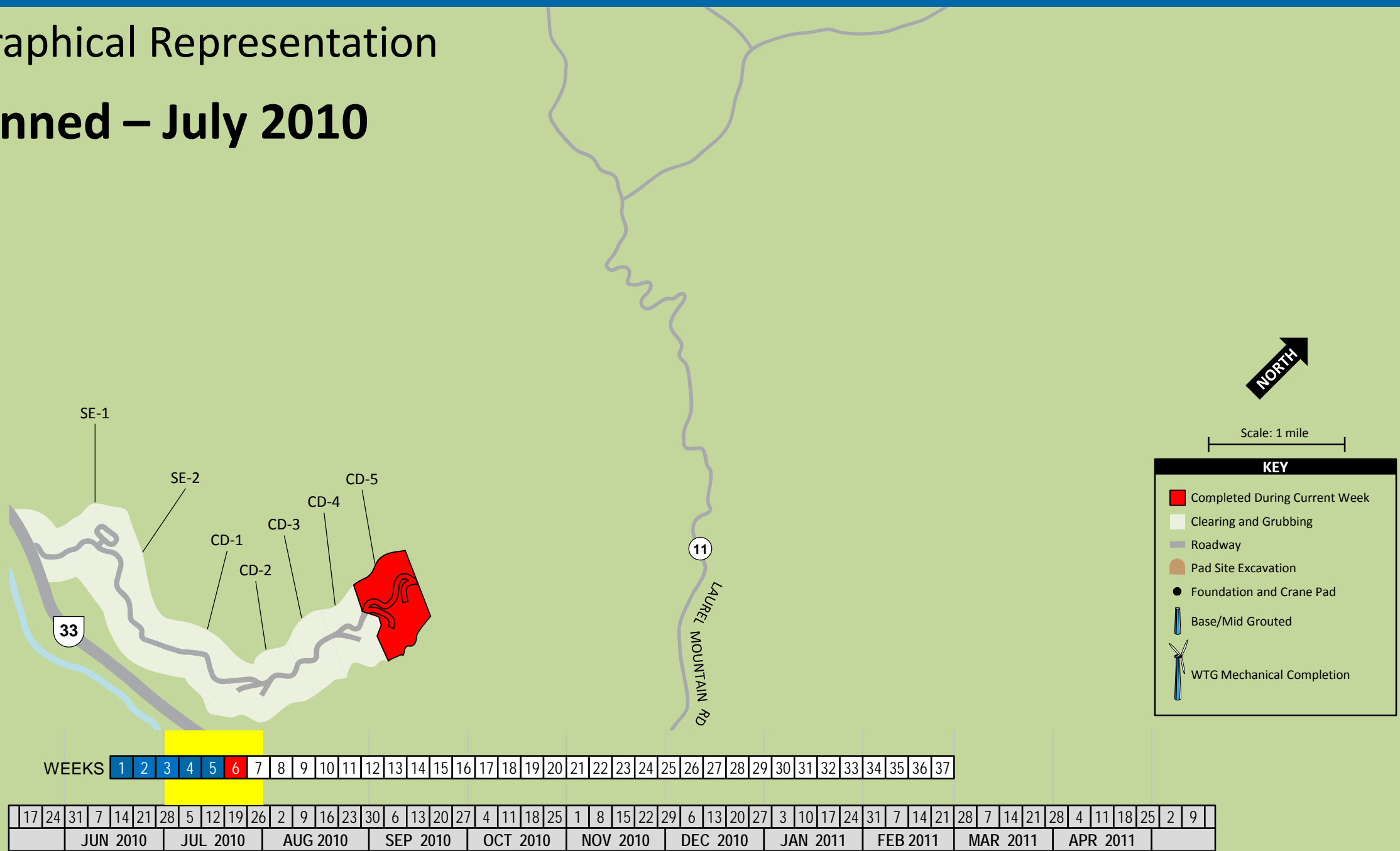
# III.A. Graphical Representation

## As-Planned – July 2010



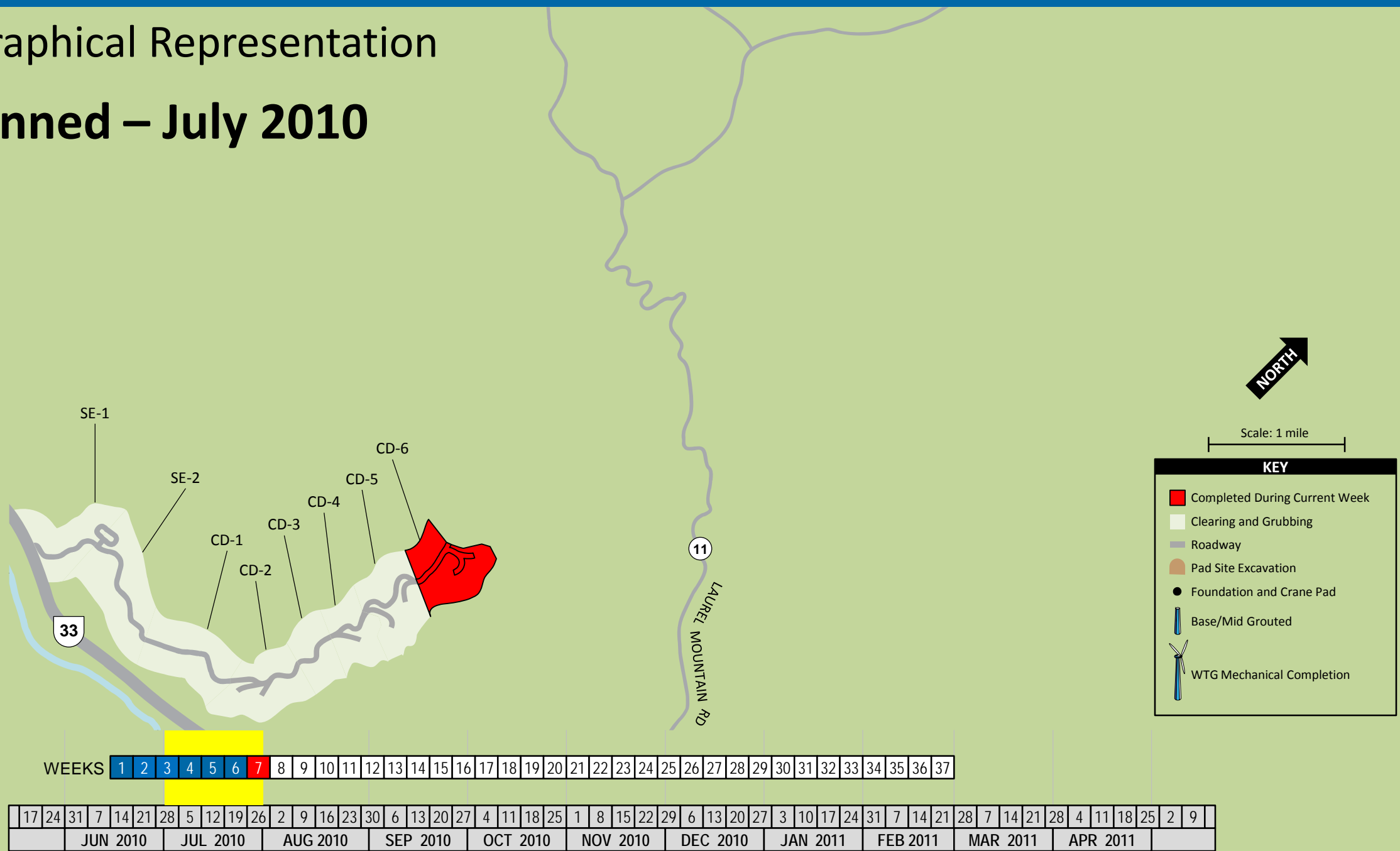
# III.A. Graphical Representation

## As-Planned – July 2010

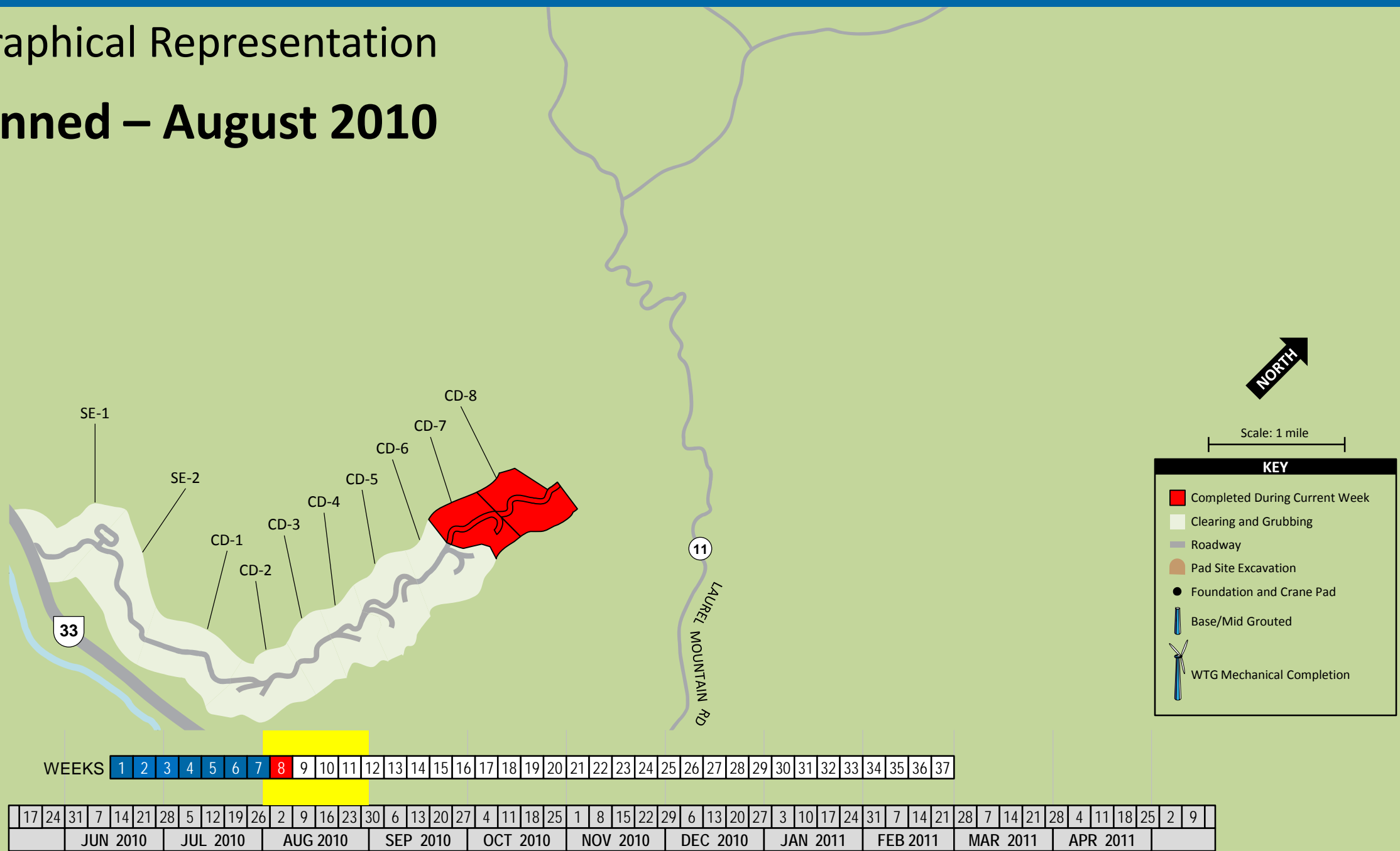


# III.A. Graphical Representation

## As-Planned – July 2010

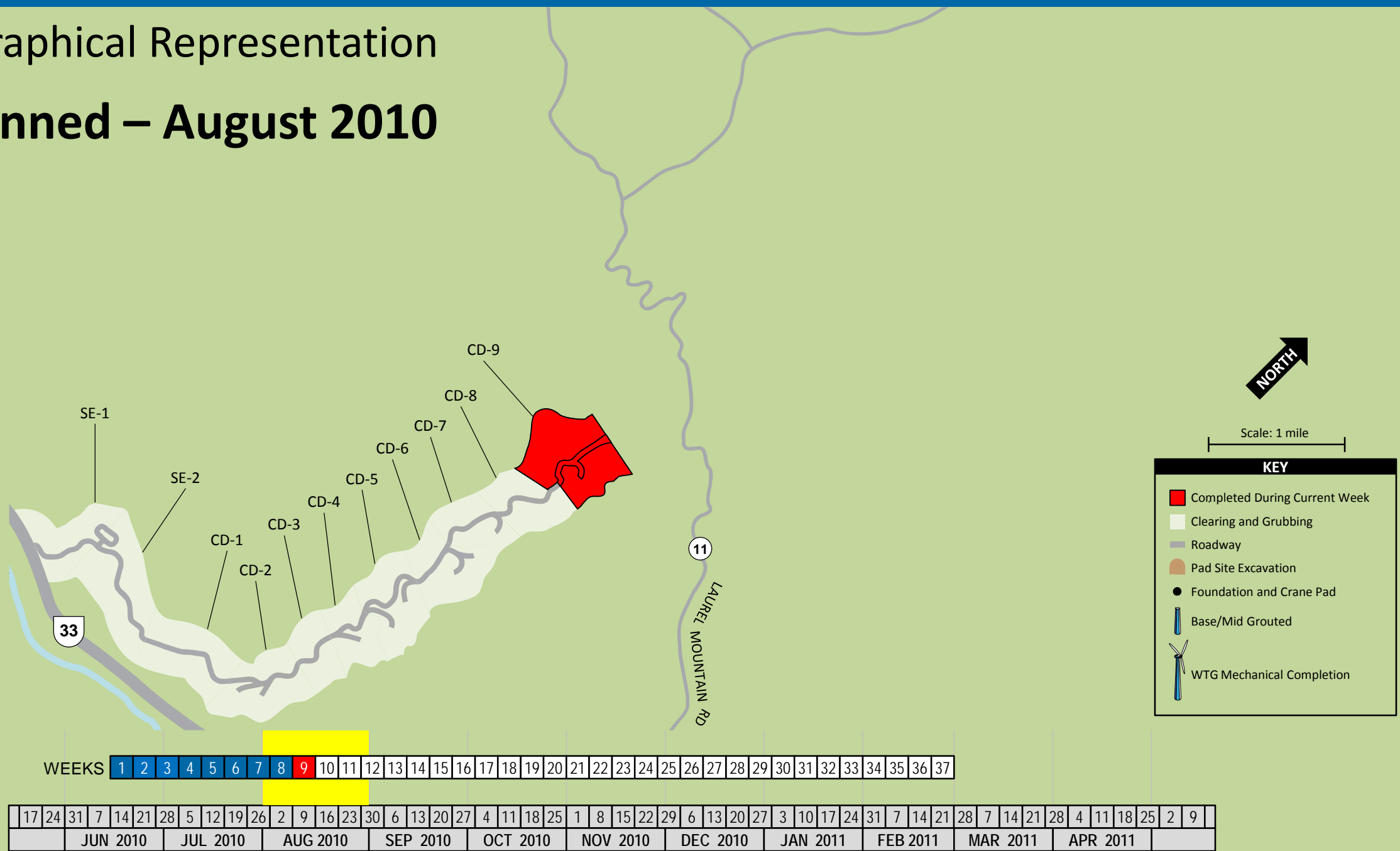


# III.A. Graphical Representation As-Planned – August 2010

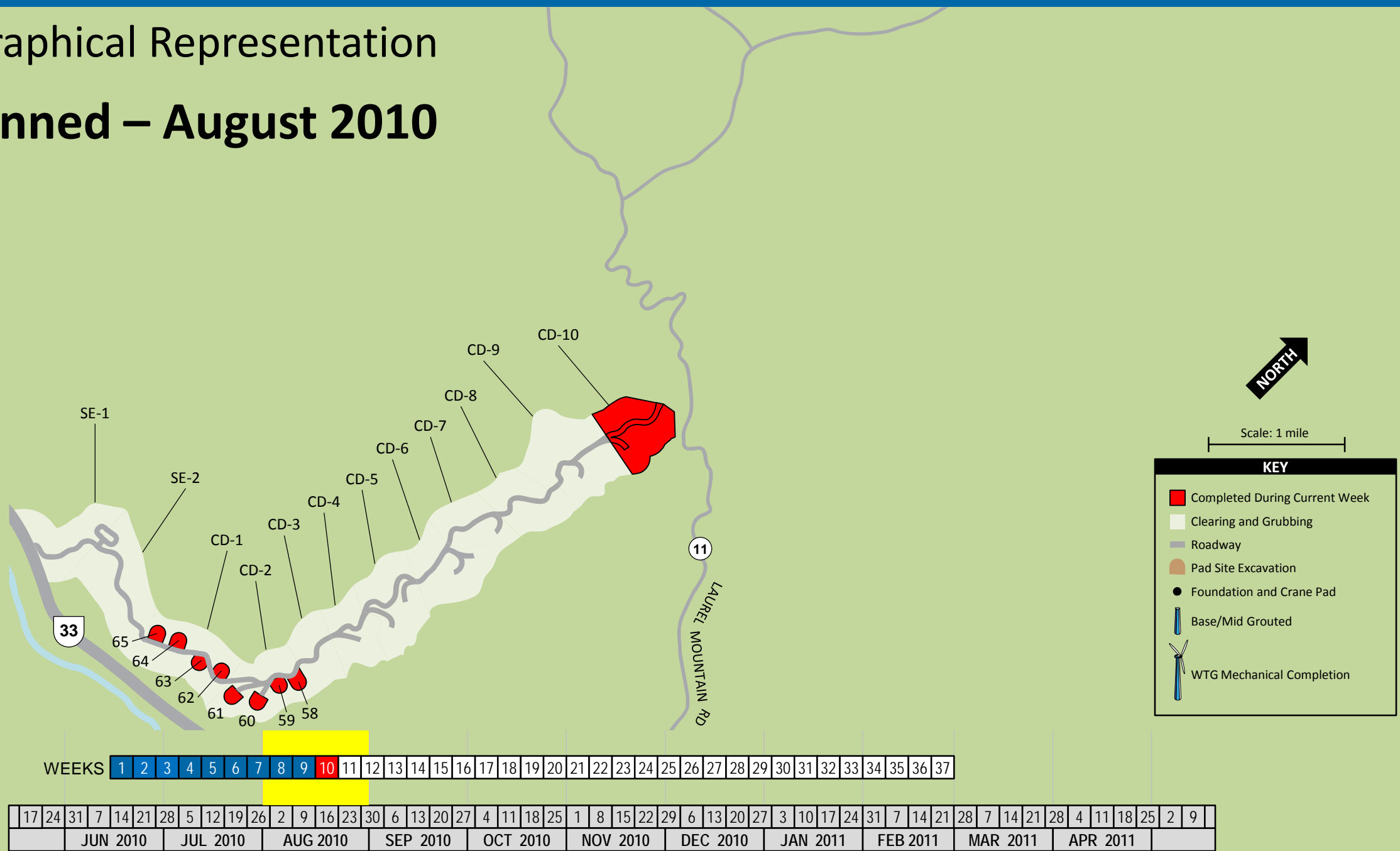




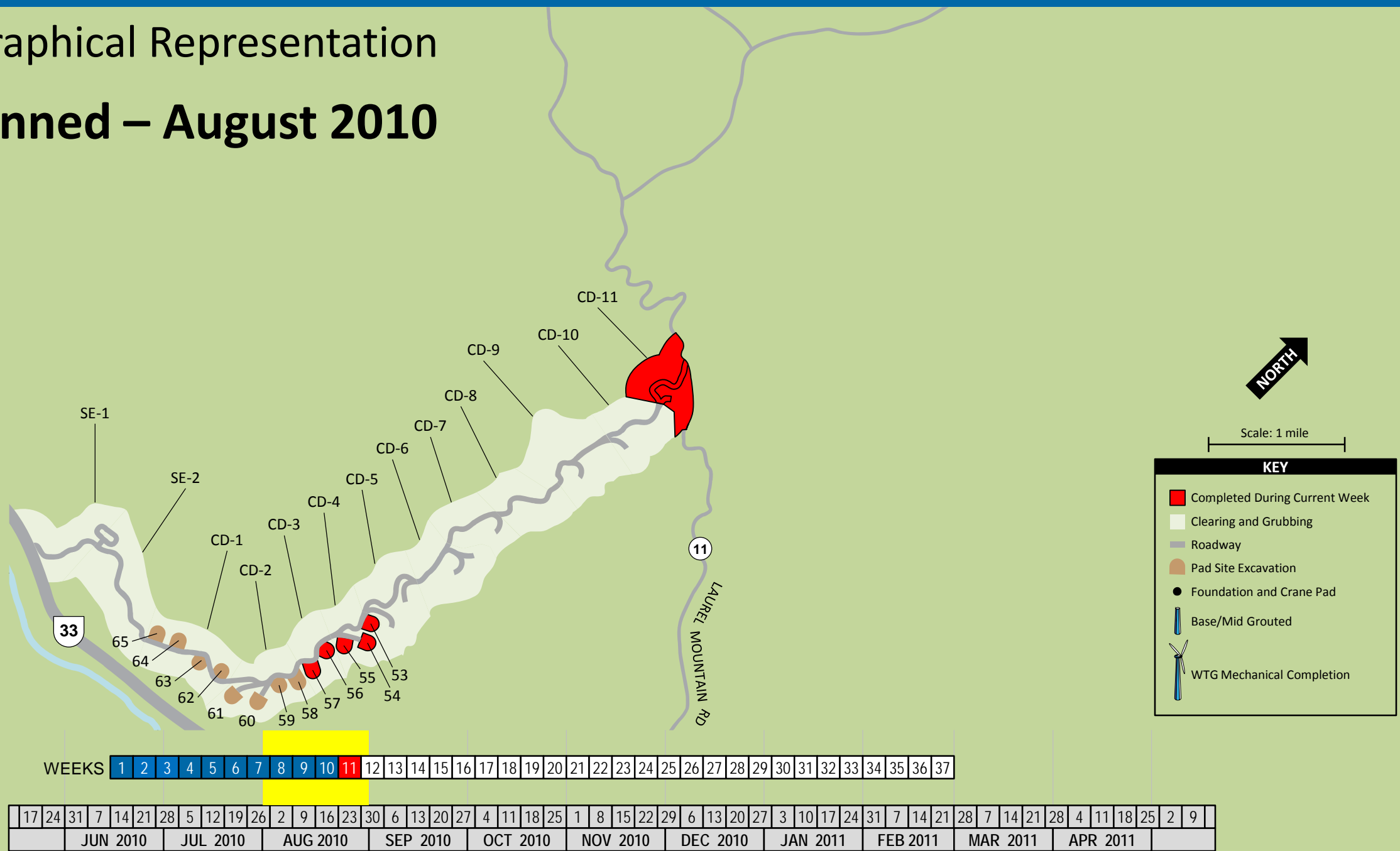
# III.A. Graphical Representation As-Planned – August 2010



# III.A. Graphical Representation As-Planned – August 2010

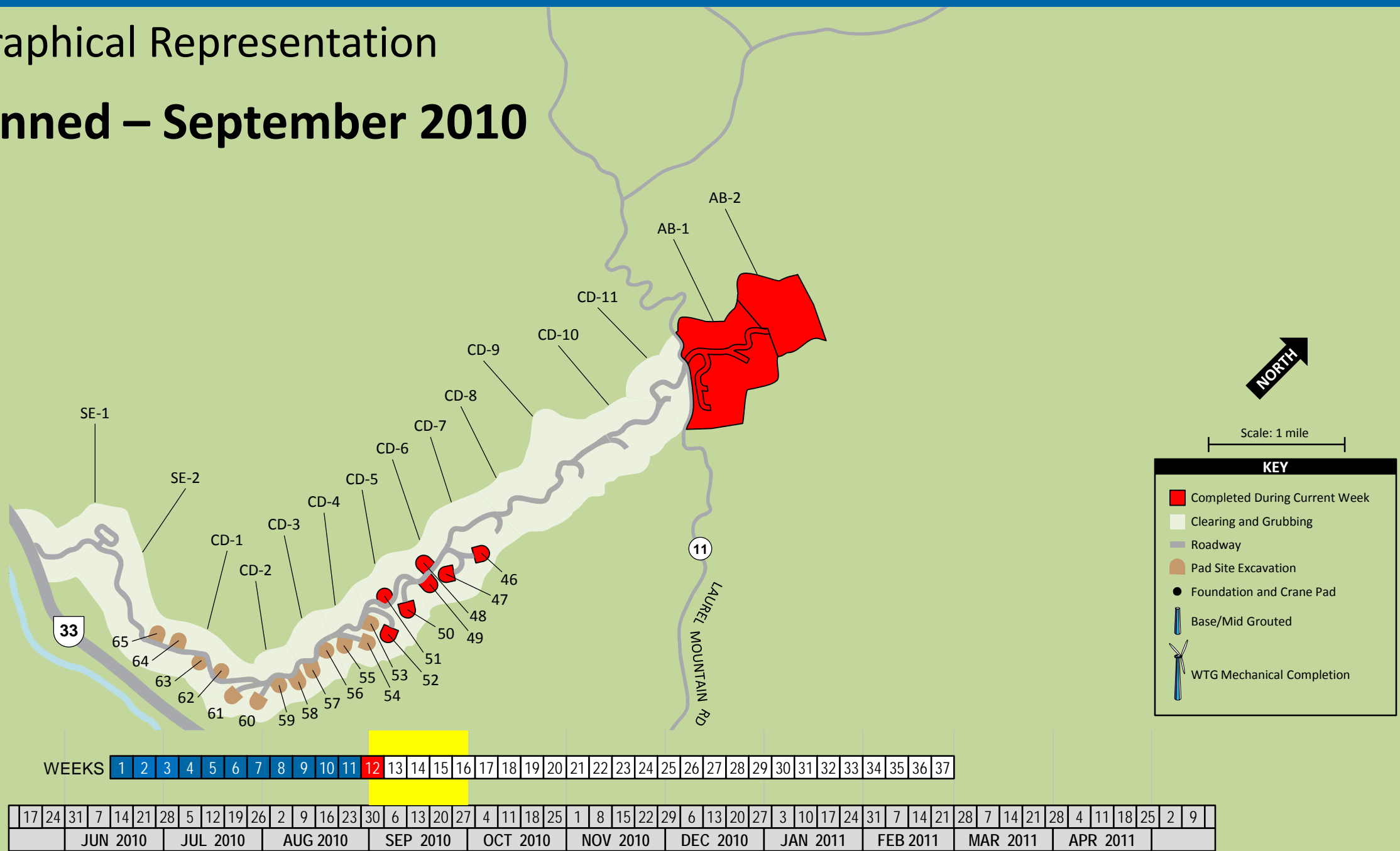


# III.A. Graphical Representation As-Planned – August 2010



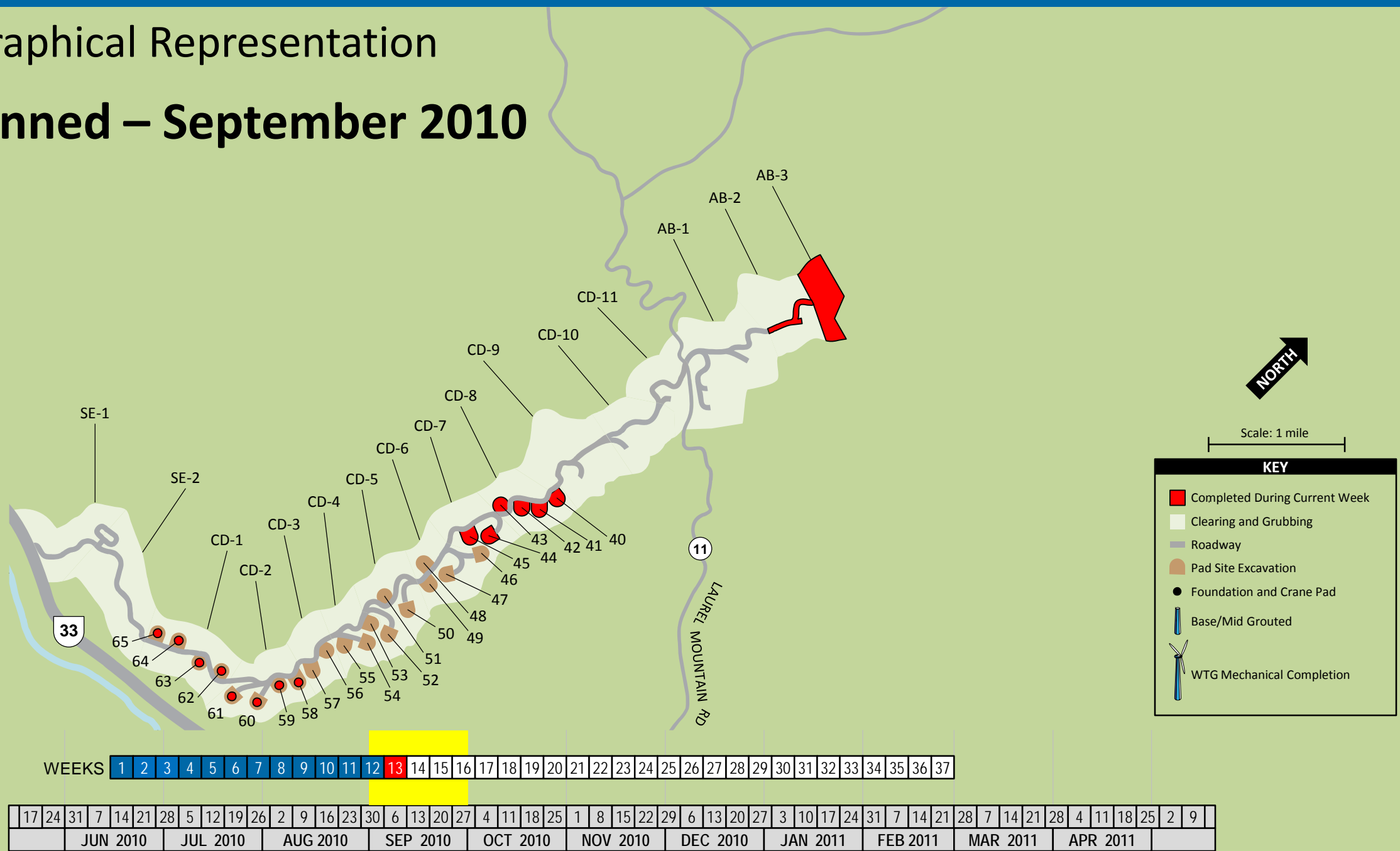
# III.A. Graphical Representation

## As-Planned – September 2010



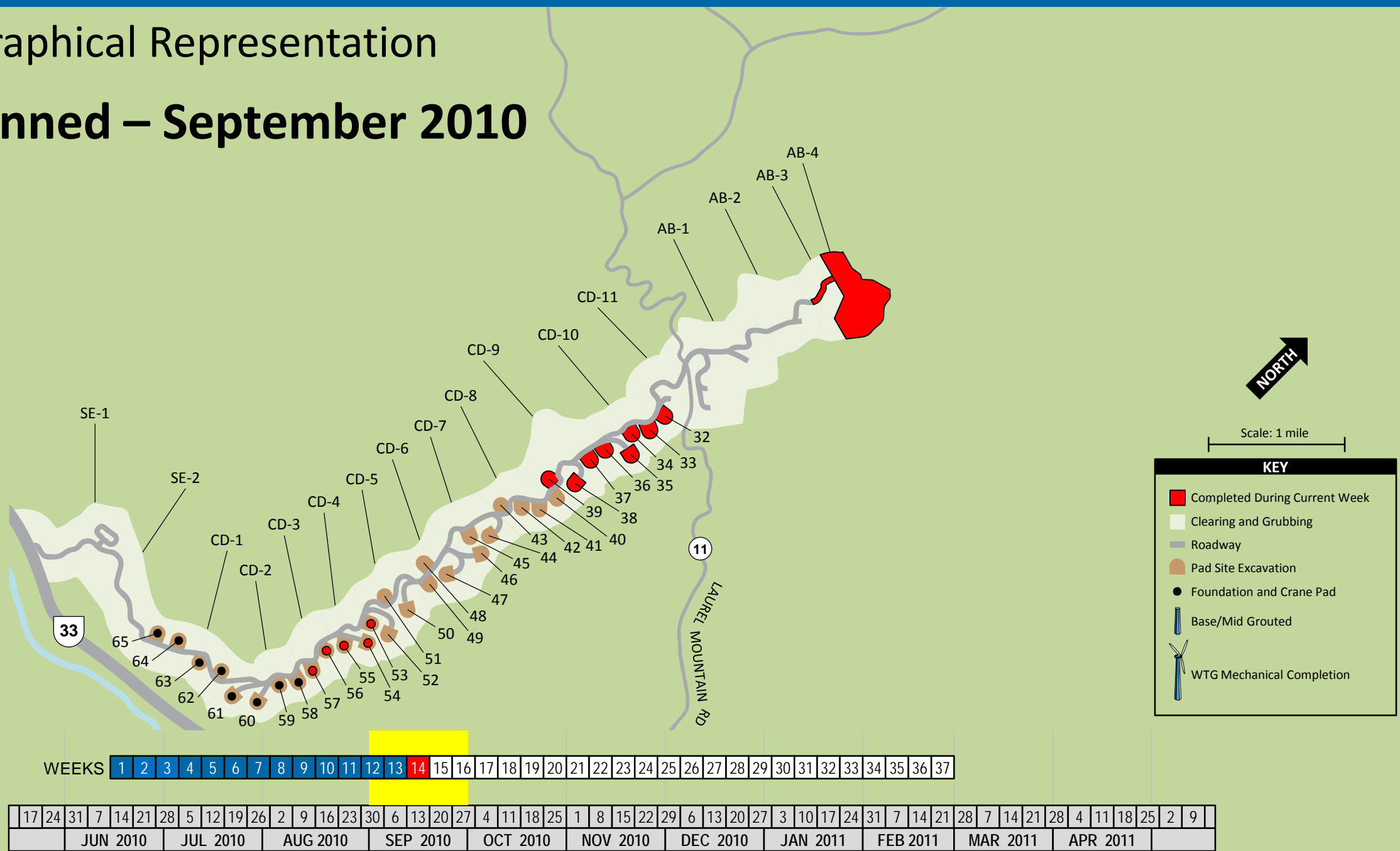
# III.A. Graphical Representation

## As-Planned – September 2010



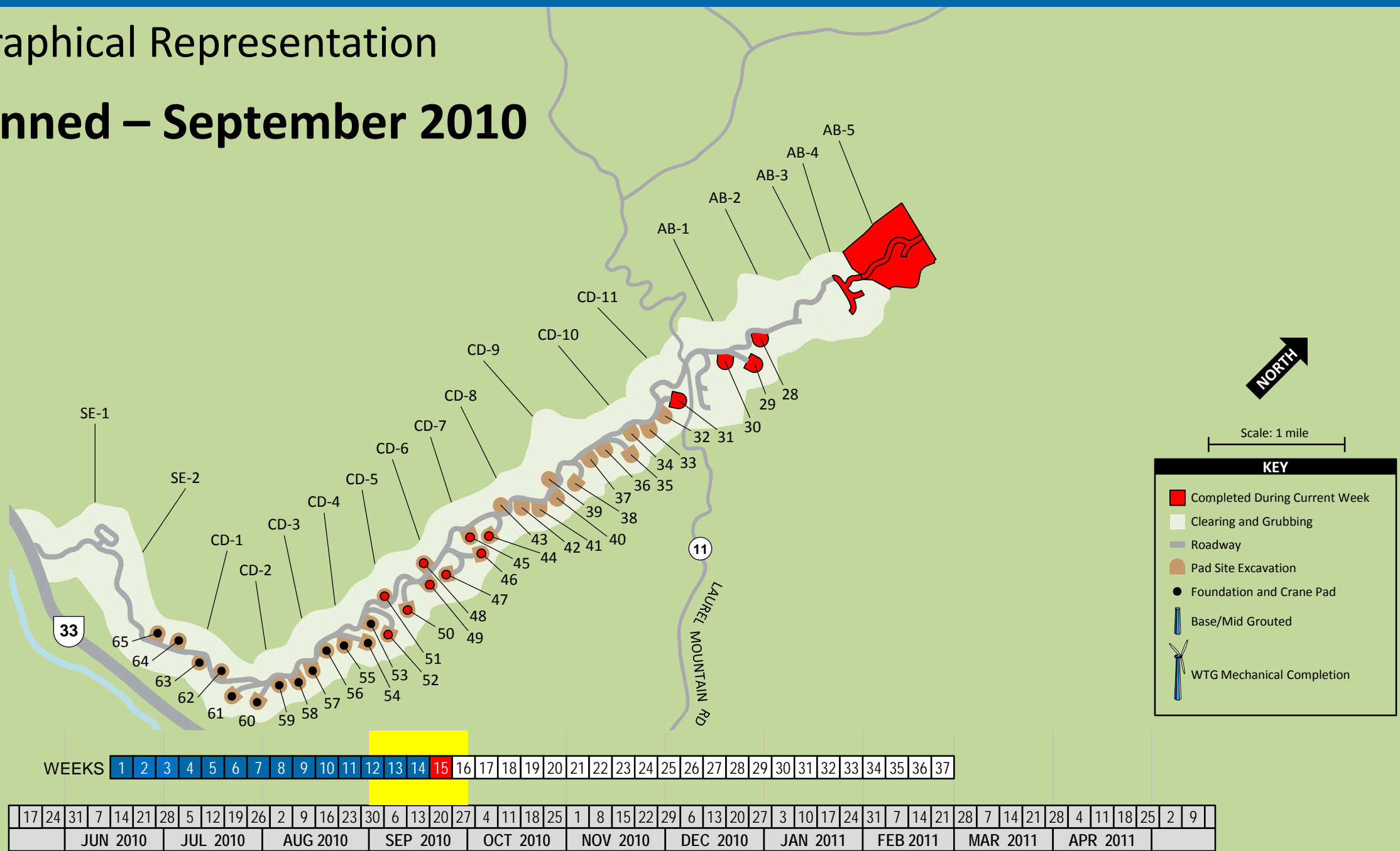
# III.A. Graphical Representation

## As-Planned – September 2010



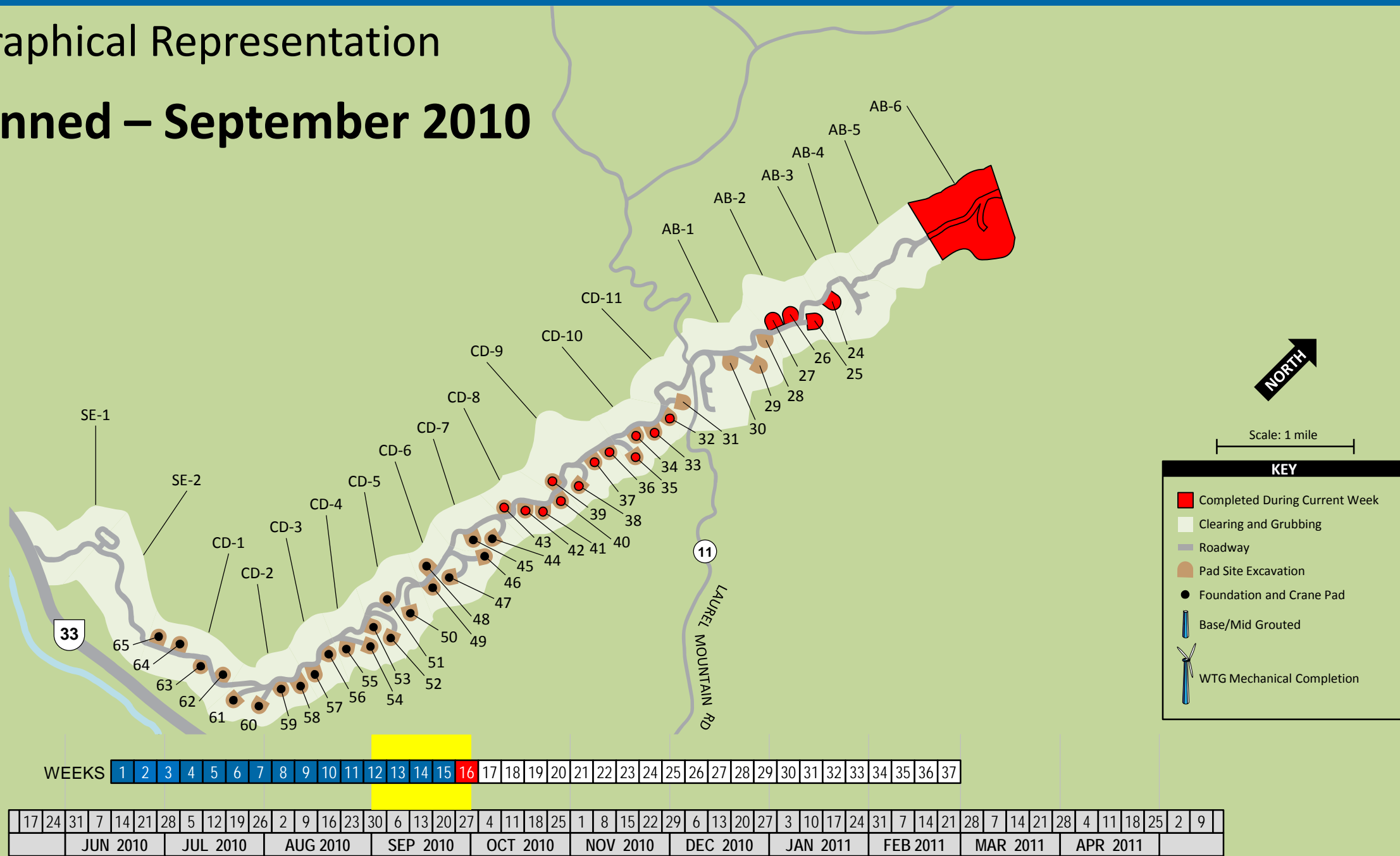
# III.A. Graphical Representation

## As-Planned – September 2010



## III.A. Graphical Representation

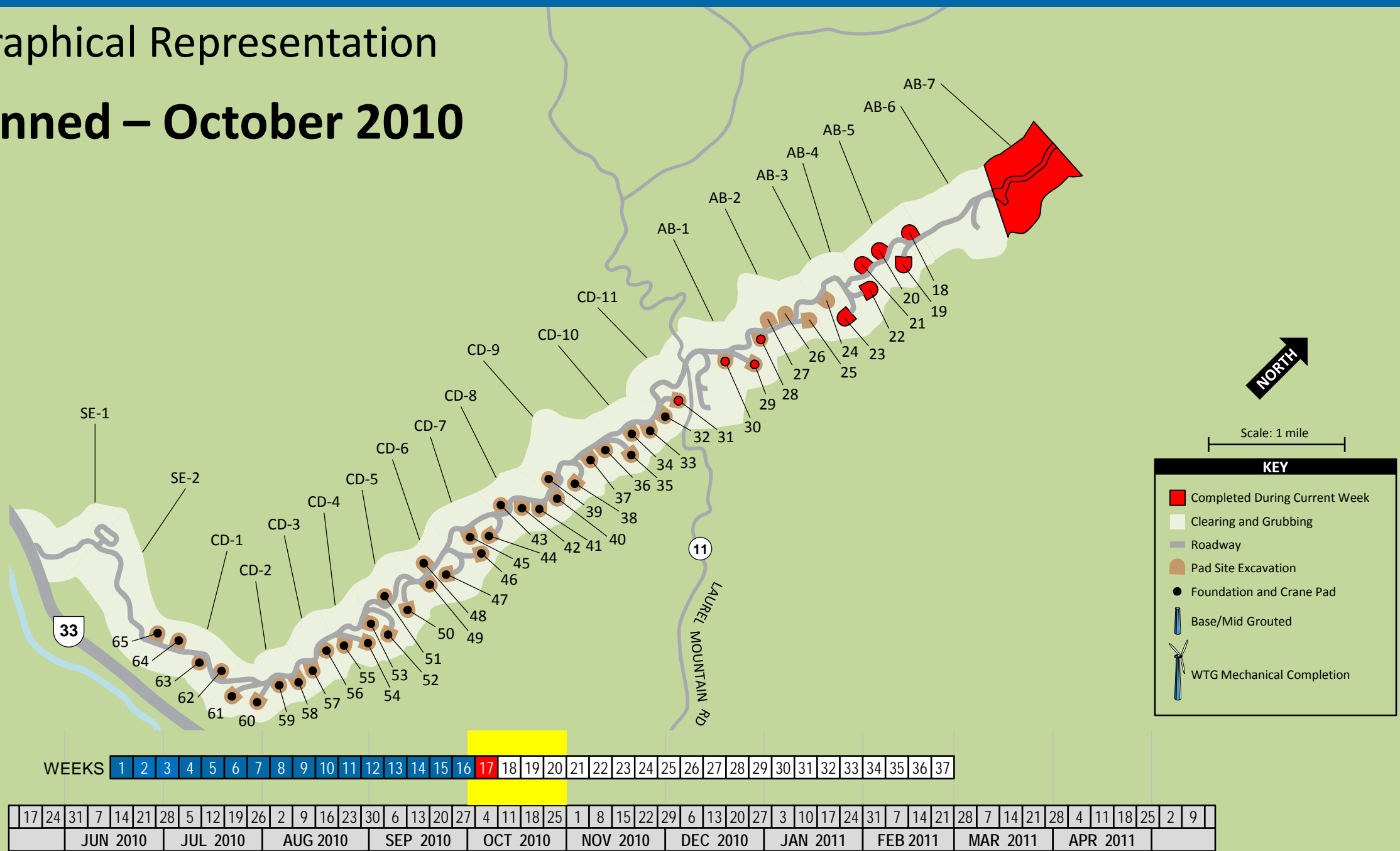
### **As-Planned – September 2010**





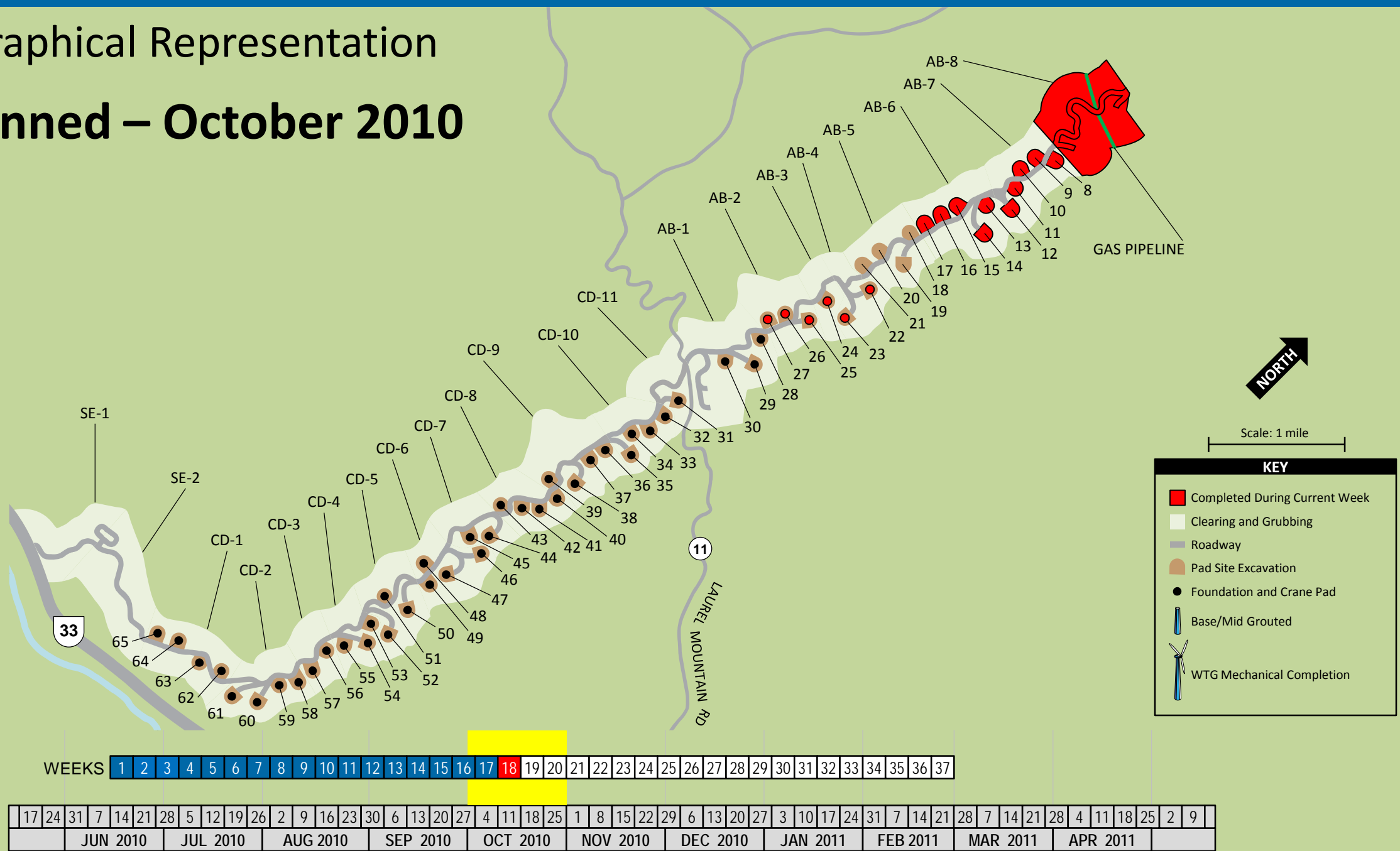
# III.A. Graphical Representation

## As-Planned – October 2010



# III.A. Graphical Representation

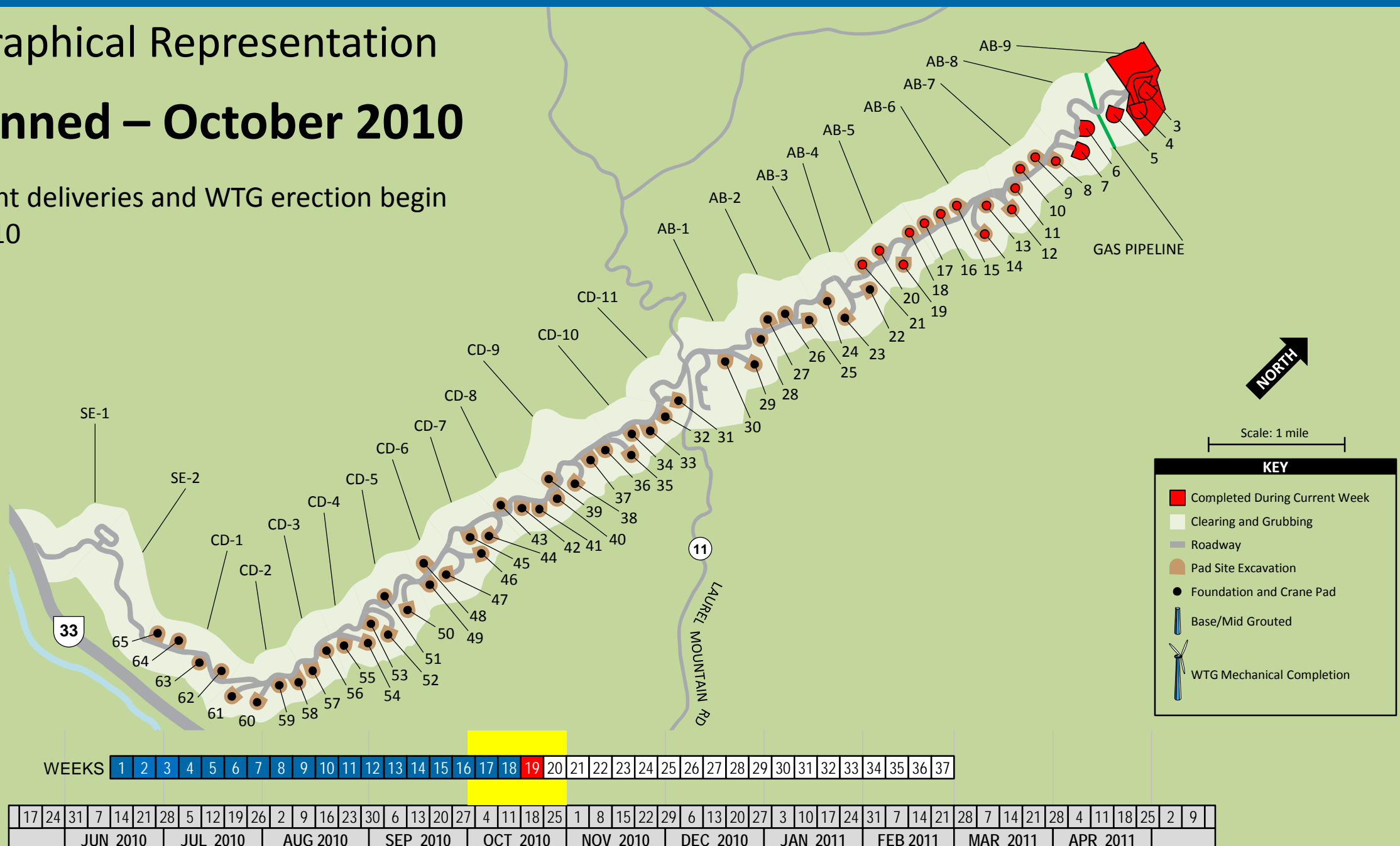
## As-Planned – October 2010



# III.A. Graphical Representation

## As-Planned – October 2010

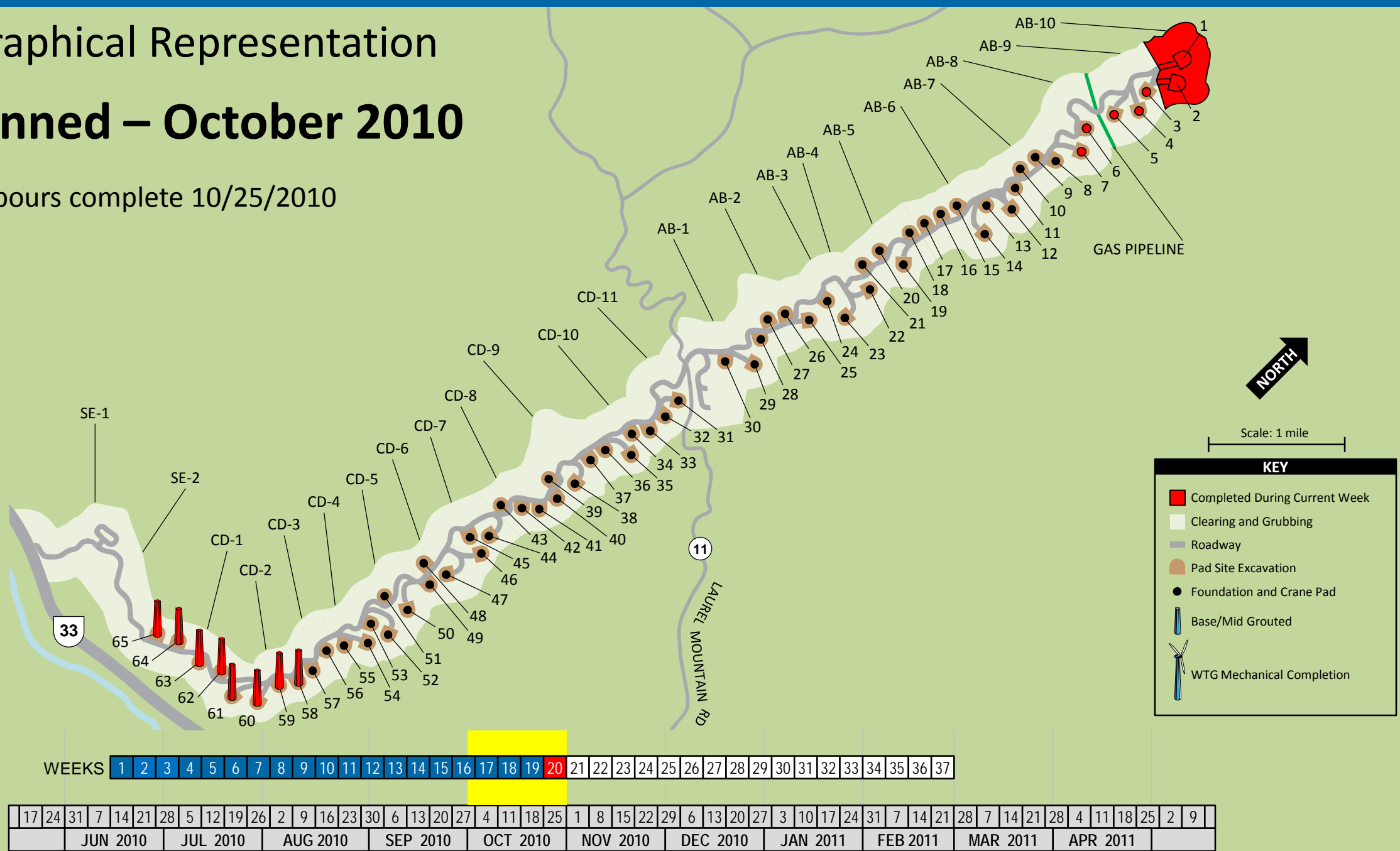
- Component deliveries and WTG erection begin 10/18/2010



# III.A. Graphical Representation

## As-Planned – October 2010

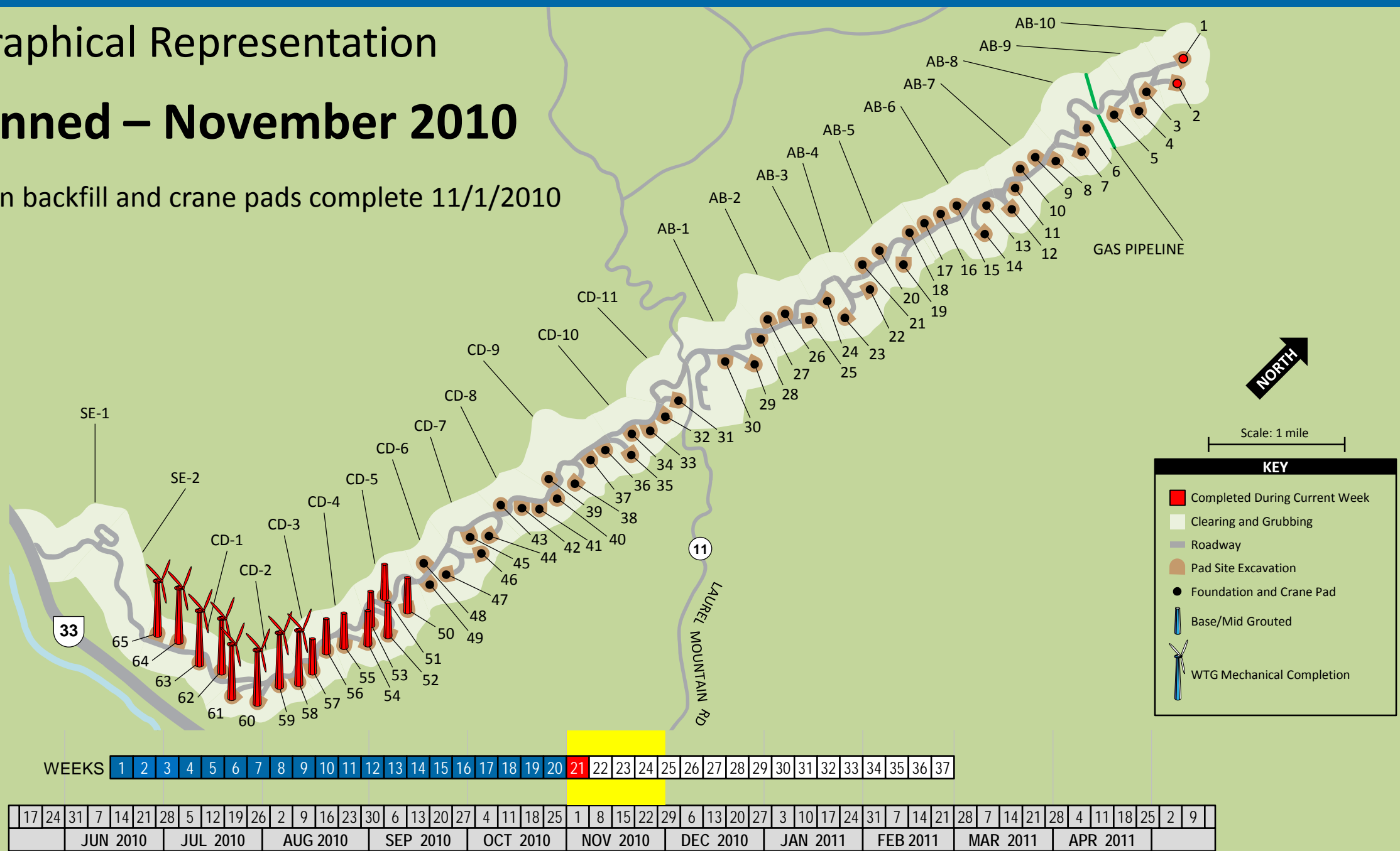
• Concrete pours complete 10/25/2010



# III.A. Graphical Representation

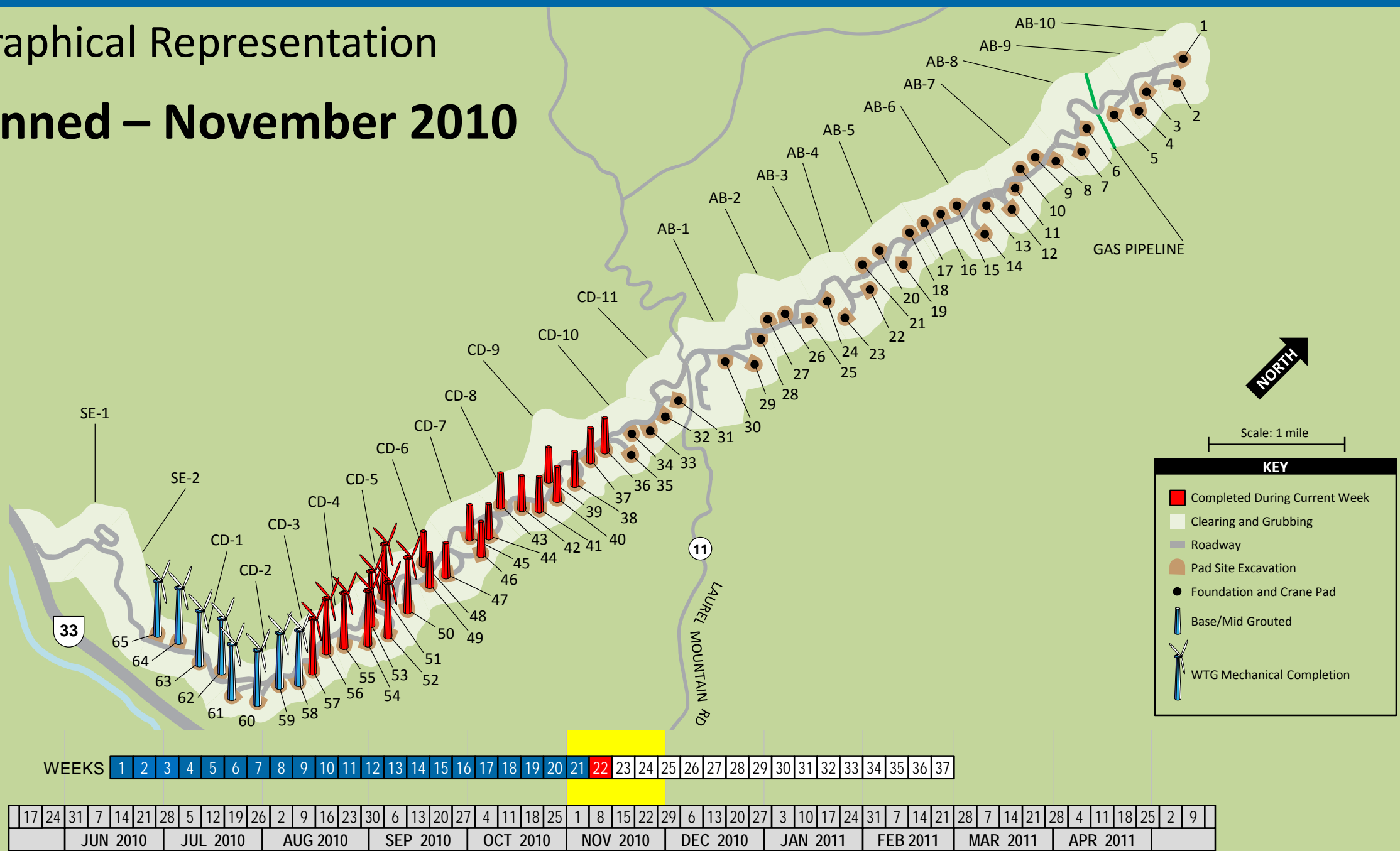
## As-Planned – November 2010

- Foundation backfill and crane pads complete 11/1/2010



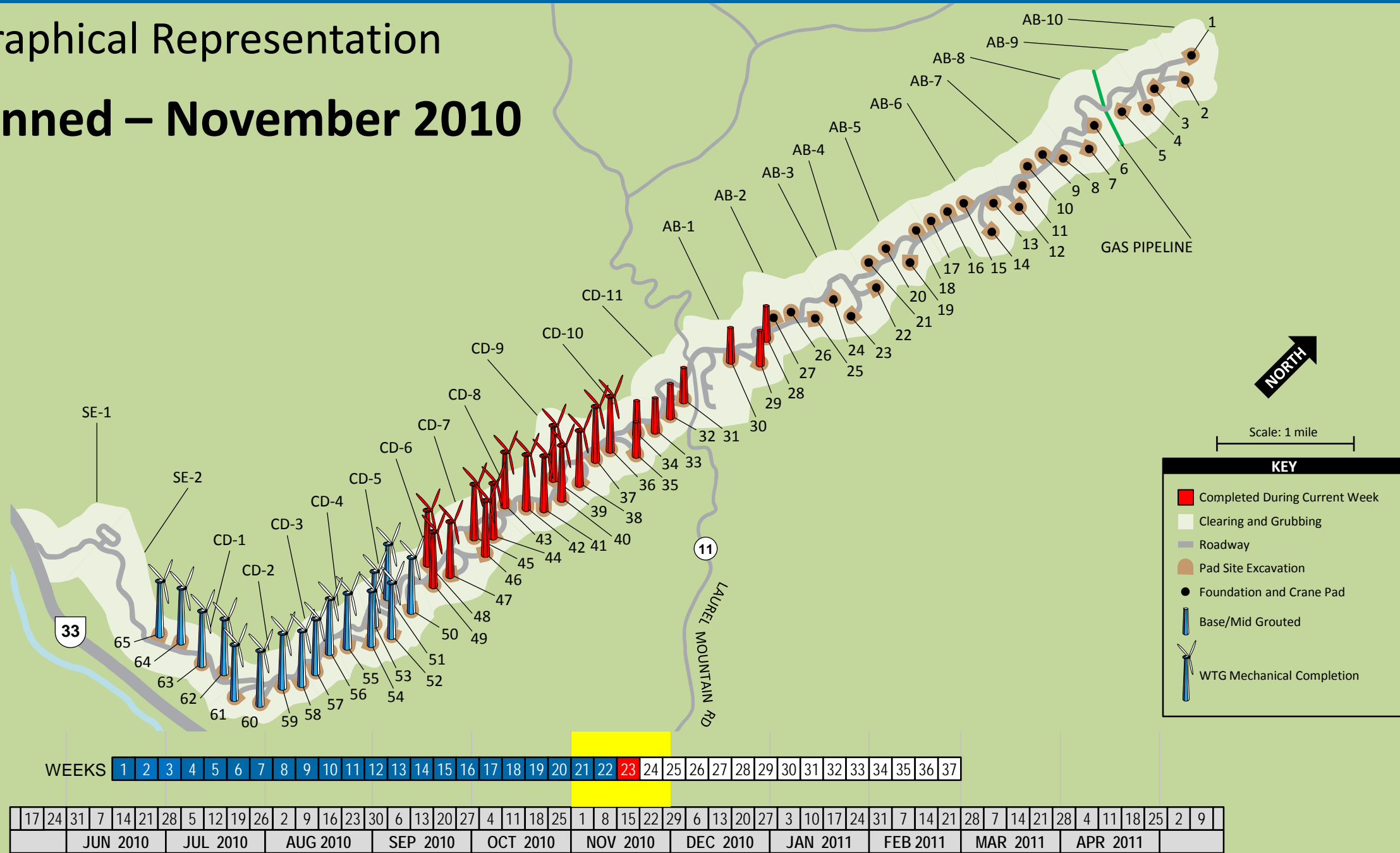
# III.A. Graphical Representation

## As-Planned – November 2010



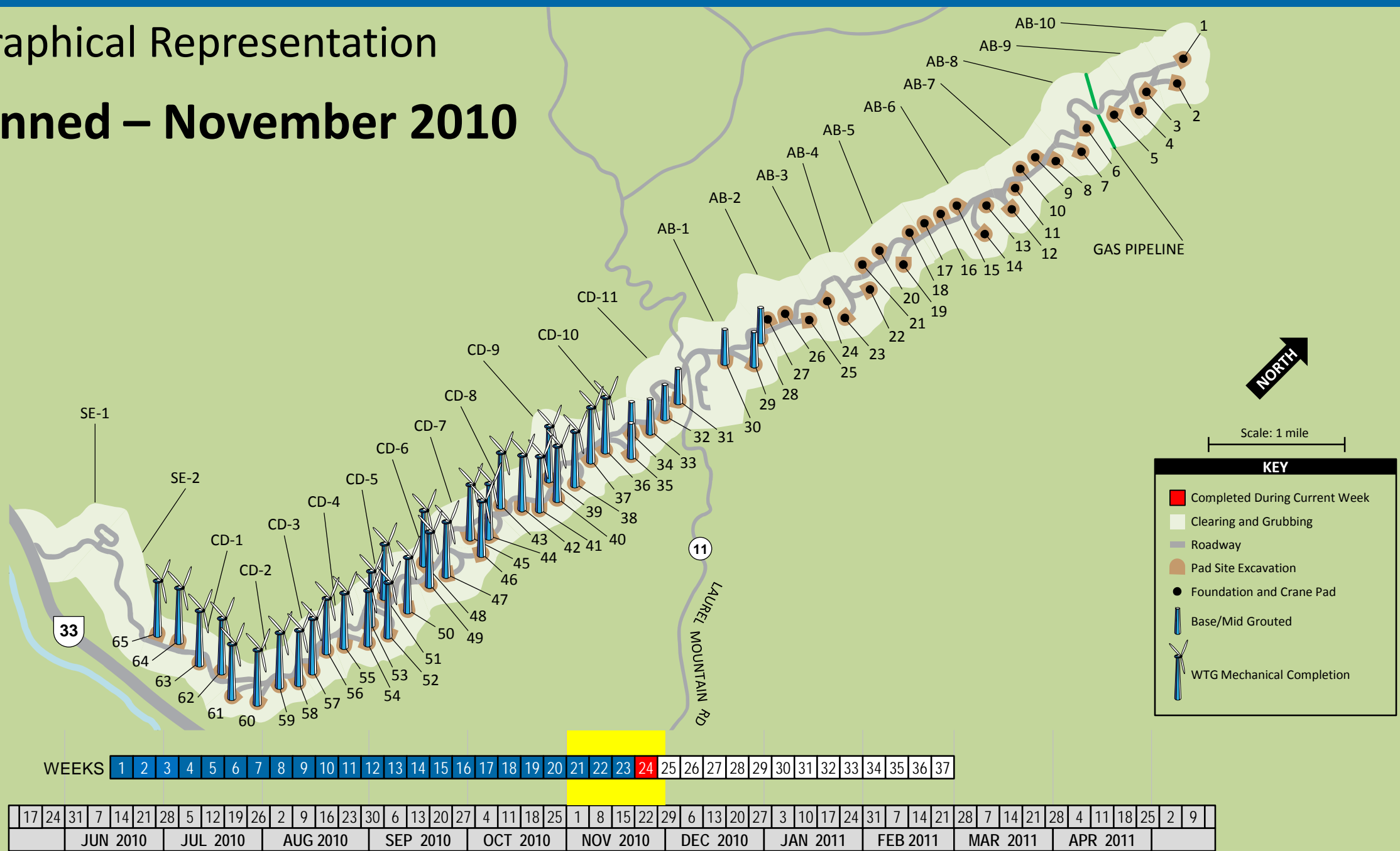
## III.A. Graphical Representation

### **As-Planned – November 2010**





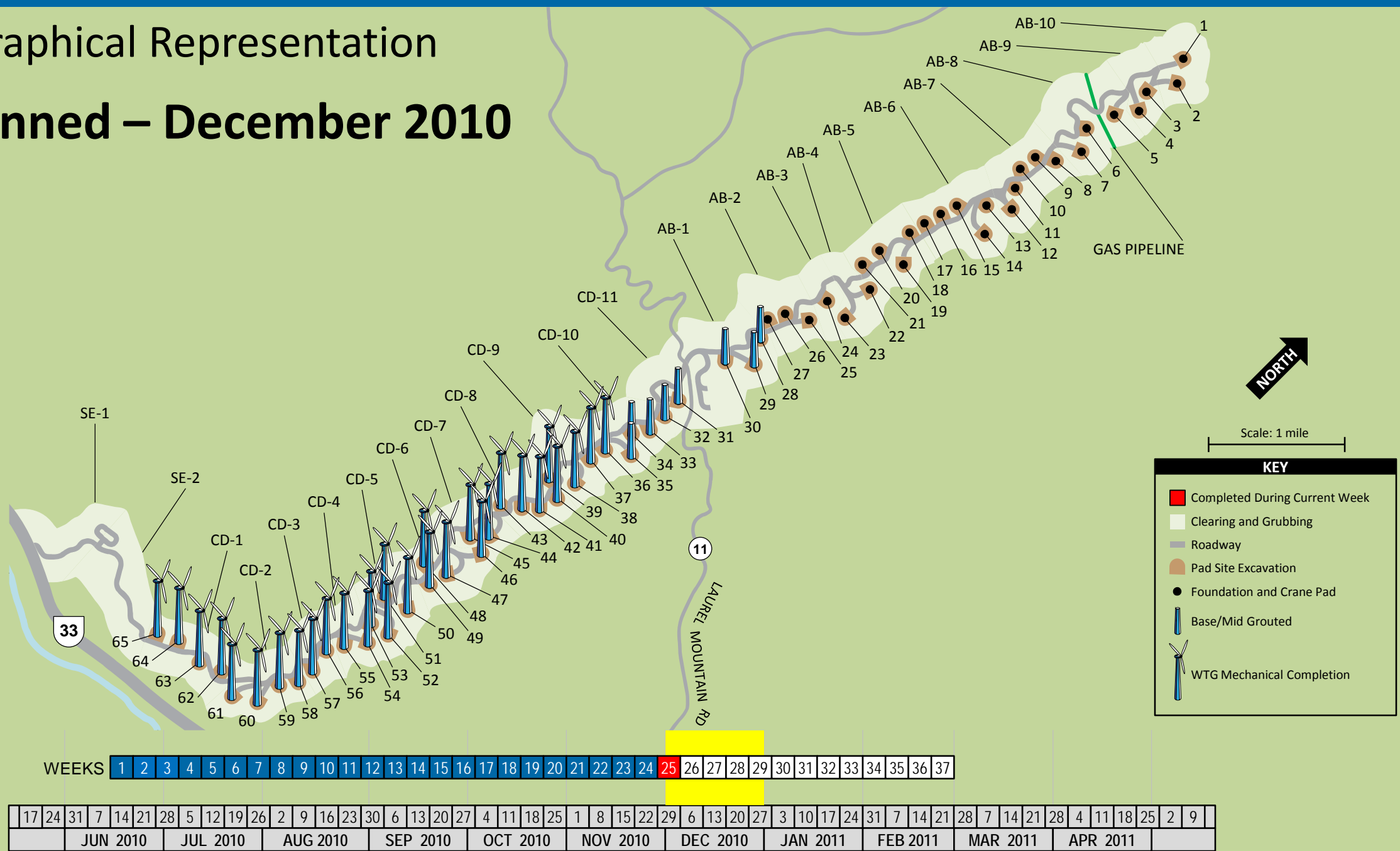
# III.A. Graphical Representation As-Planned – November 2010





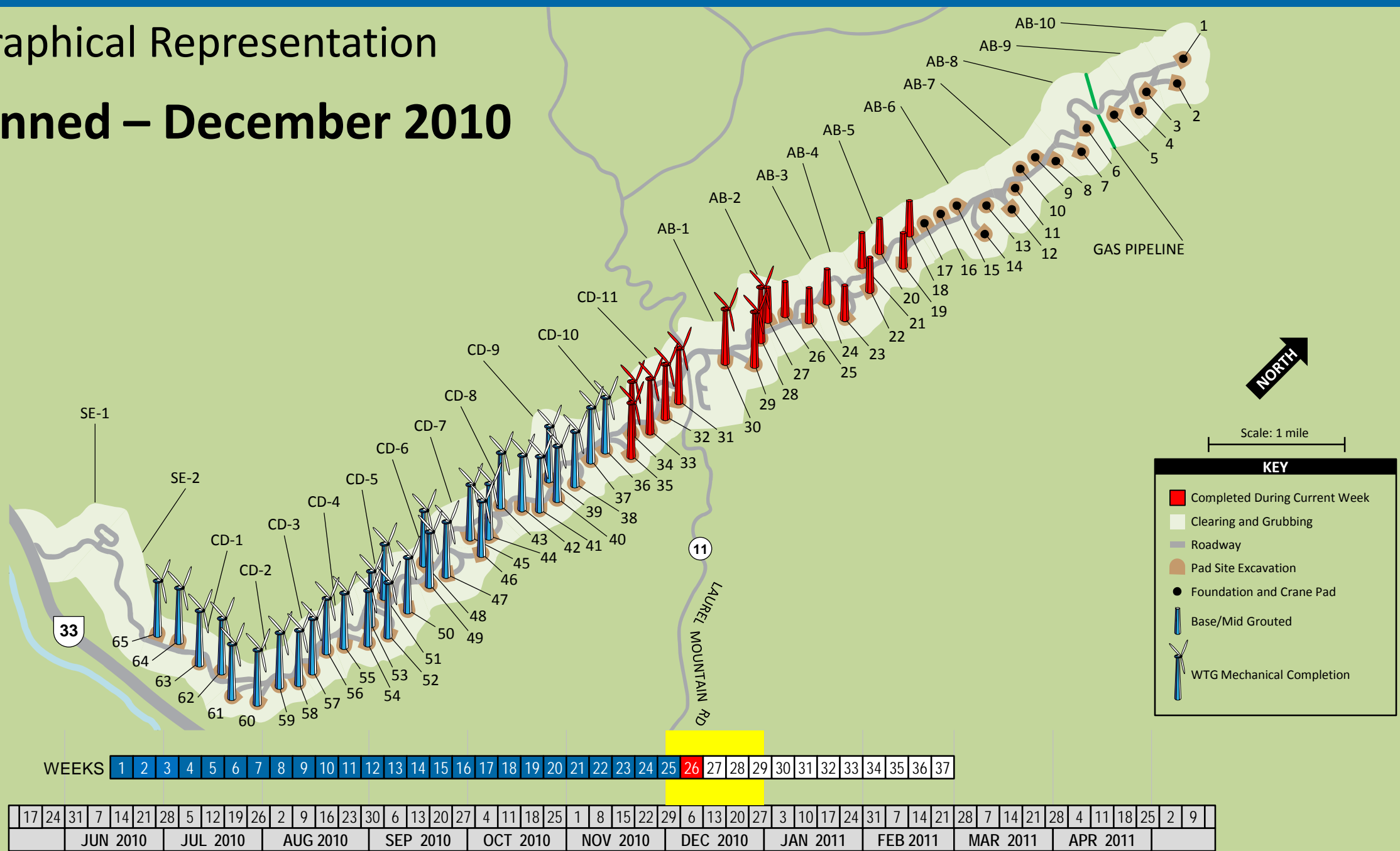
# III.A. Graphical Representation

## As-Planned – December 2010



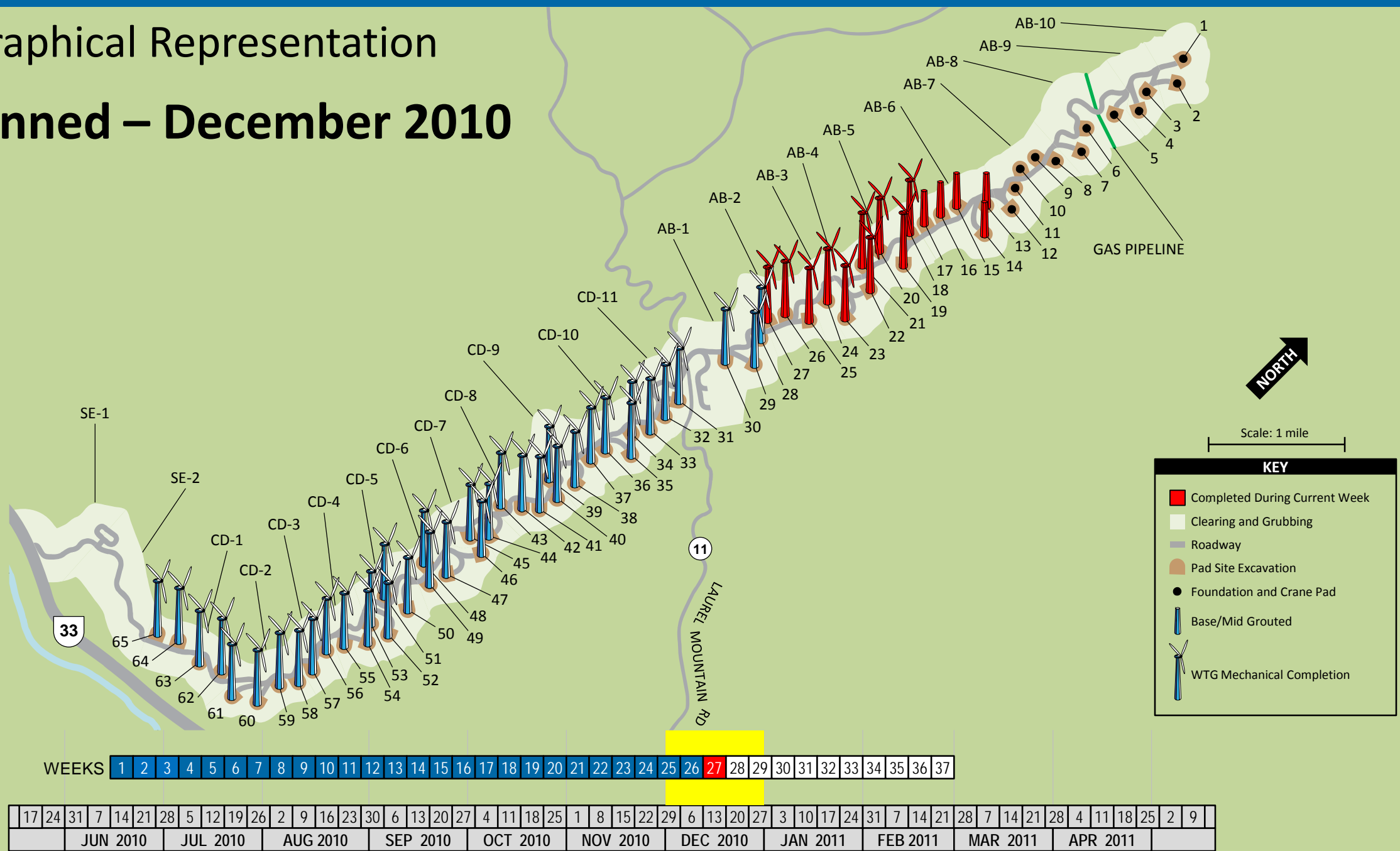
# III.A. Graphical Representation

## As-Planned – December 2010



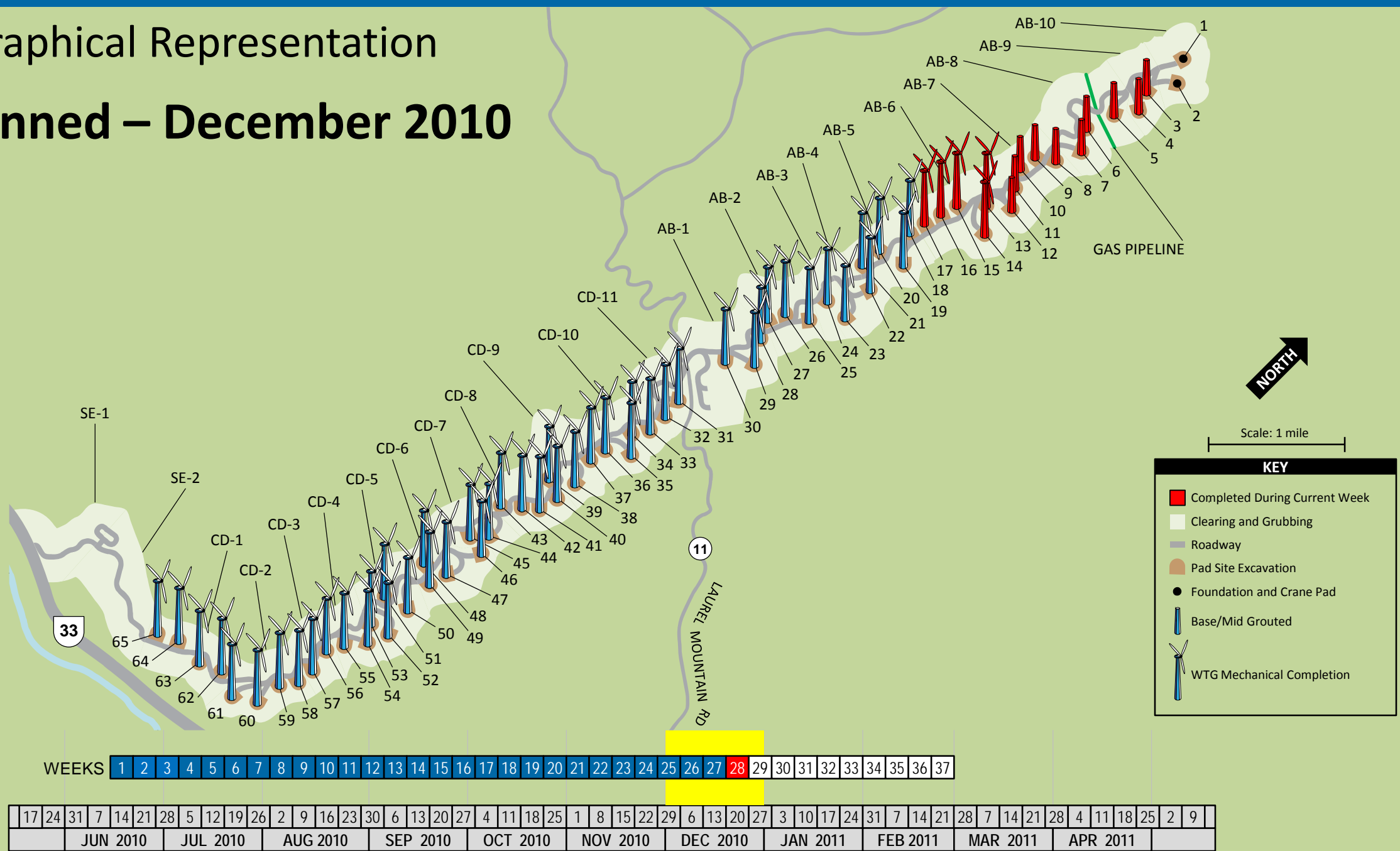
# III.A. Graphical Representation

## As-Planned – December 2010



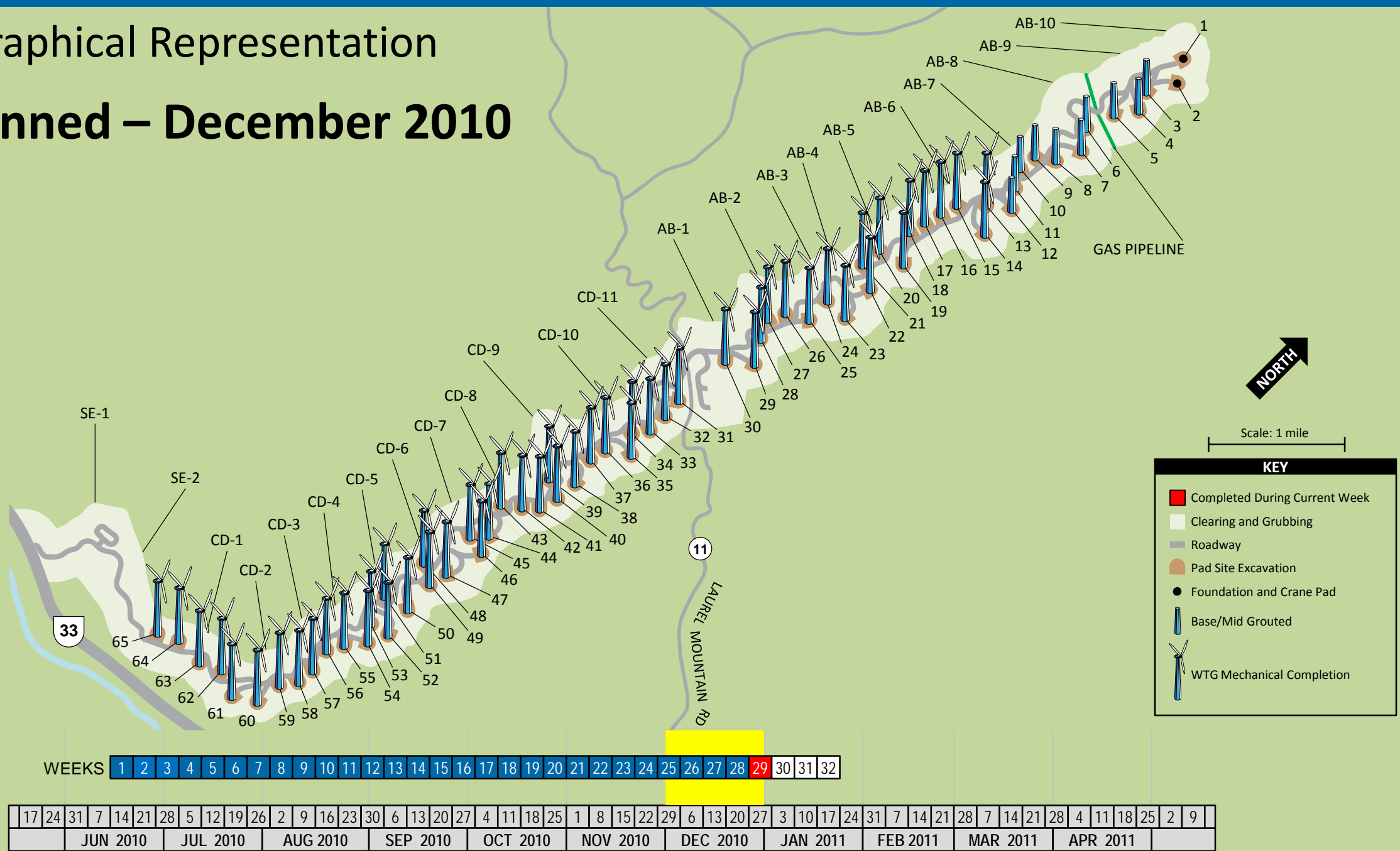
# III.A. Graphical Representation

## As-Planned – December 2010

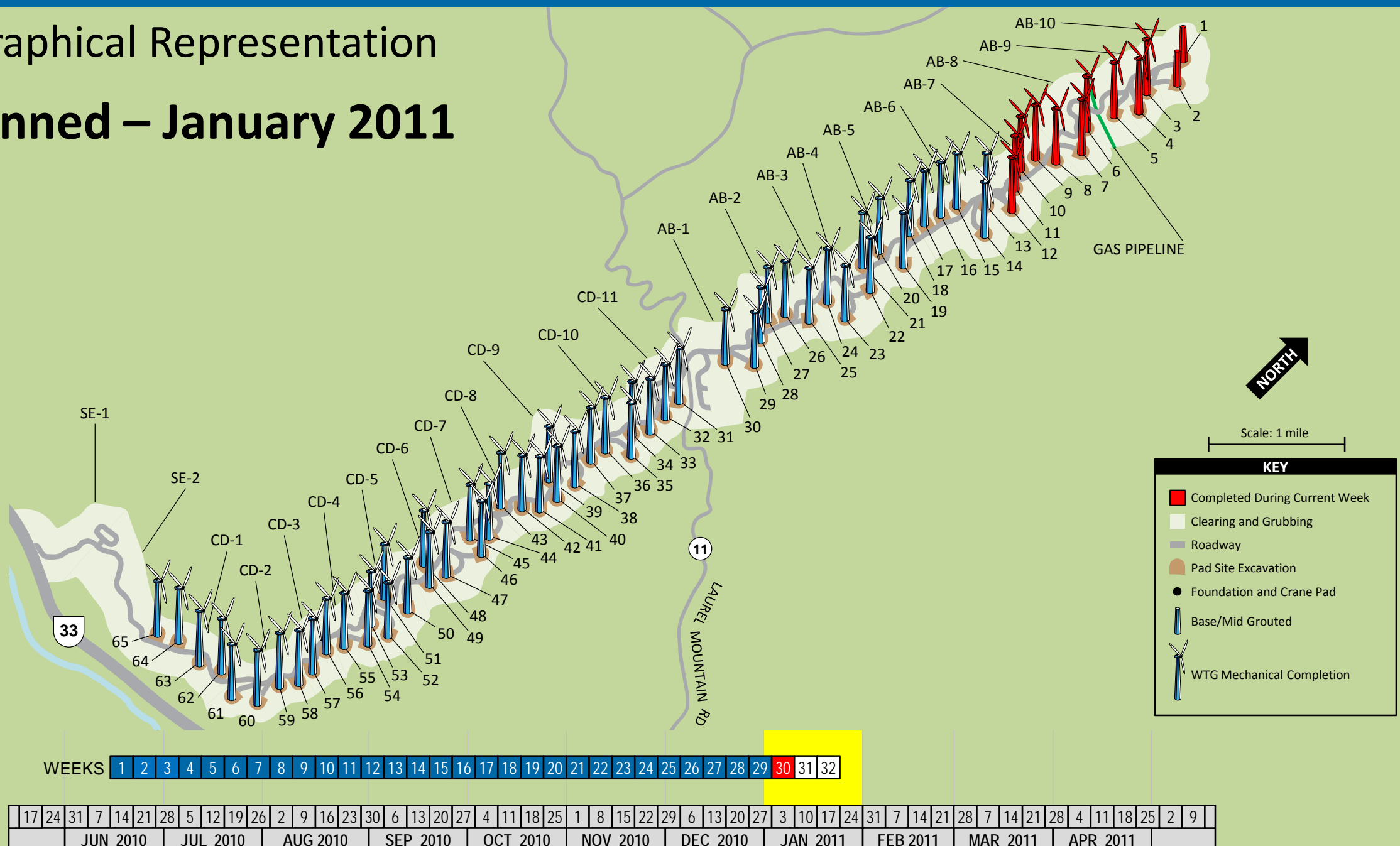


# III.A. Graphical Representation

## As-Planned – December 2010



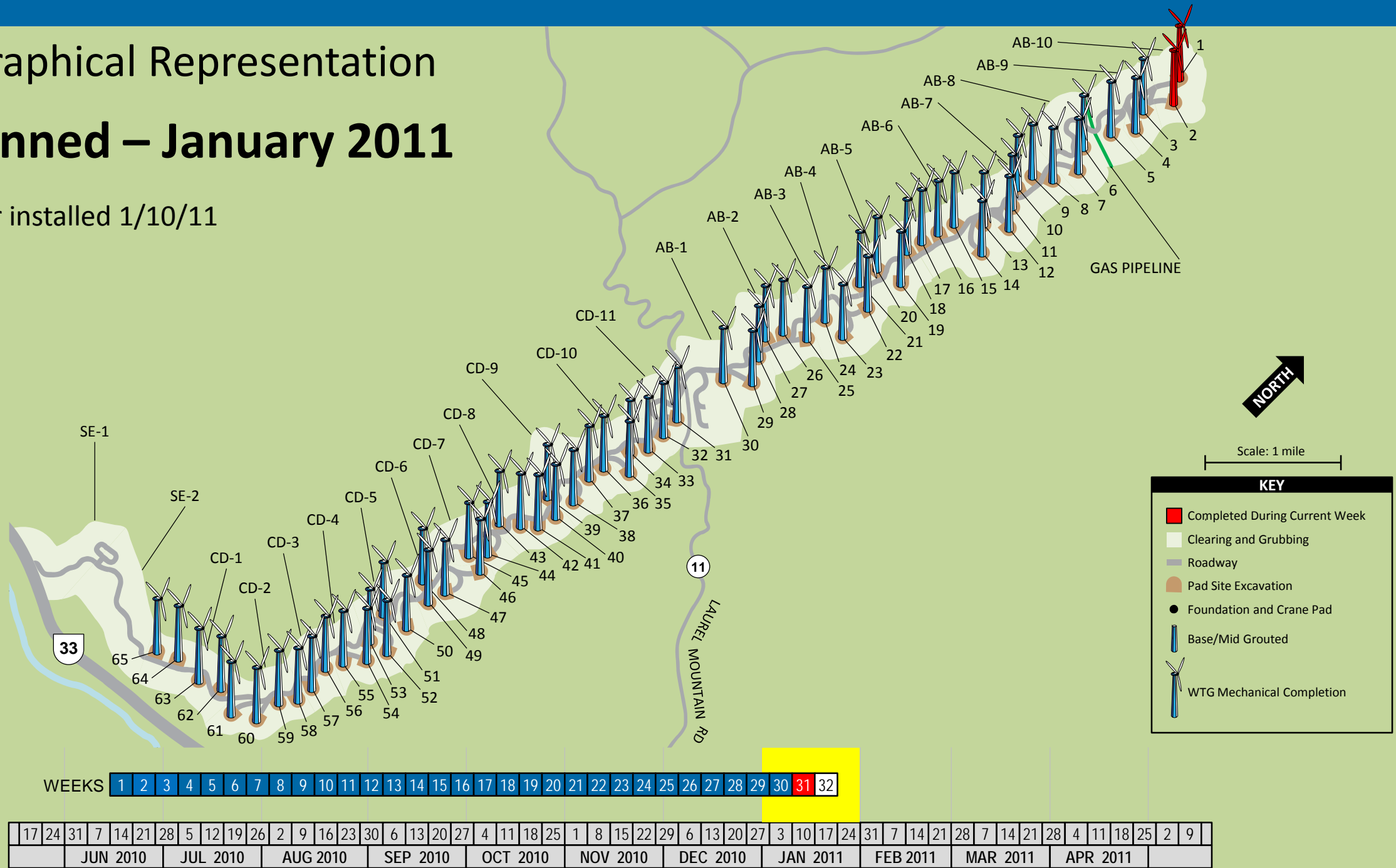
# III.A. Graphical Representation As-Planned – January 2011



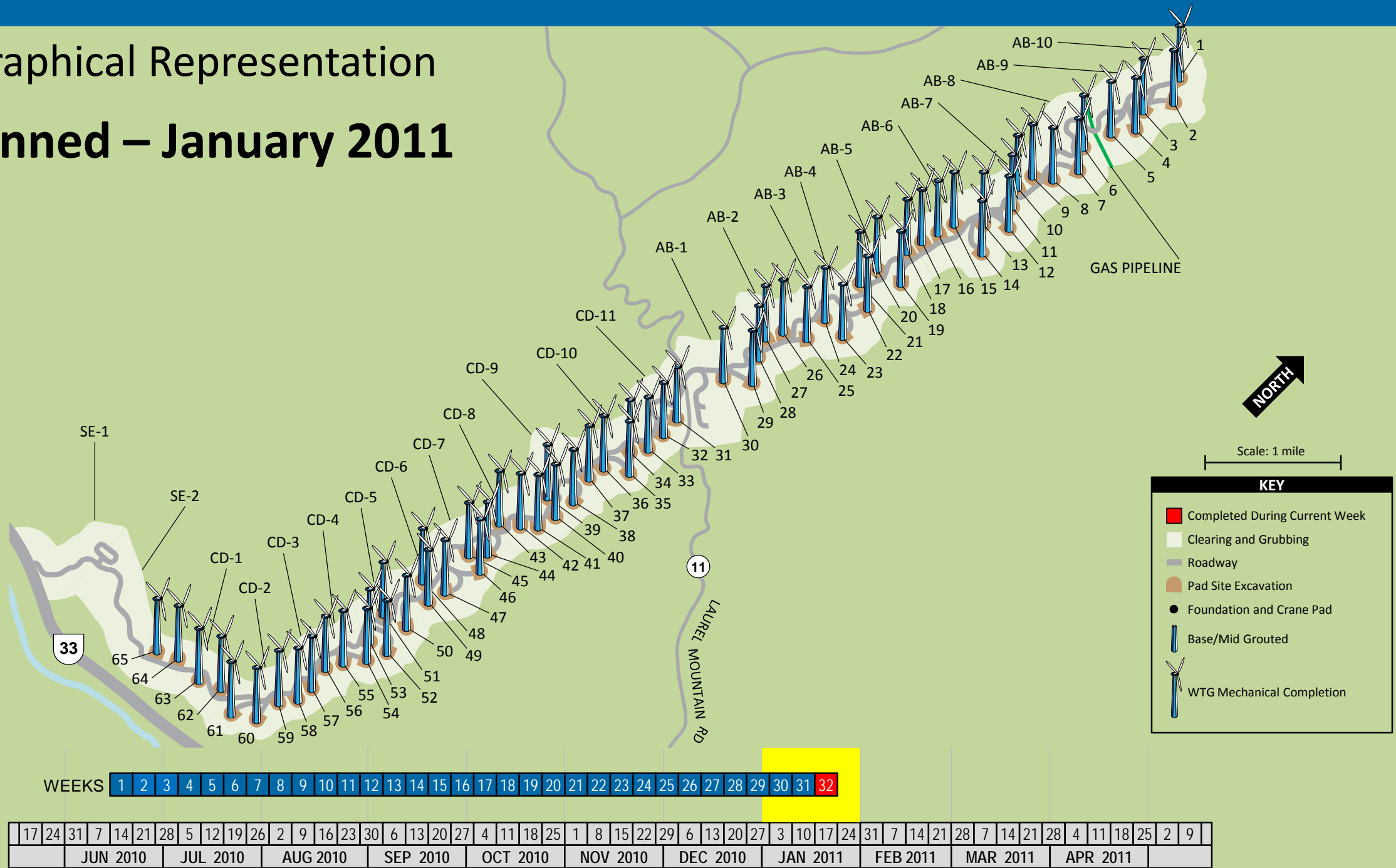


# III.A. Graphical Representation As-Planned – January 2011

• Final rotor installed 1/10/11



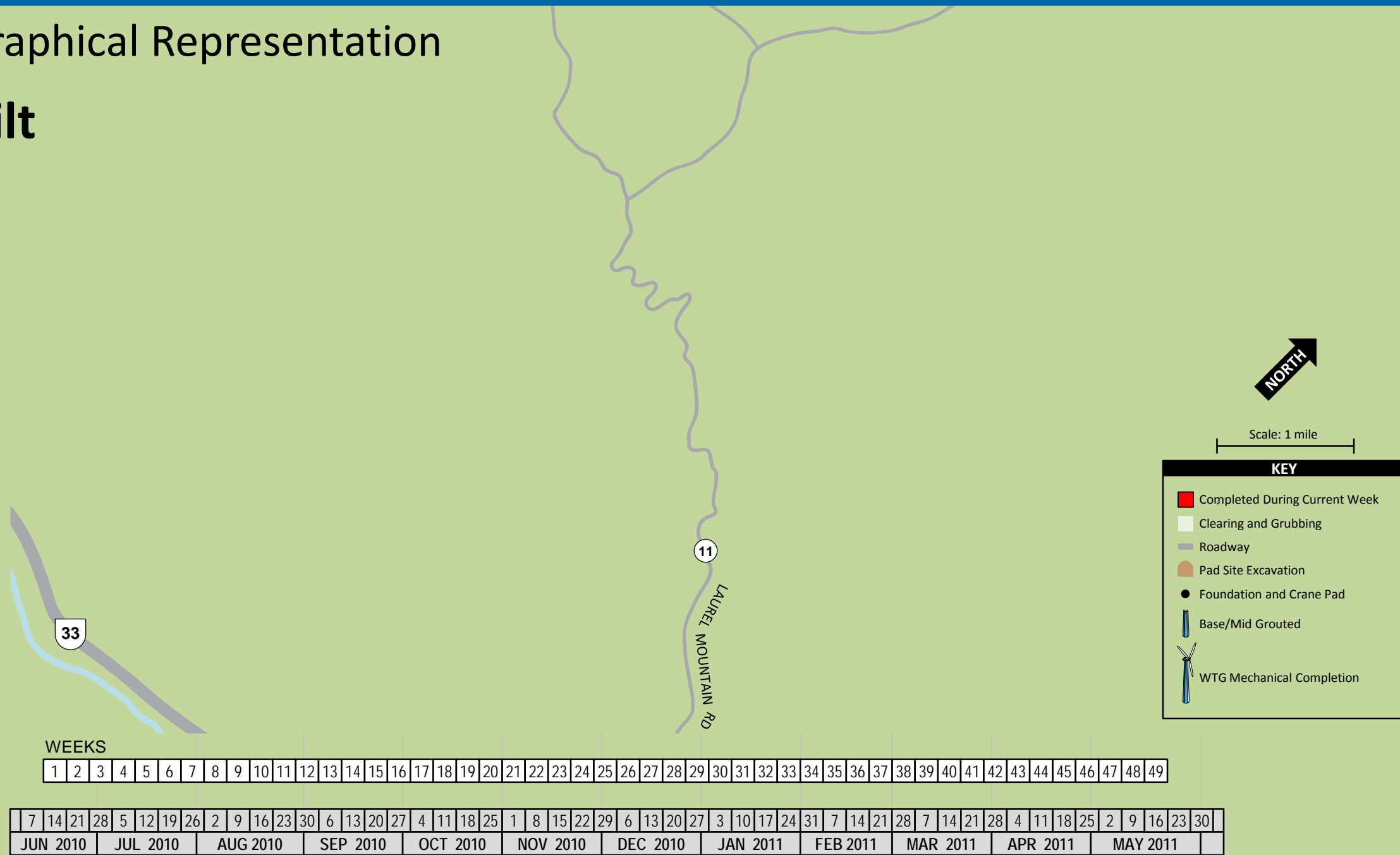
# III.A. Graphical Representation As-Planned – January 2011





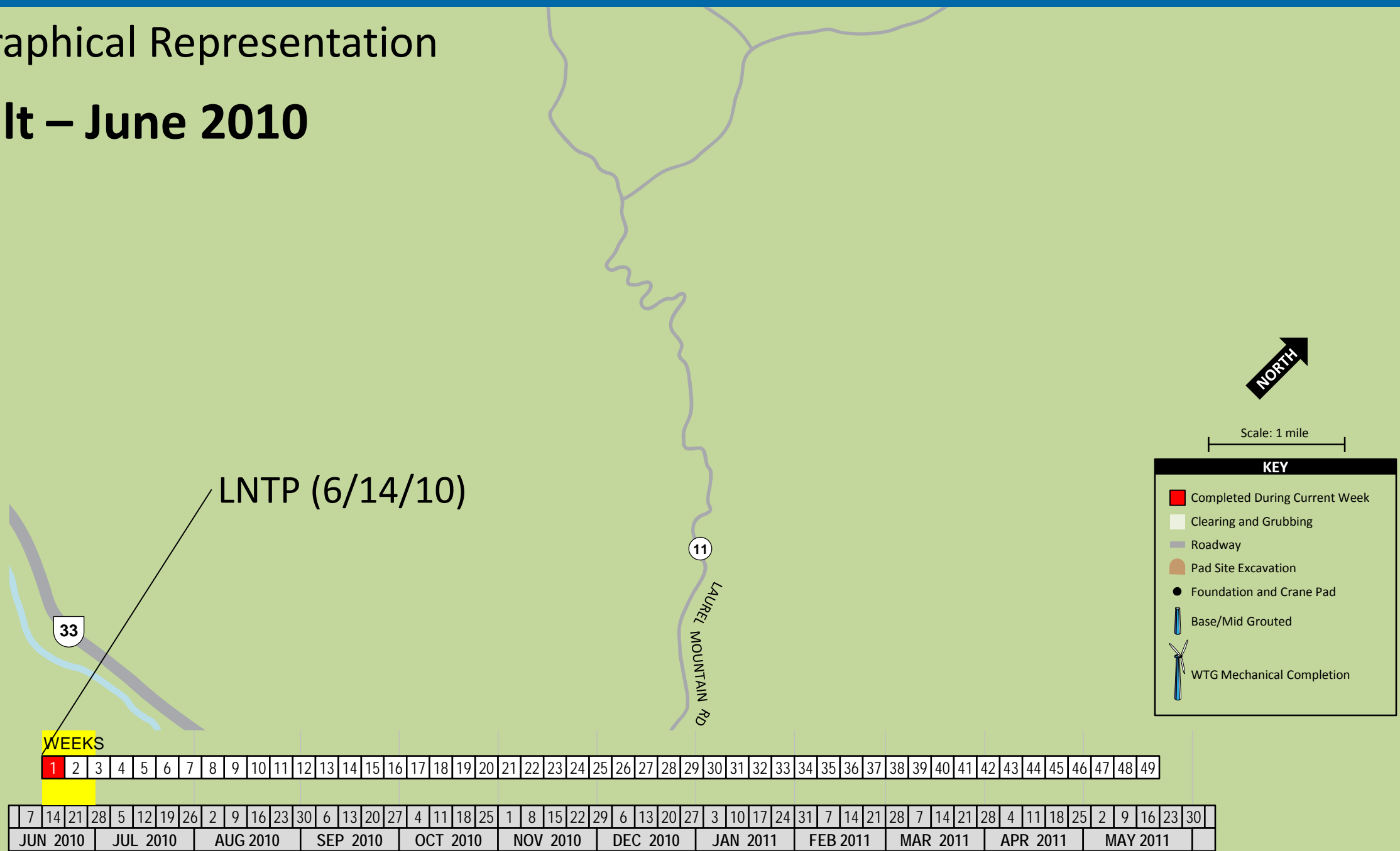
### III.A. Graphical Representation

#### As-Built



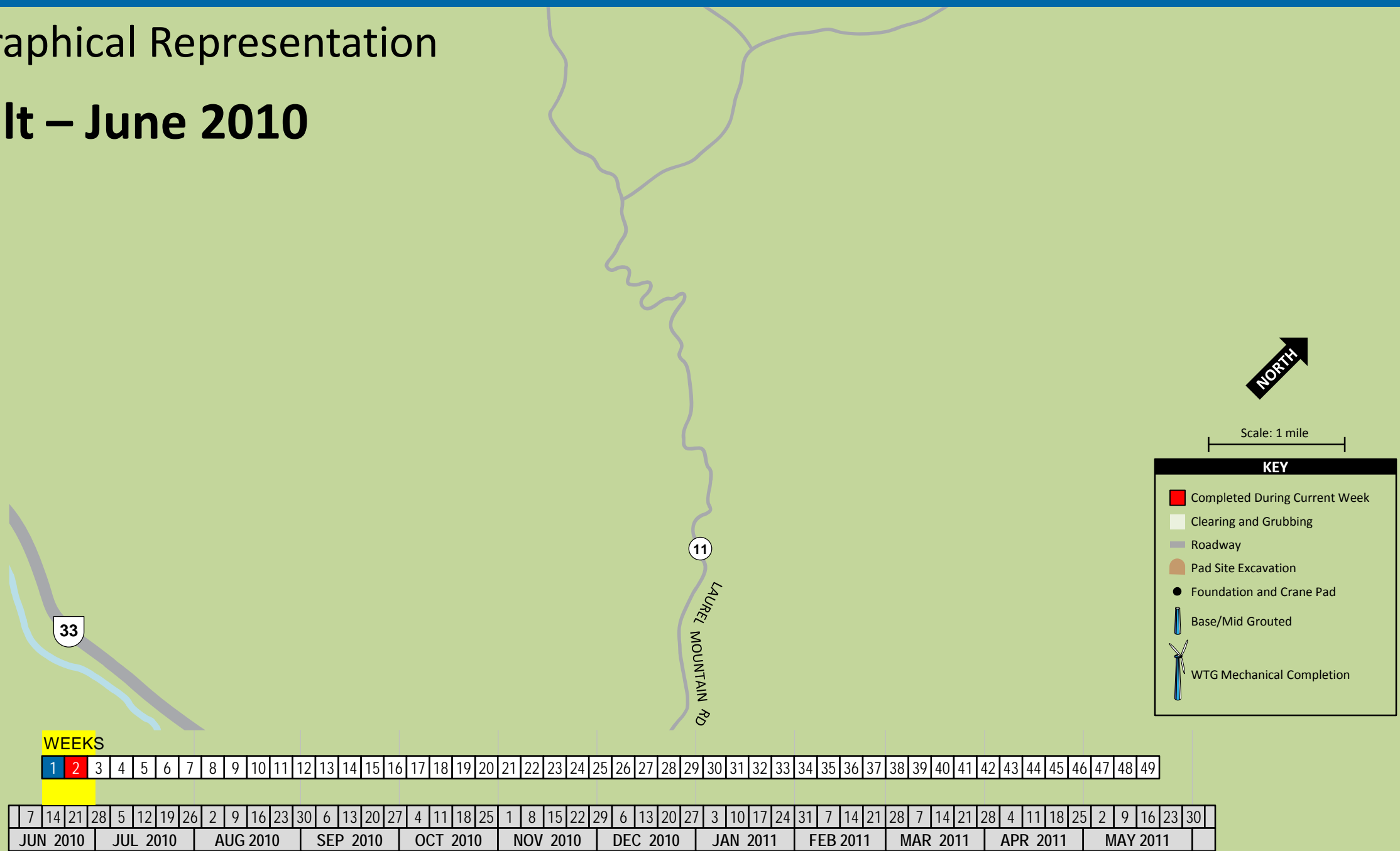
# III.A. Graphical Representation

## As-Built – June 2010



# III.A. Graphical Representation

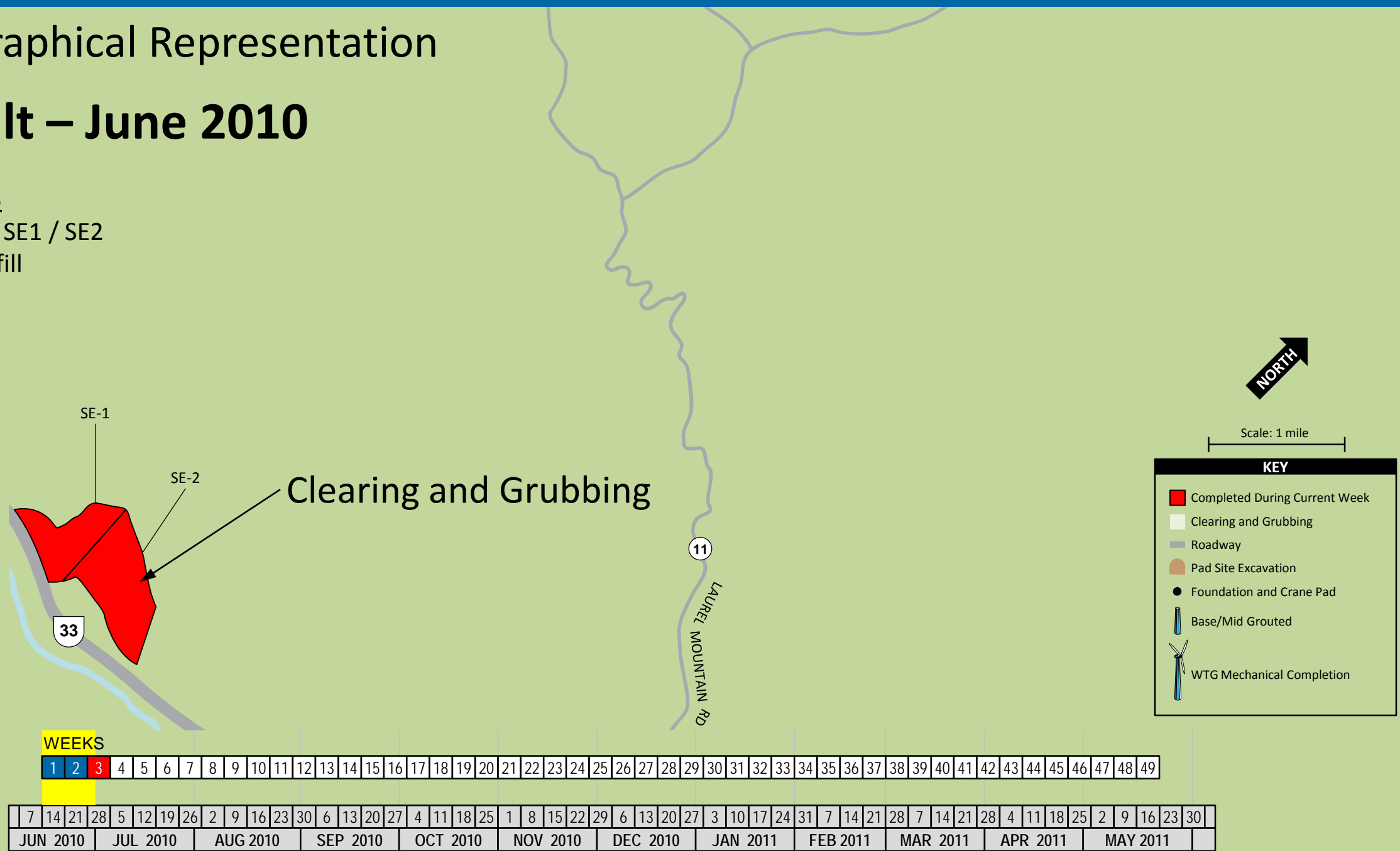
## As-Built – June 2010



# III.A. Graphical Representation

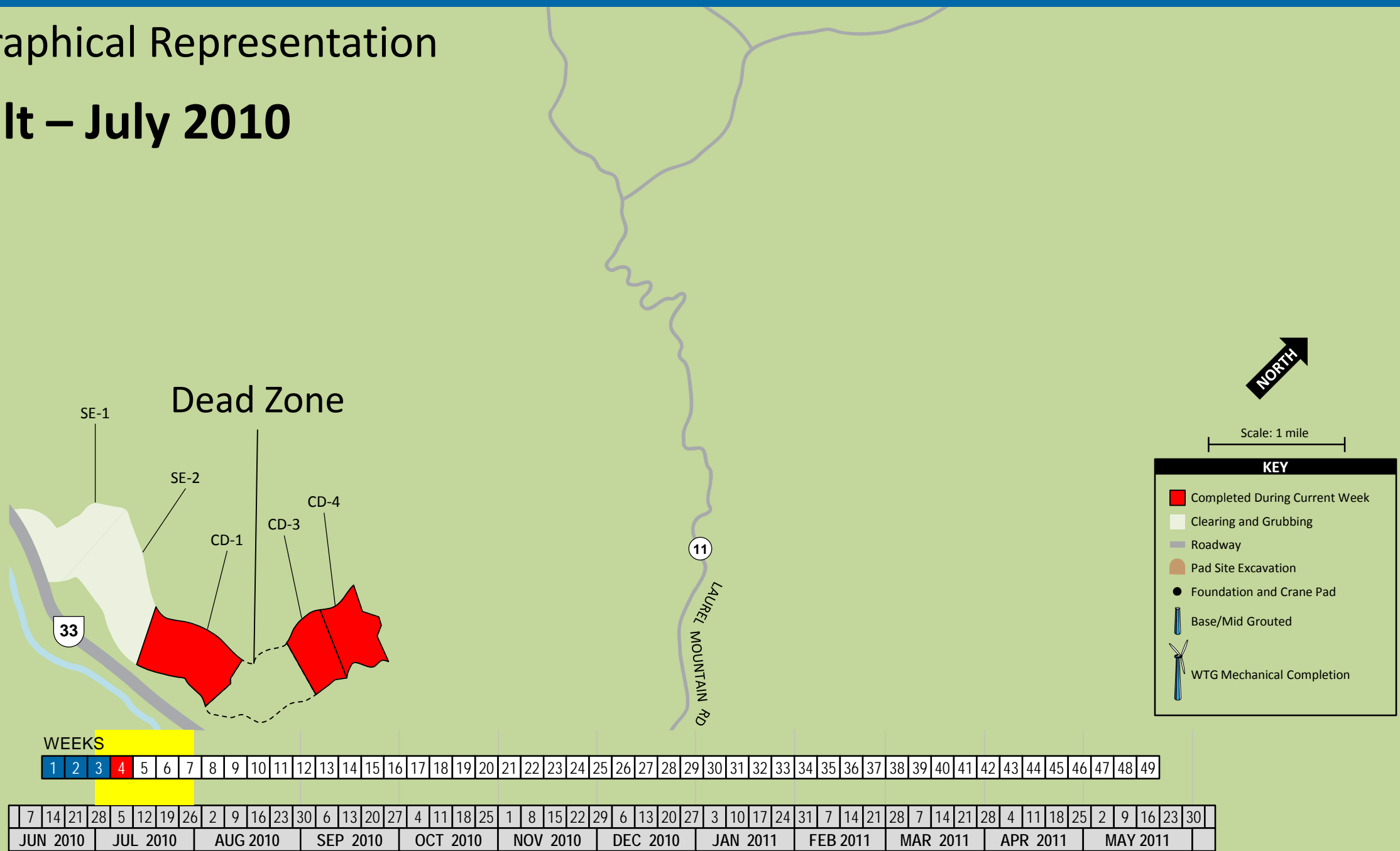
## As-Built – June 2010

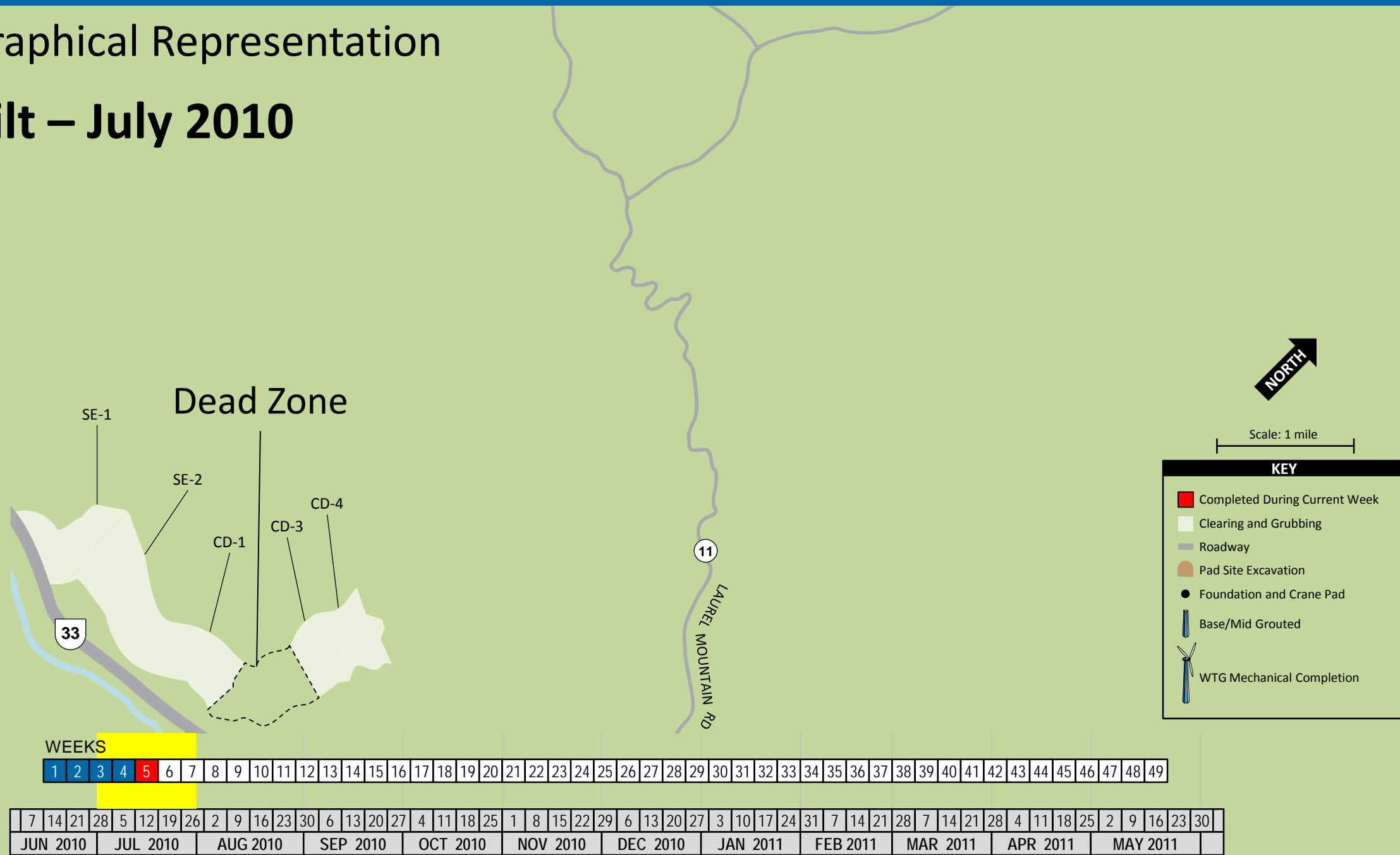
- Site Issues
  - Logging SE1 / SE2
  - Lack of fill



# III.A. Graphical Representation

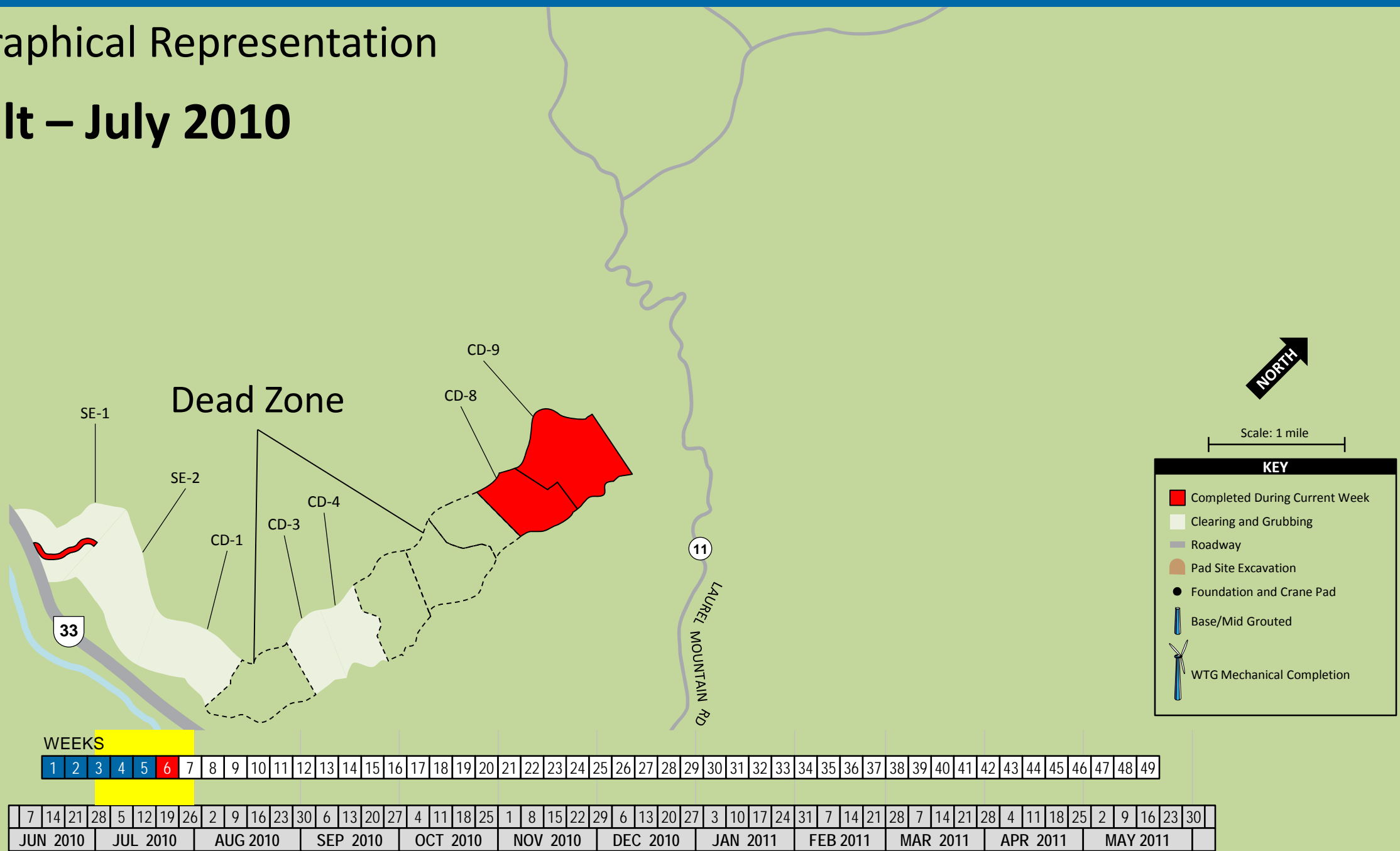
## As-Built – July 2010





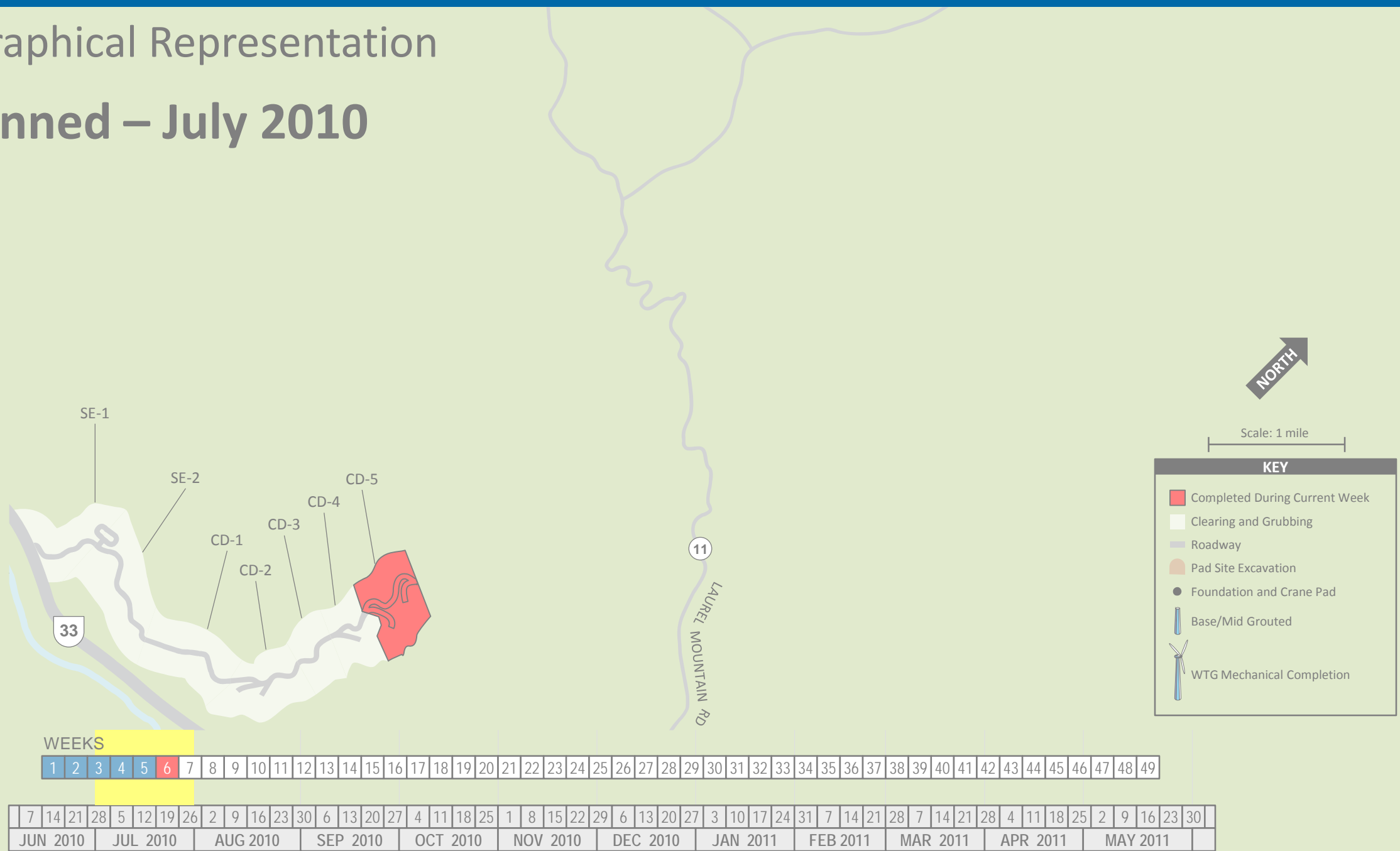
# III.A. Graphical Representation

## As-Built – July 2010



# III.A. Graphical Representation

## As-Planned – July 2010

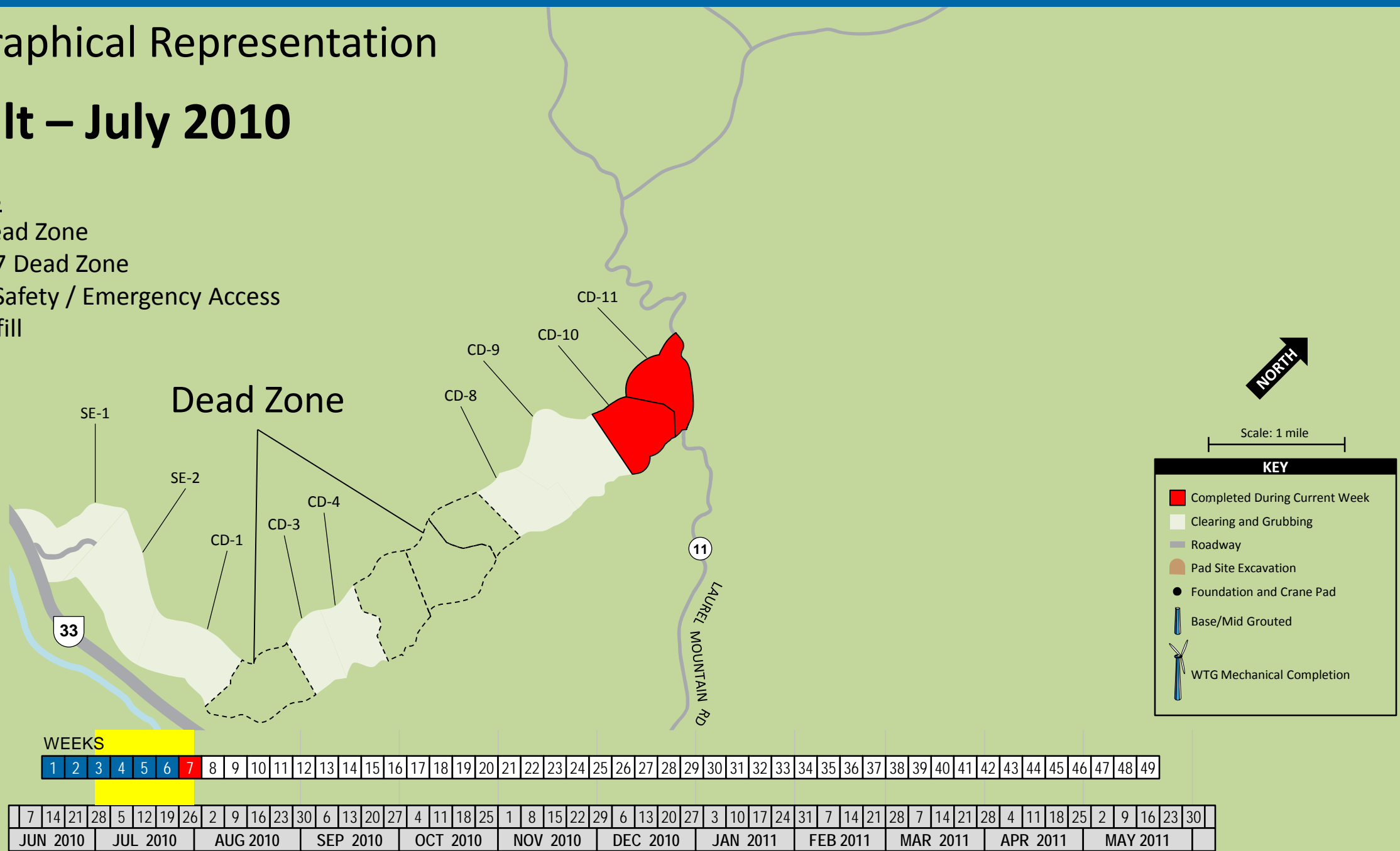




# III.A. Graphical Representation

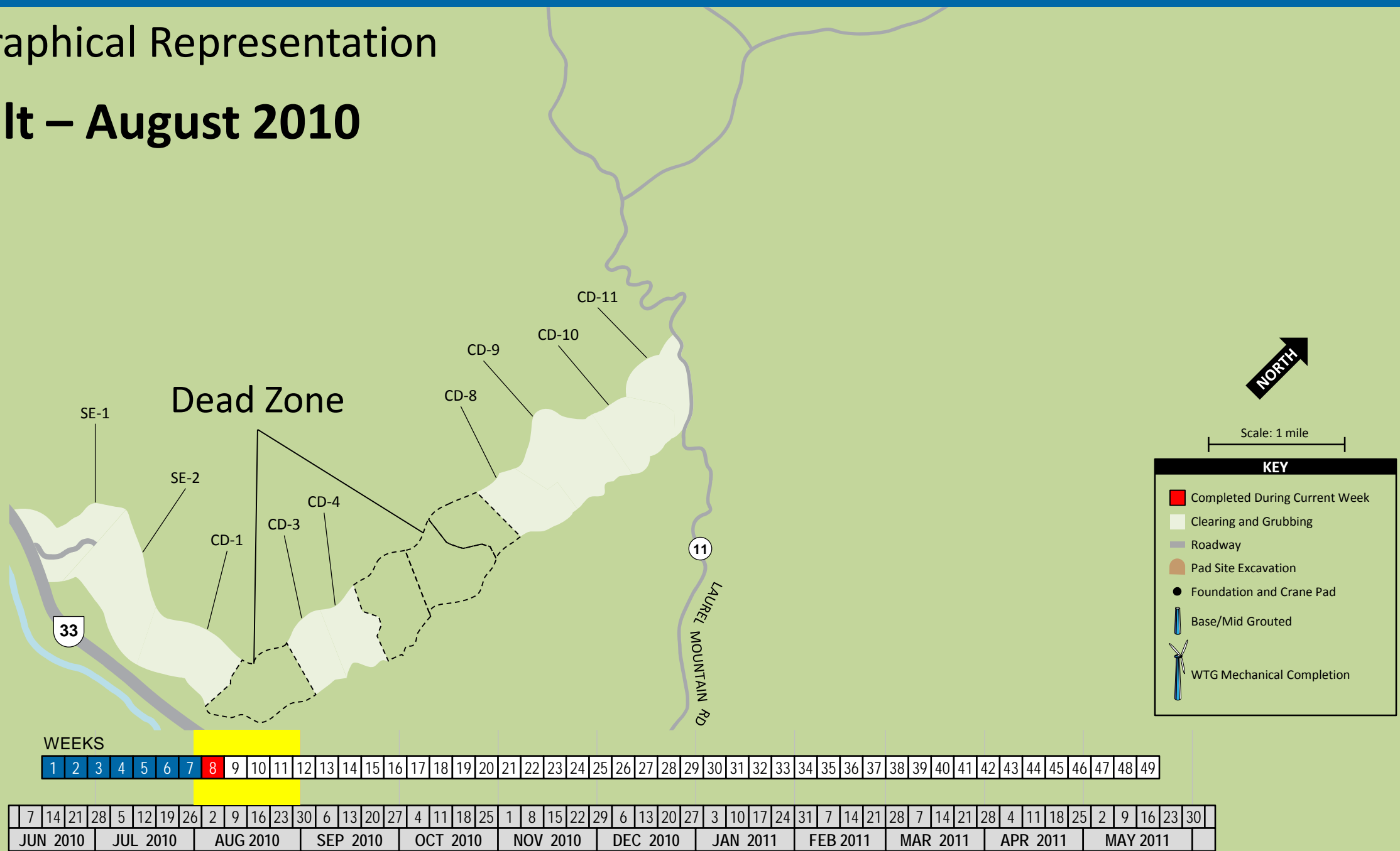
## As-Built – July 2010

- Site Issues
  - CD-2 Dead Zone
  - CD-5,6,7 Dead Zone
  - CD-3,4 Safety / Emergency Access
  - Lack of fill



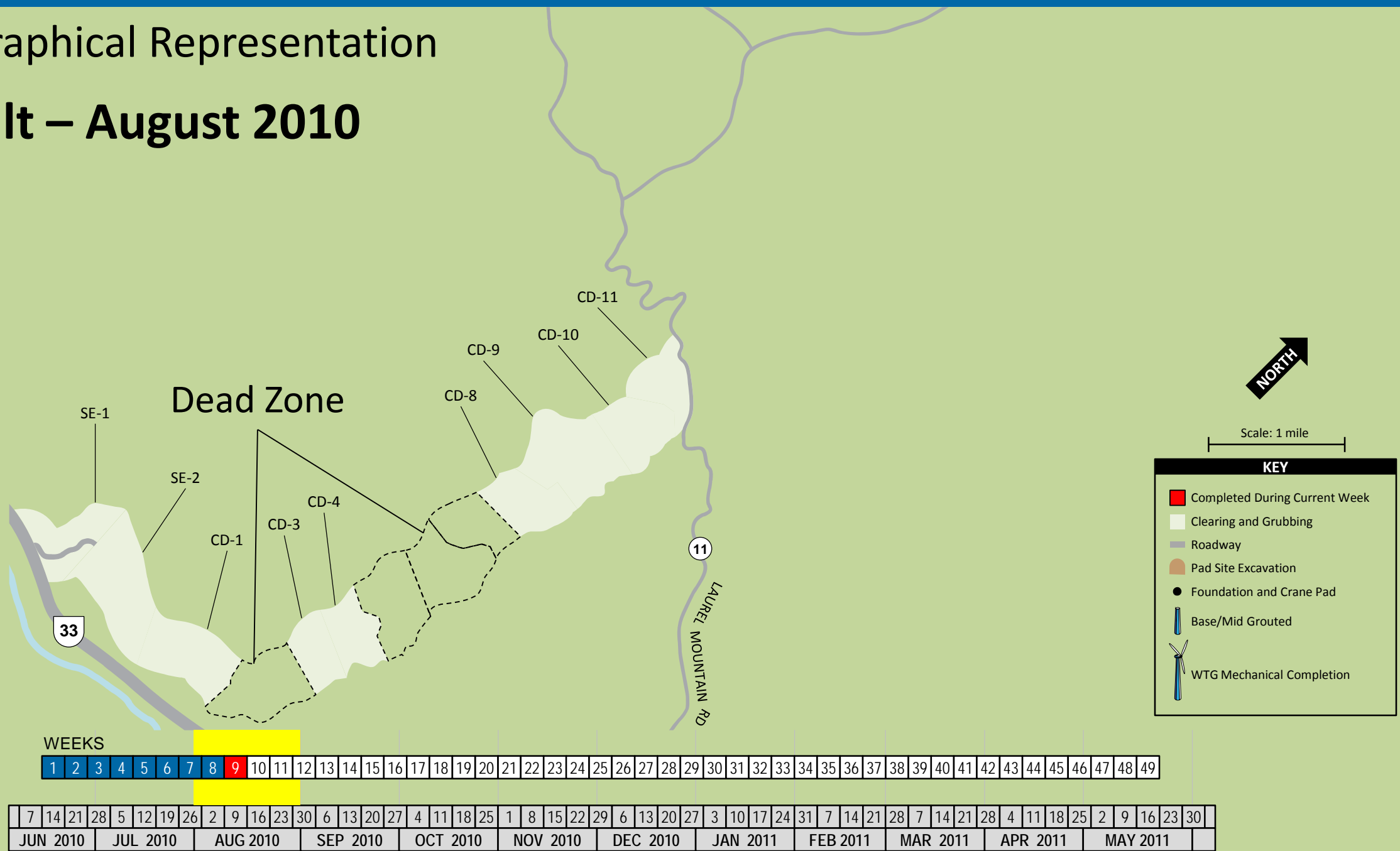
# III.A. Graphical Representation

## As-Built – August 2010



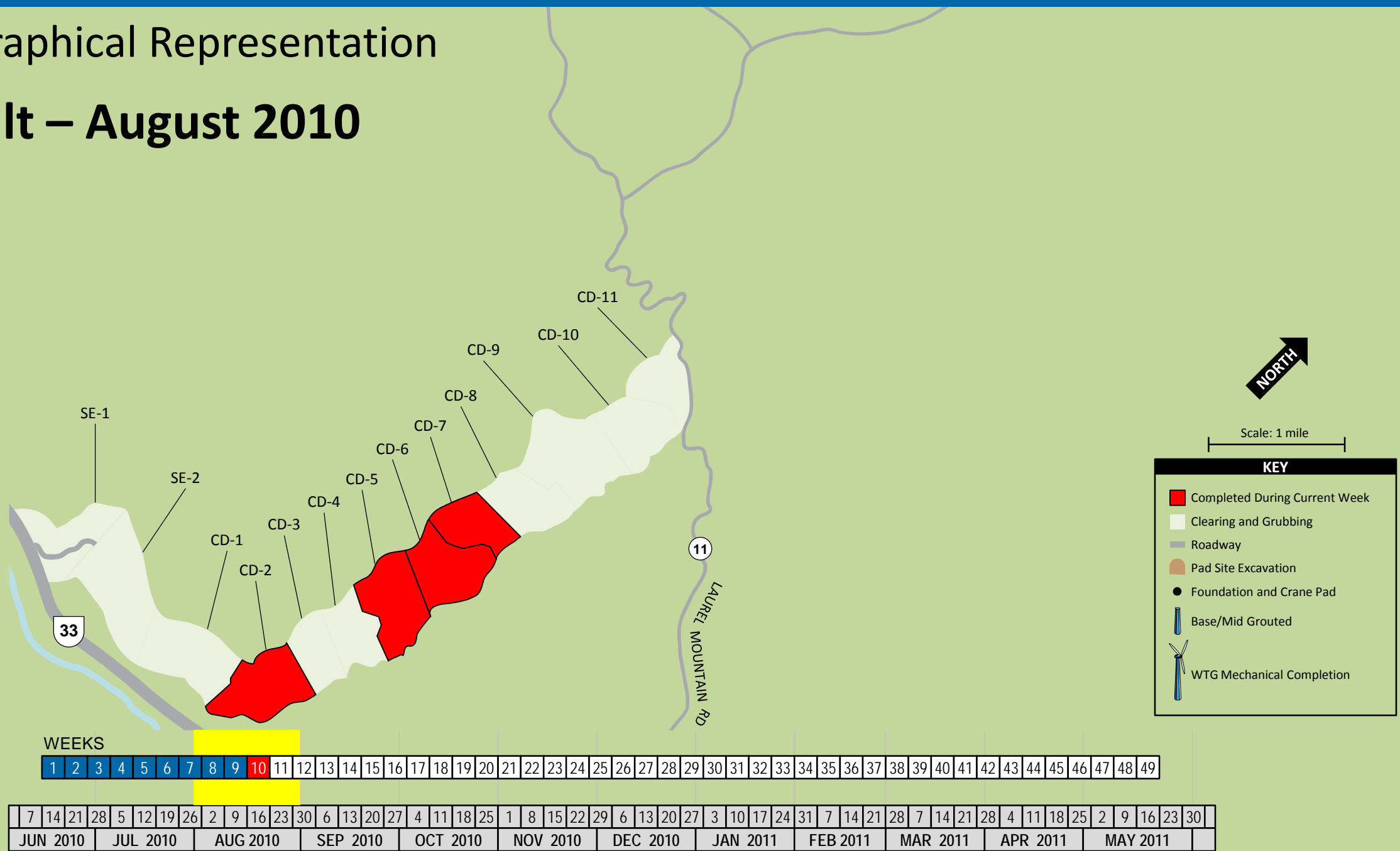
# III.A. Graphical Representation

## As-Built – August 2010



# III.A. Graphical Representation

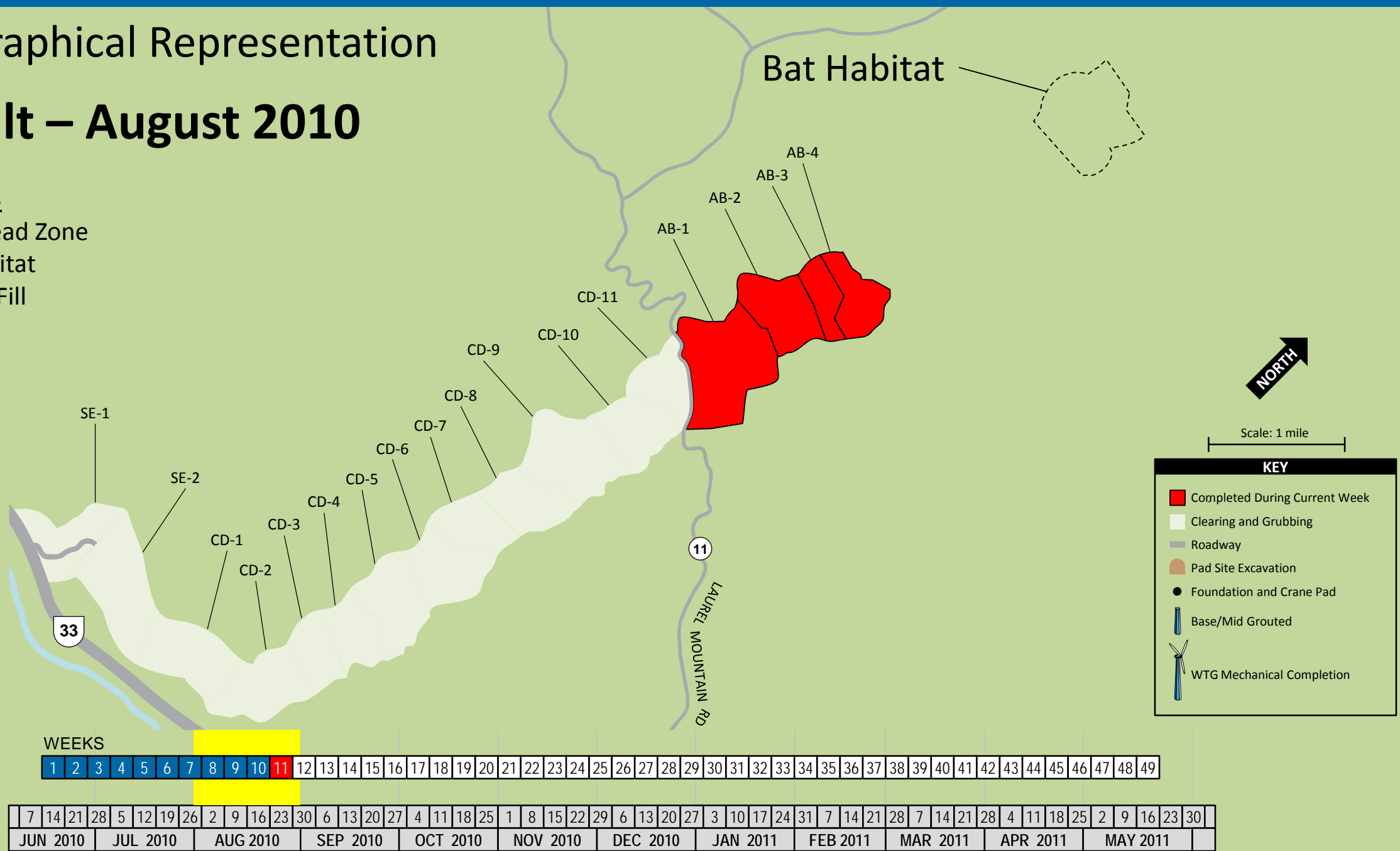
## As-Built – August 2010



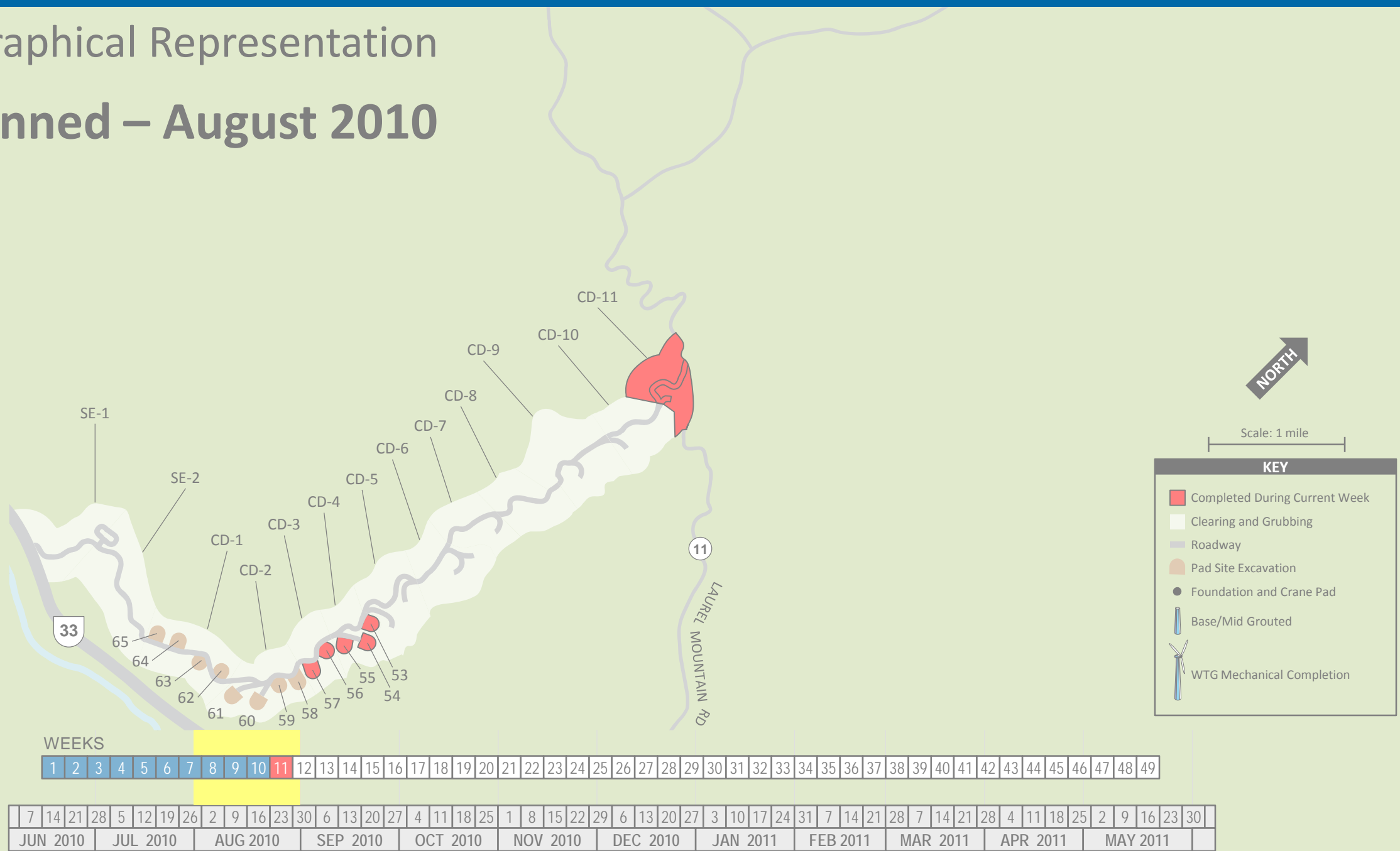
# III.A. Graphical Representation

## As-Built – August 2010

- Site Issues
  - CD-2 Dead Zone
  - Bat Habitat
  - Lack of Fill



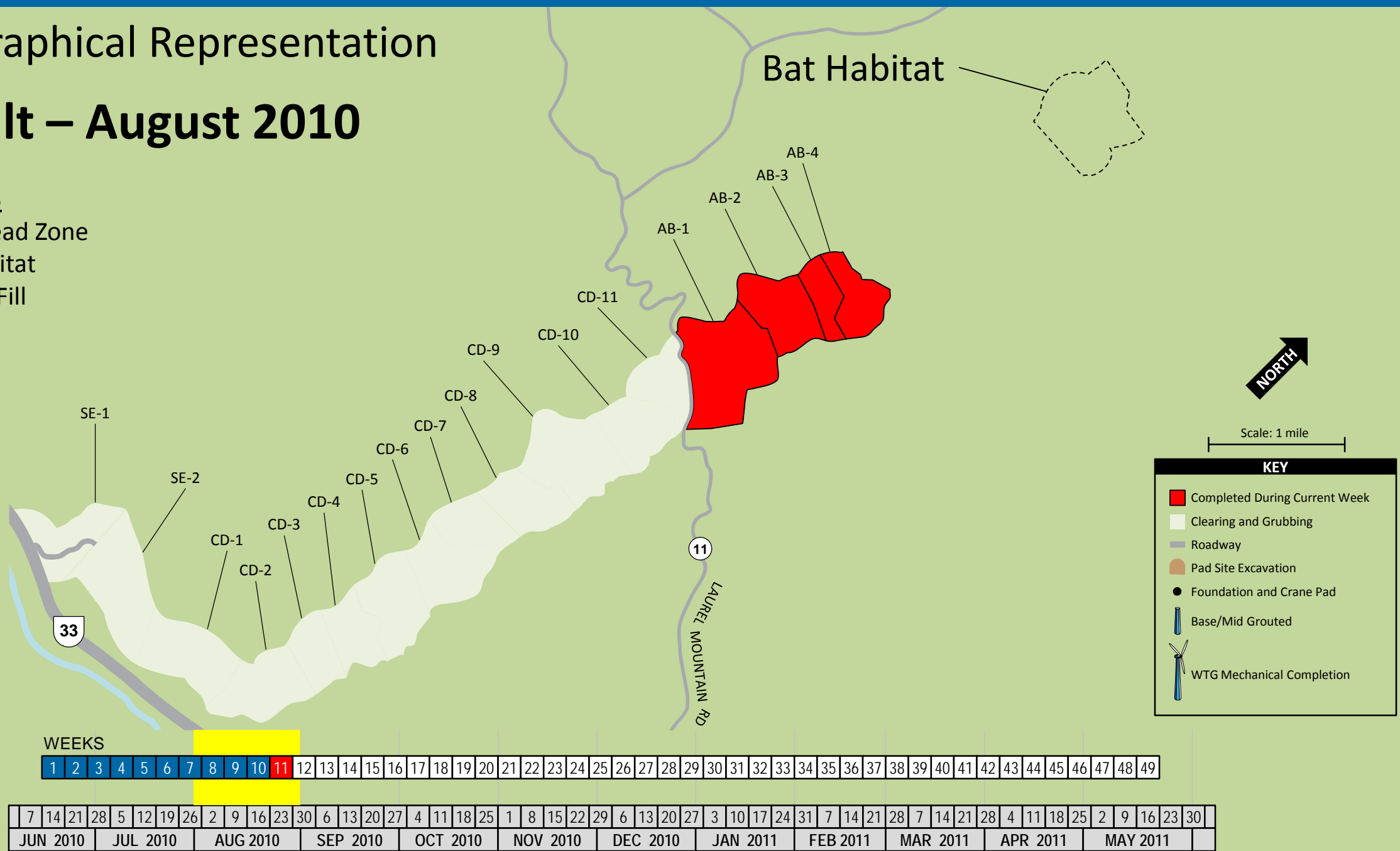
# III.A. Graphical Representation As-Planned – August 2010



# III.A. Graphical Representation

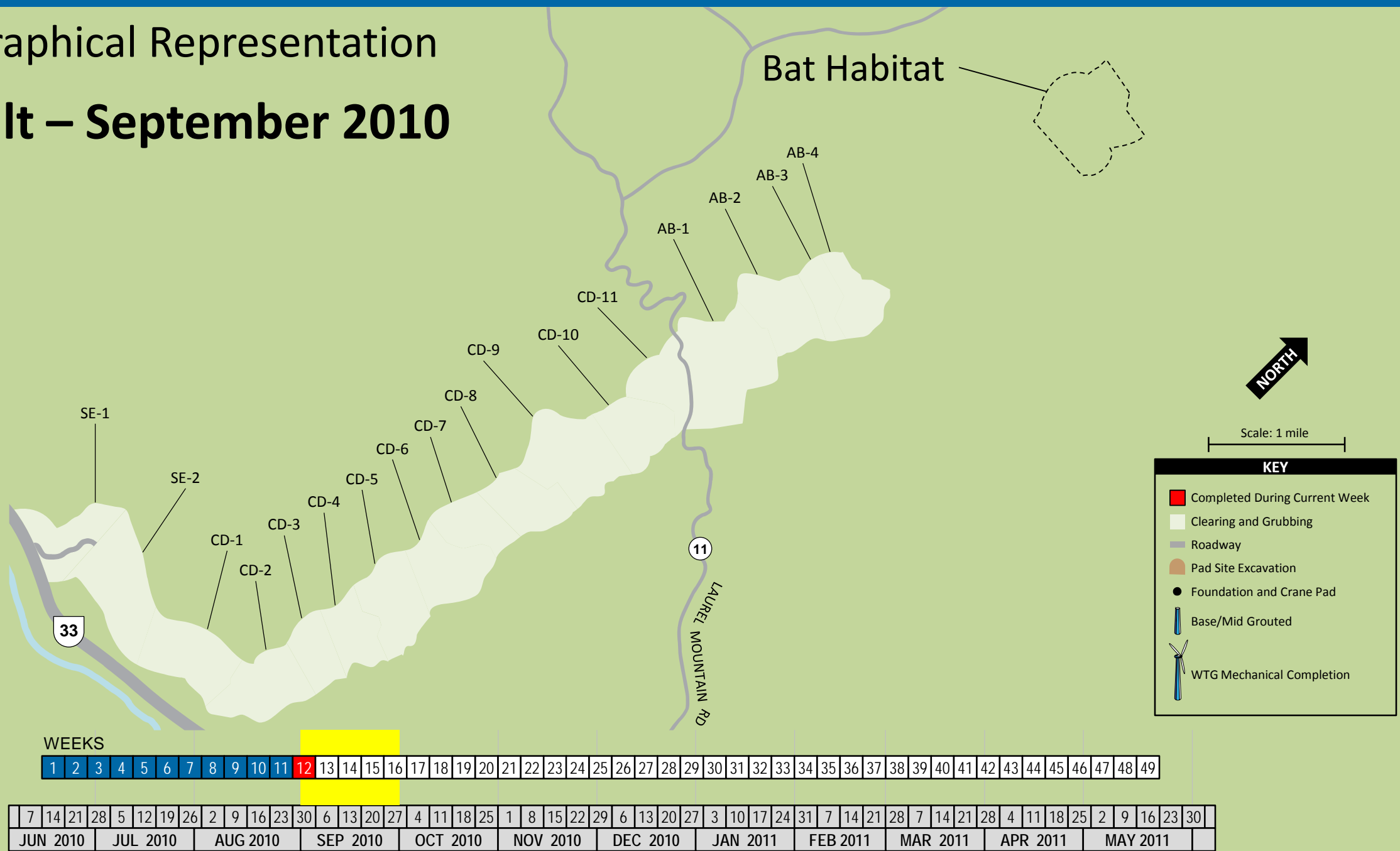
## As-Built – August 2010

- Site Issues
  - CD-2 Dead Zone
  - Bat Habitat
  - Lack of Fill

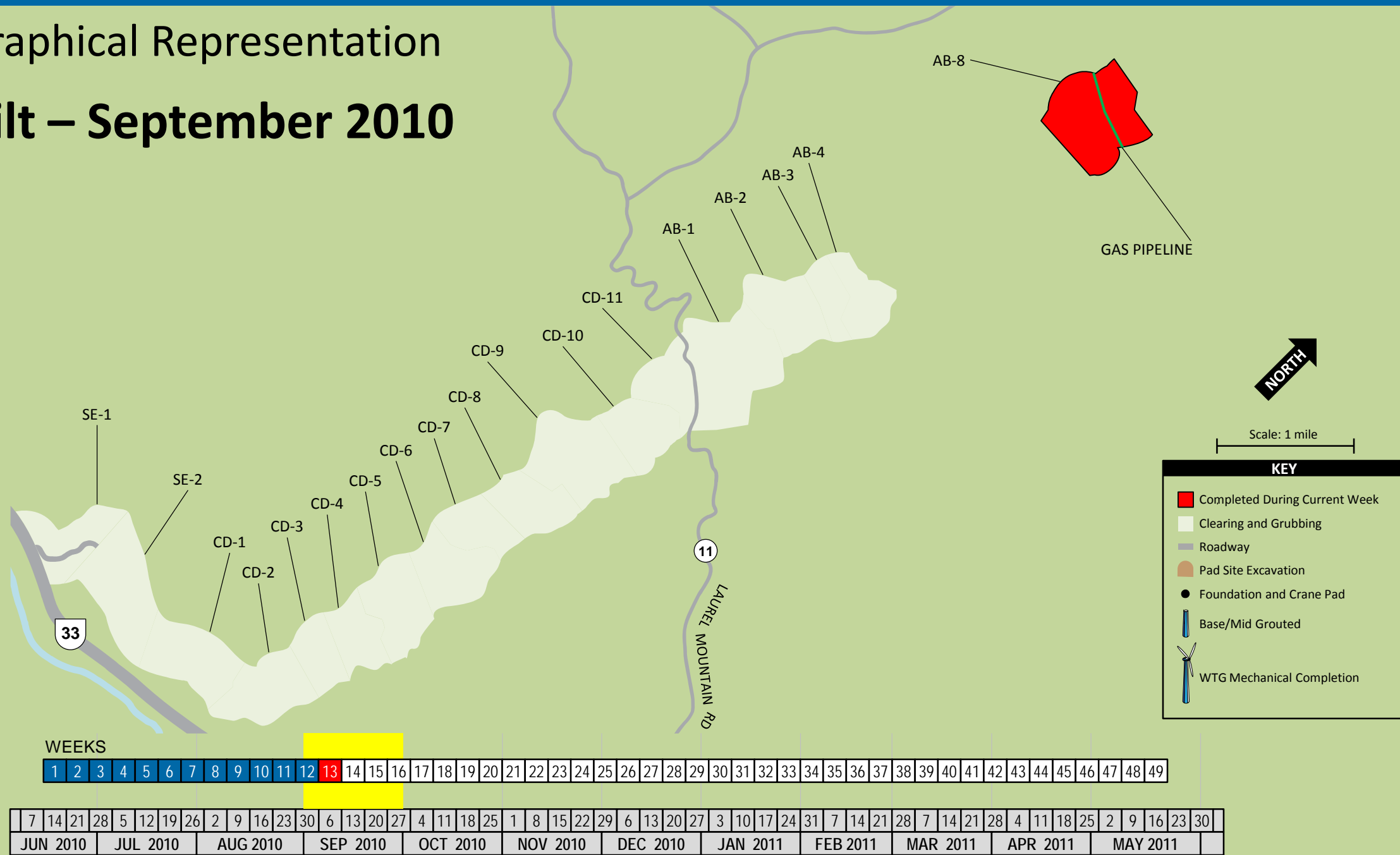


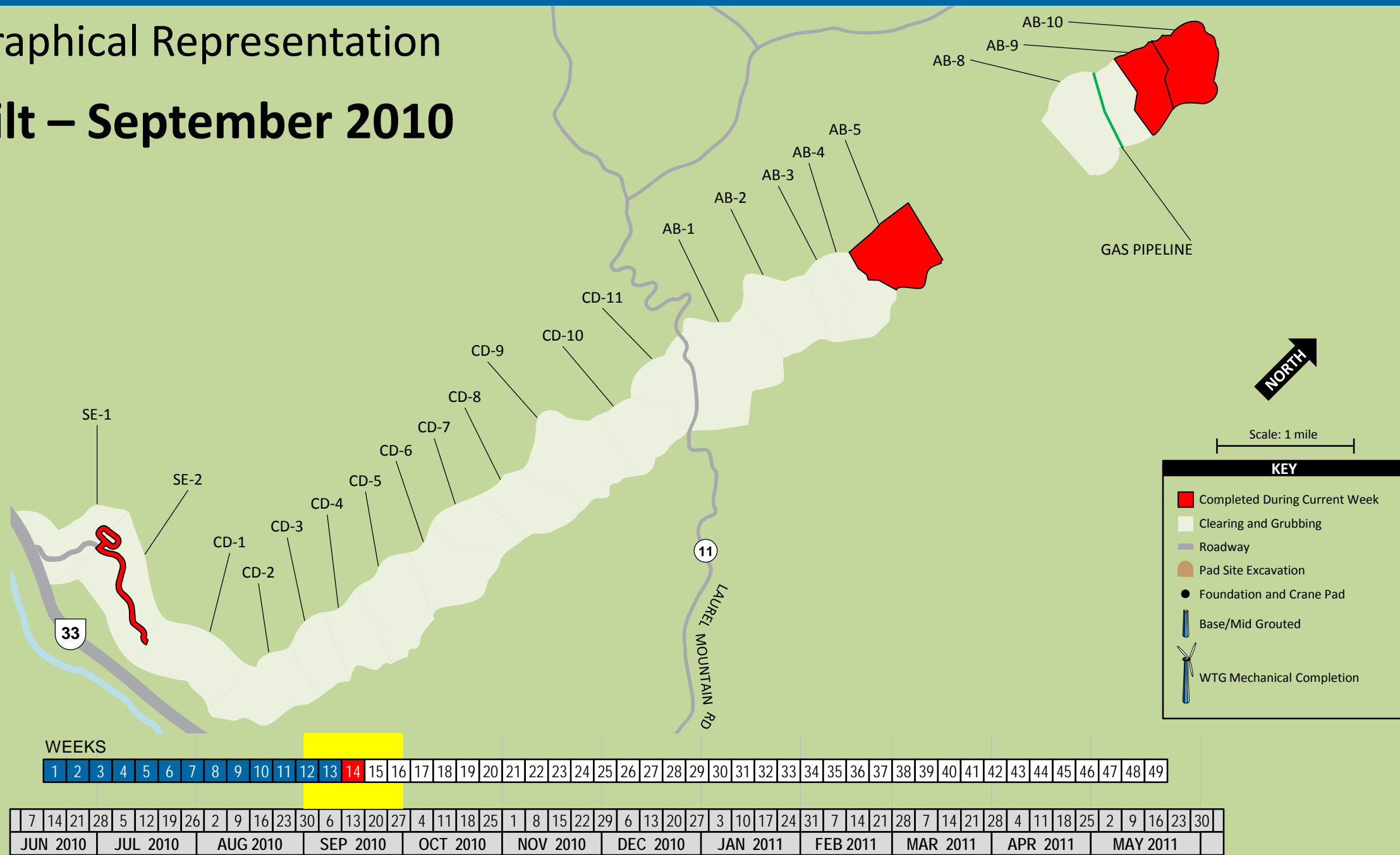
# III.A. Graphical Representation

## As-Built – September 2010



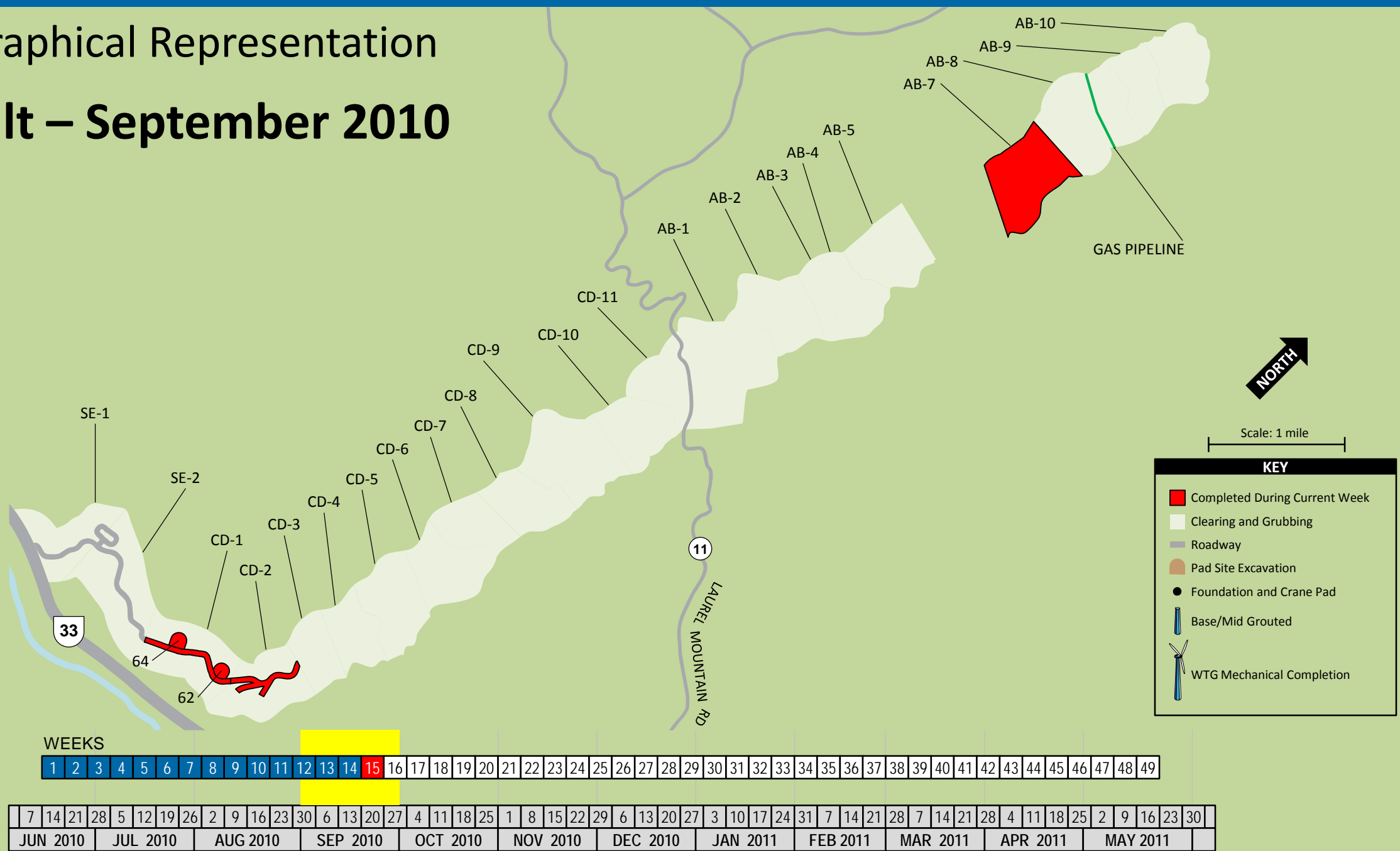






# III.A. Graphical Representation

## As-Built – September 2010

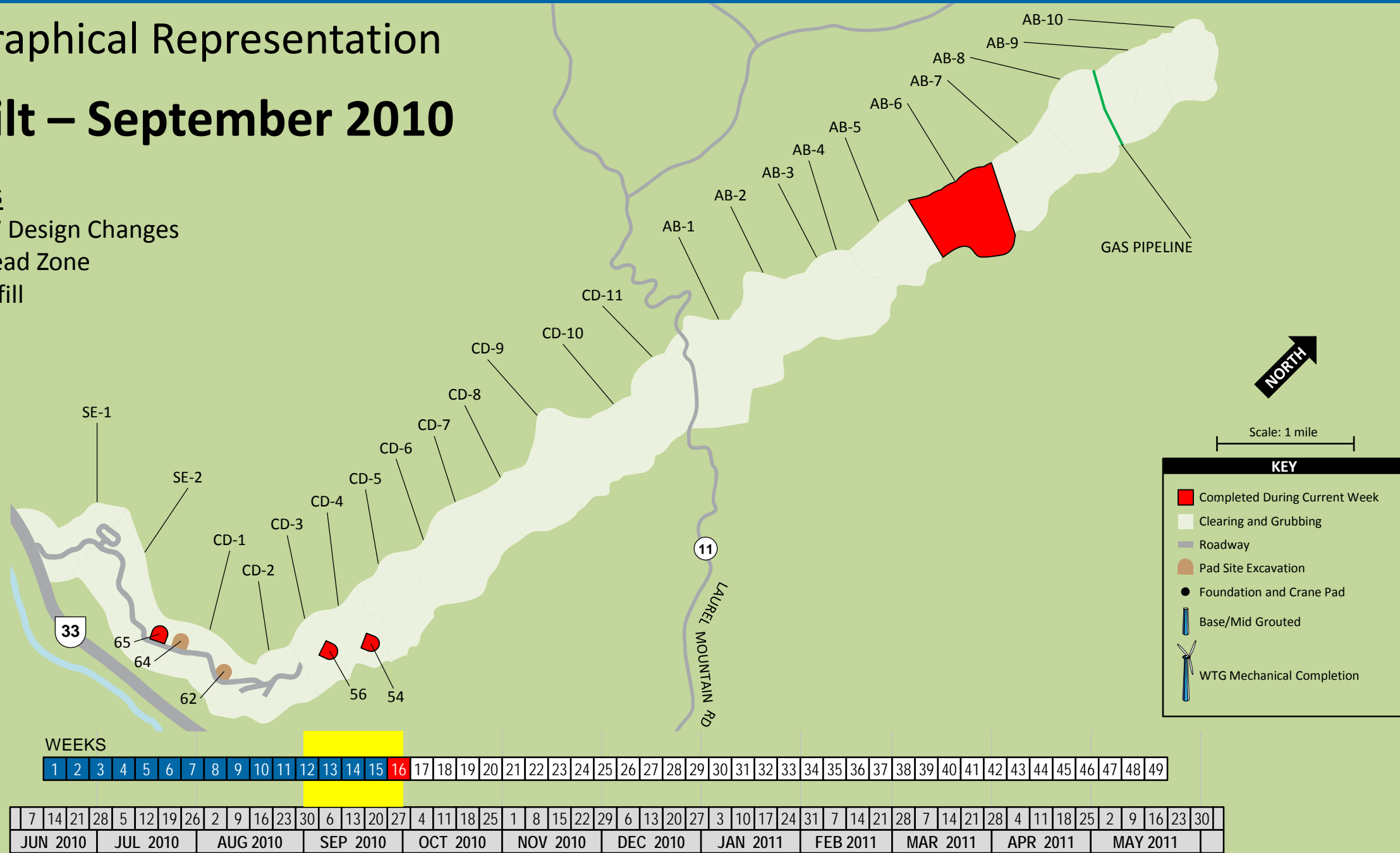


### III.A. Graphical Representation

#### **As-Built – September 2010**

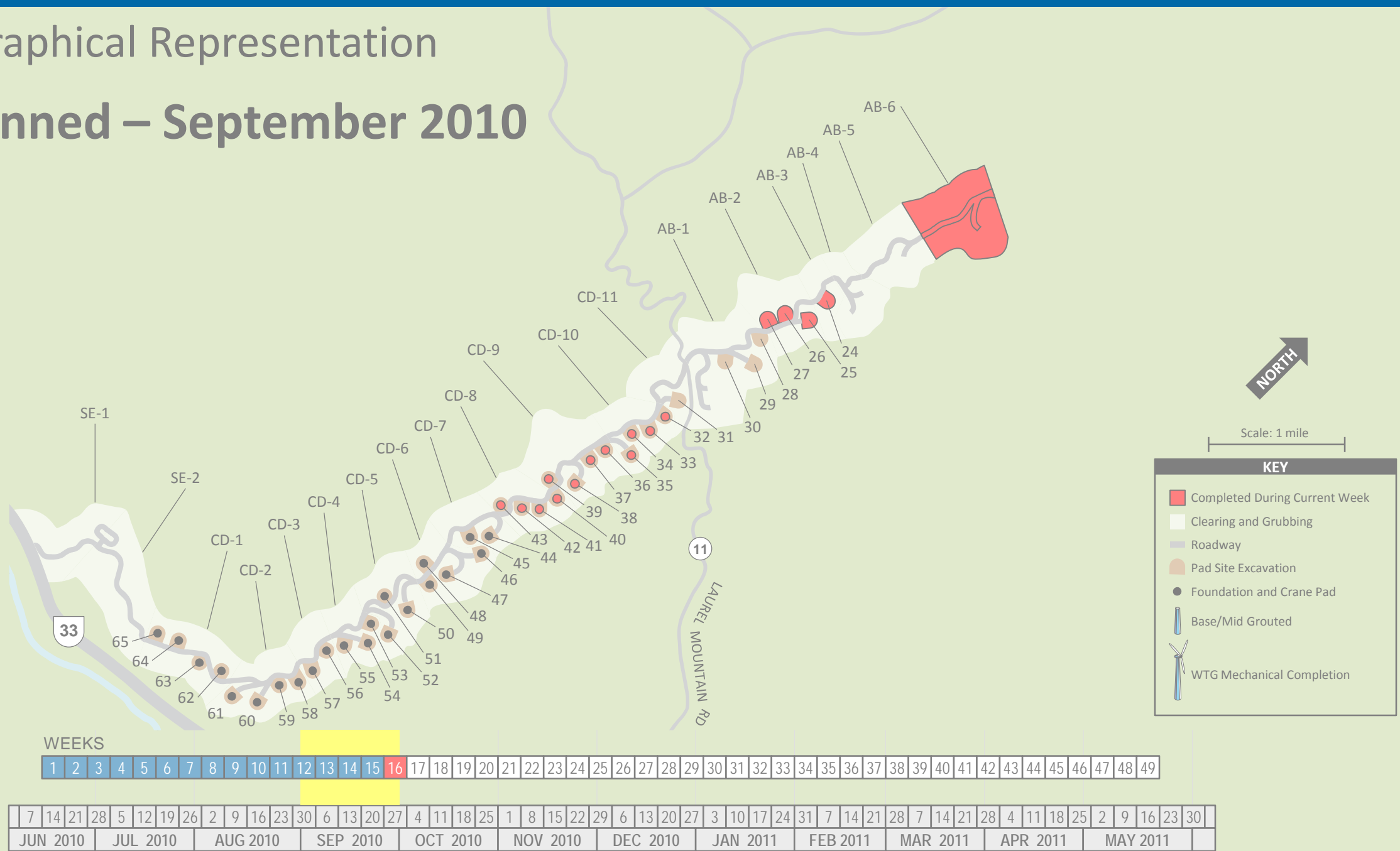
- Site Issues

- 1.6 MW Design Changes
- CD-2 Dead Zone
- Lack of fill



# III.A. Graphical Representation

## As-Planned – September 2010

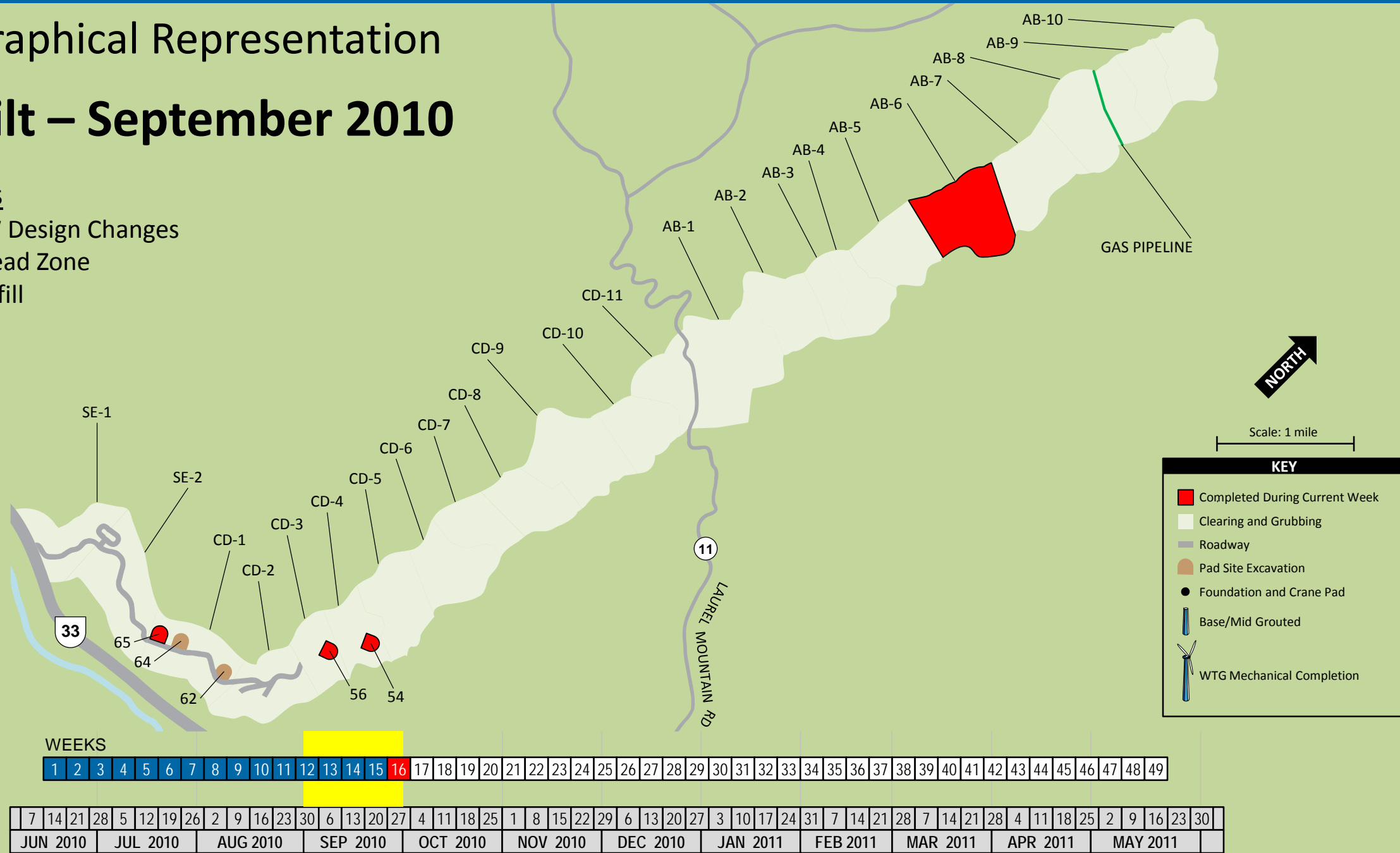


### III.A. Graphical Representation

#### **As-Built – September 2010**

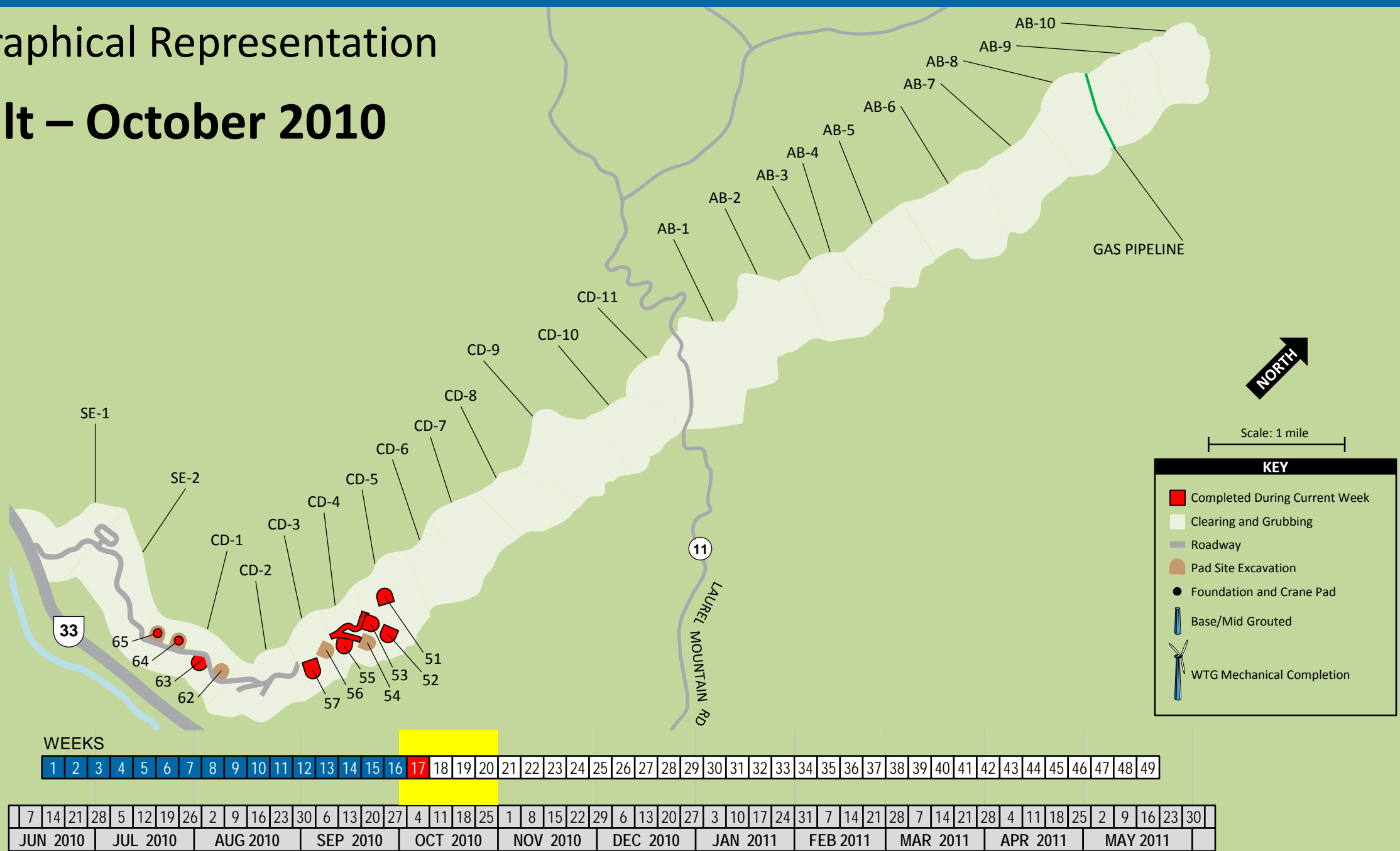
- Site Issues

- 1.6 MW Design Changes
- CD-2 Dead Zone
- Lack of fill



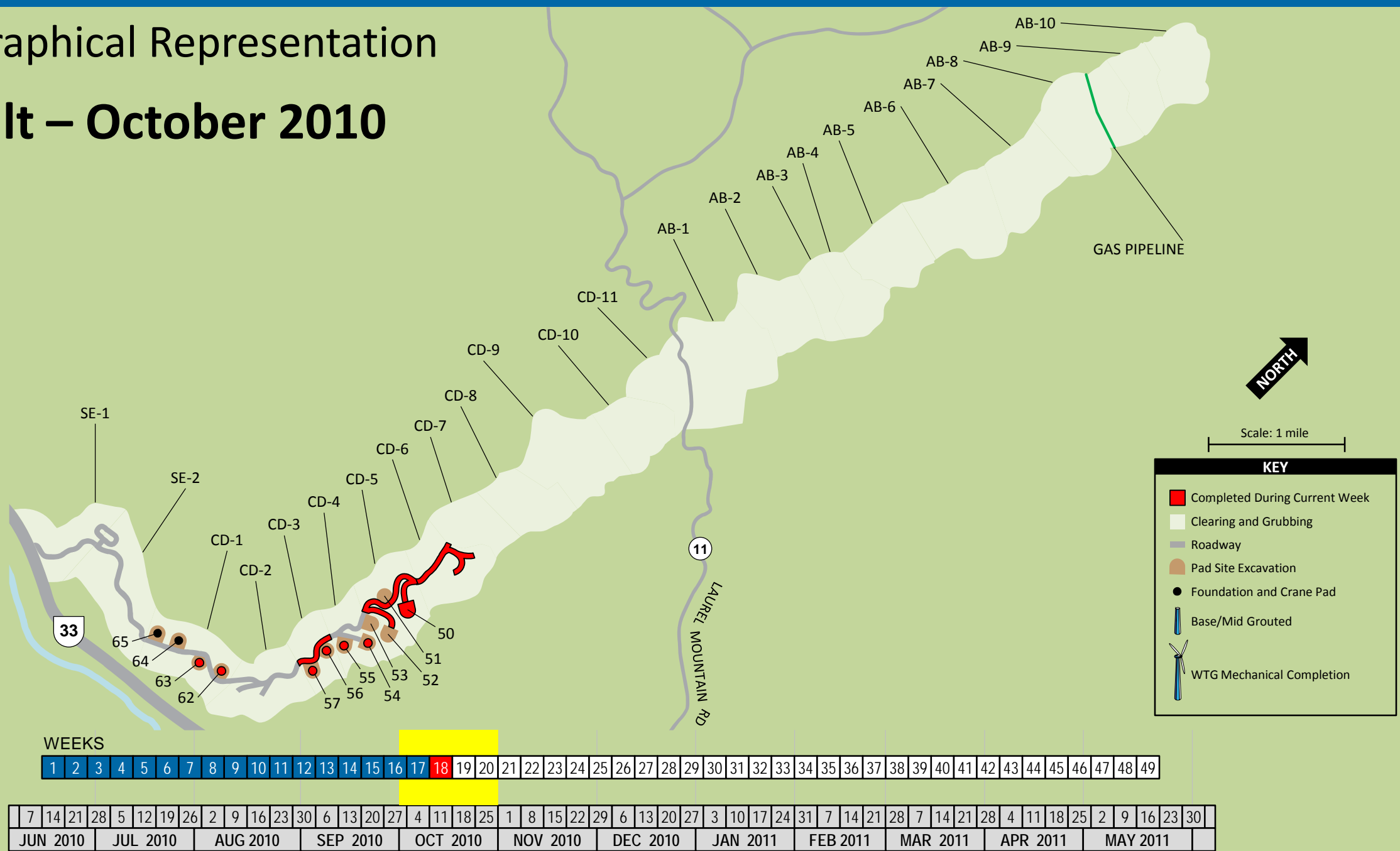
# III.A. Graphical Representation

## As-Built – October 2010



# III.A. Graphical Representation

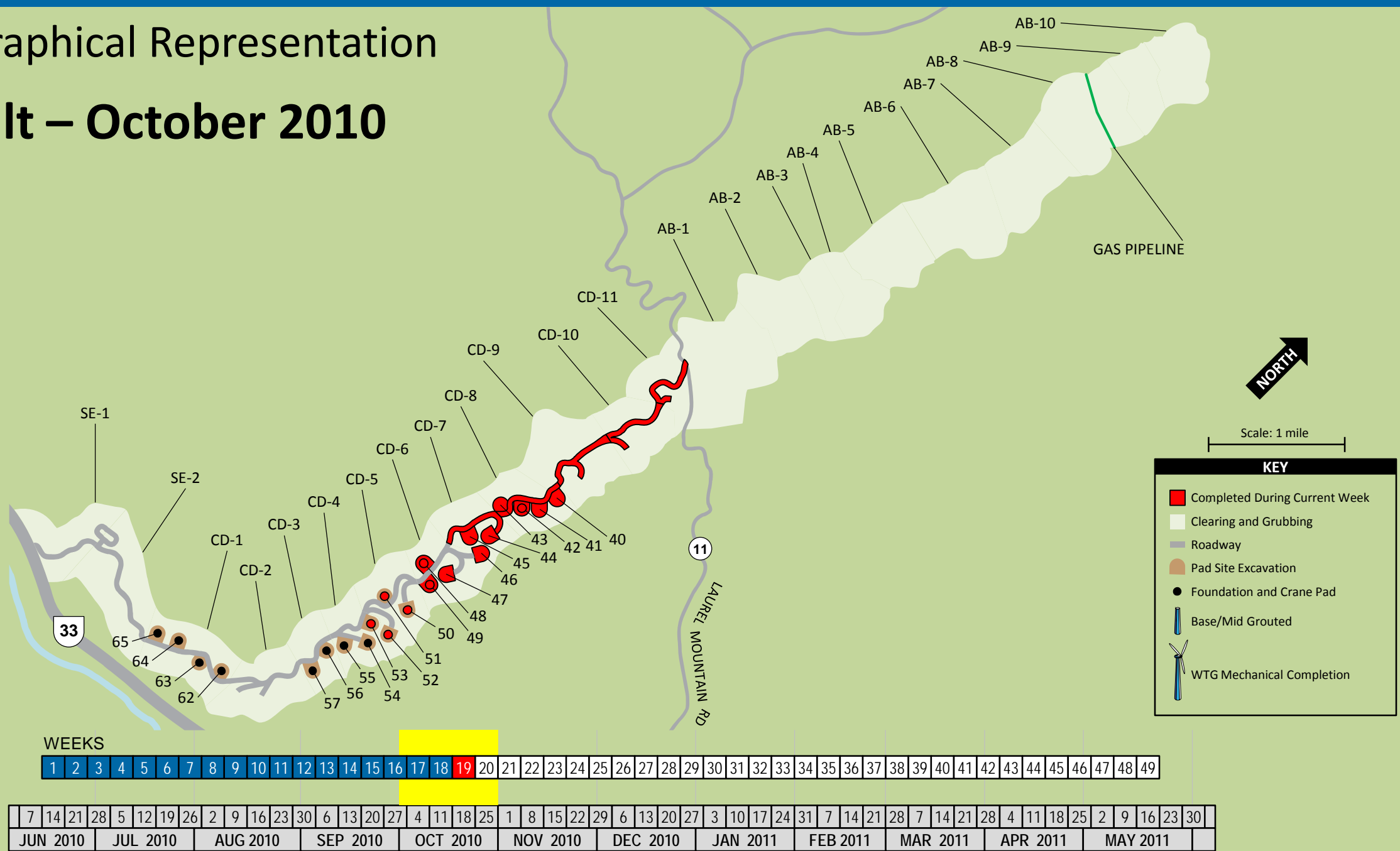
## As-Built – October 2010





# III.A. Graphical Representation

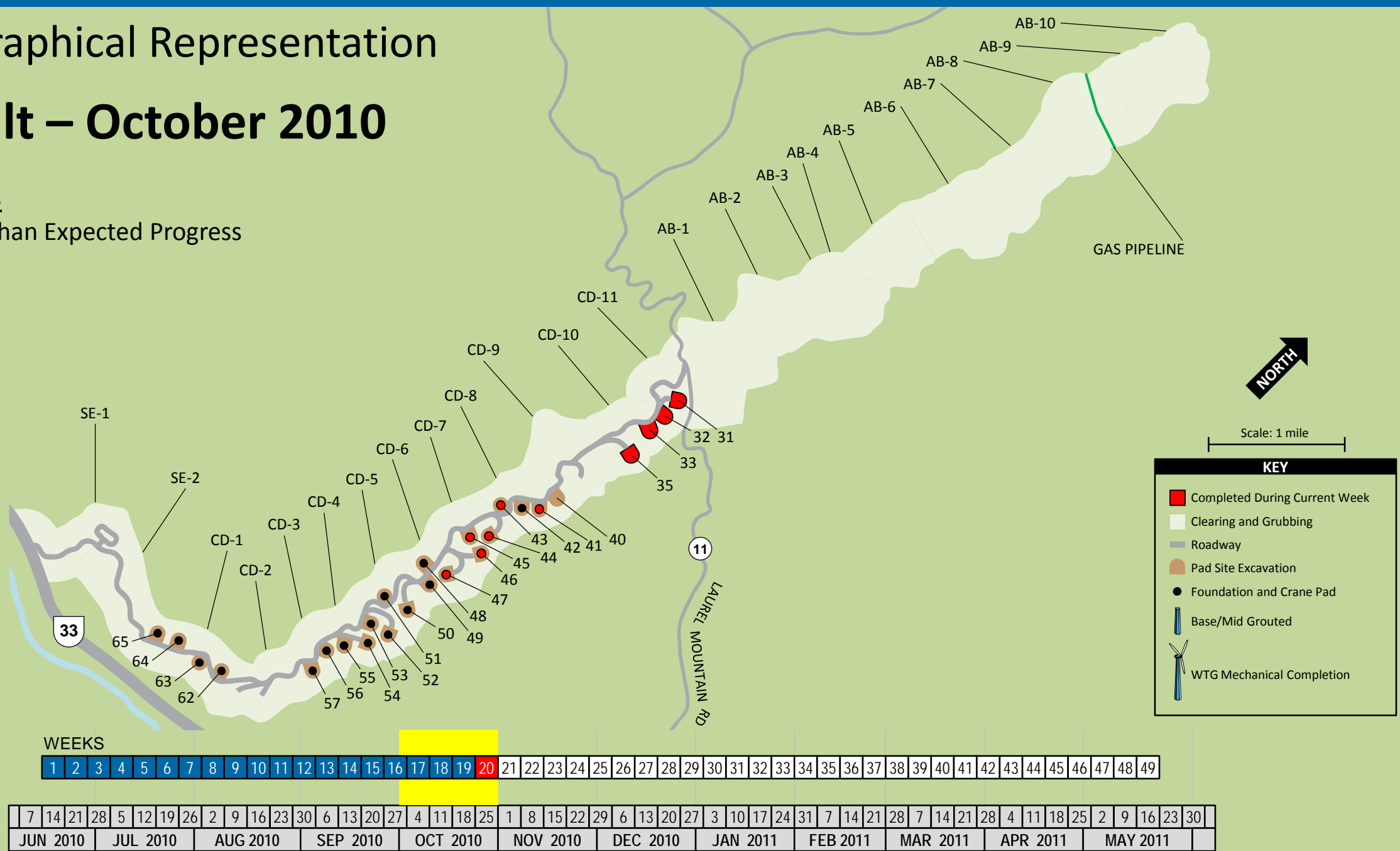
## As-Built – October 2010



# III.A. Graphical Representation

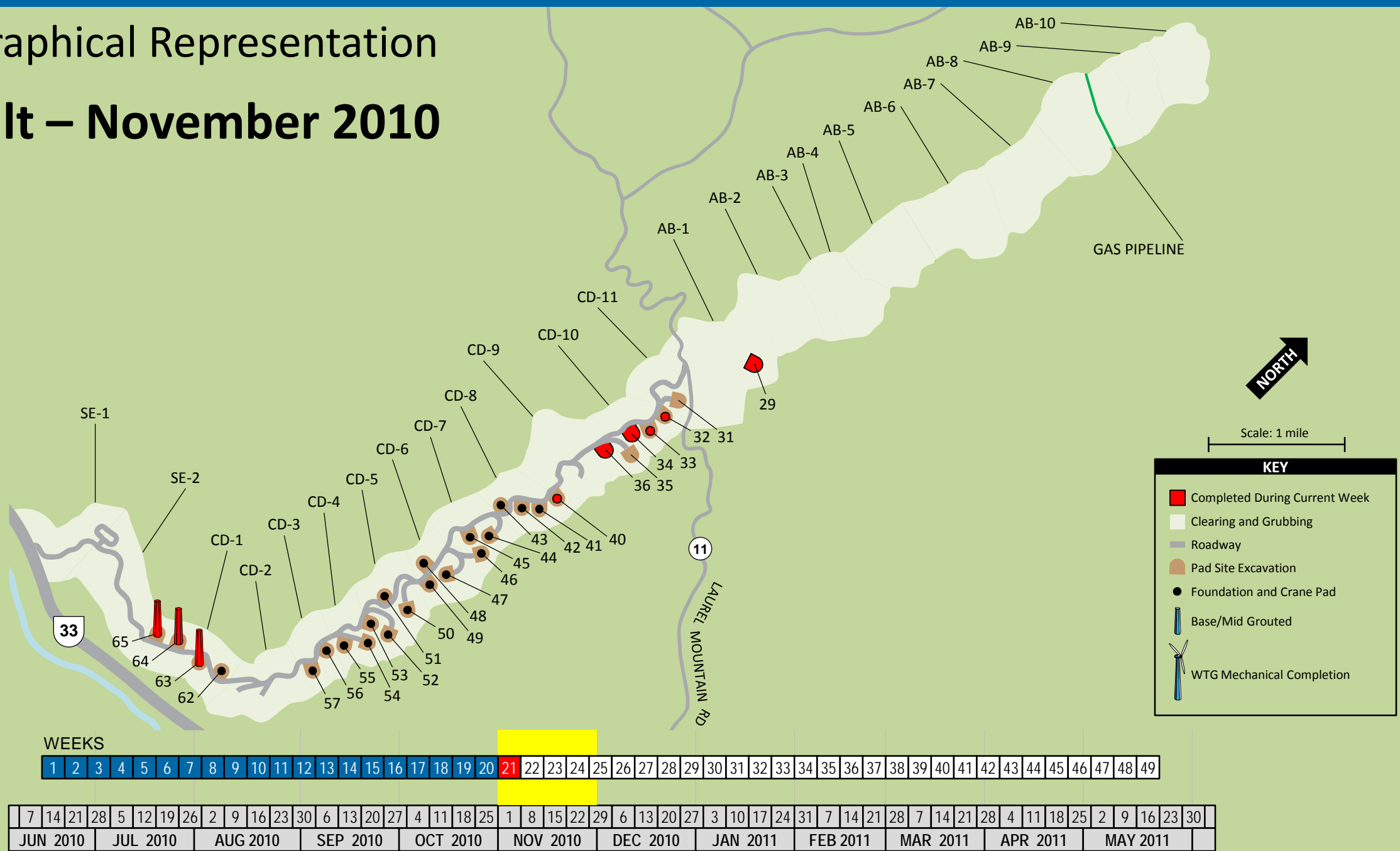
## As-Built – October 2010

- Site Issues
  - Better than Expected Progress



# III.A. Graphical Representation

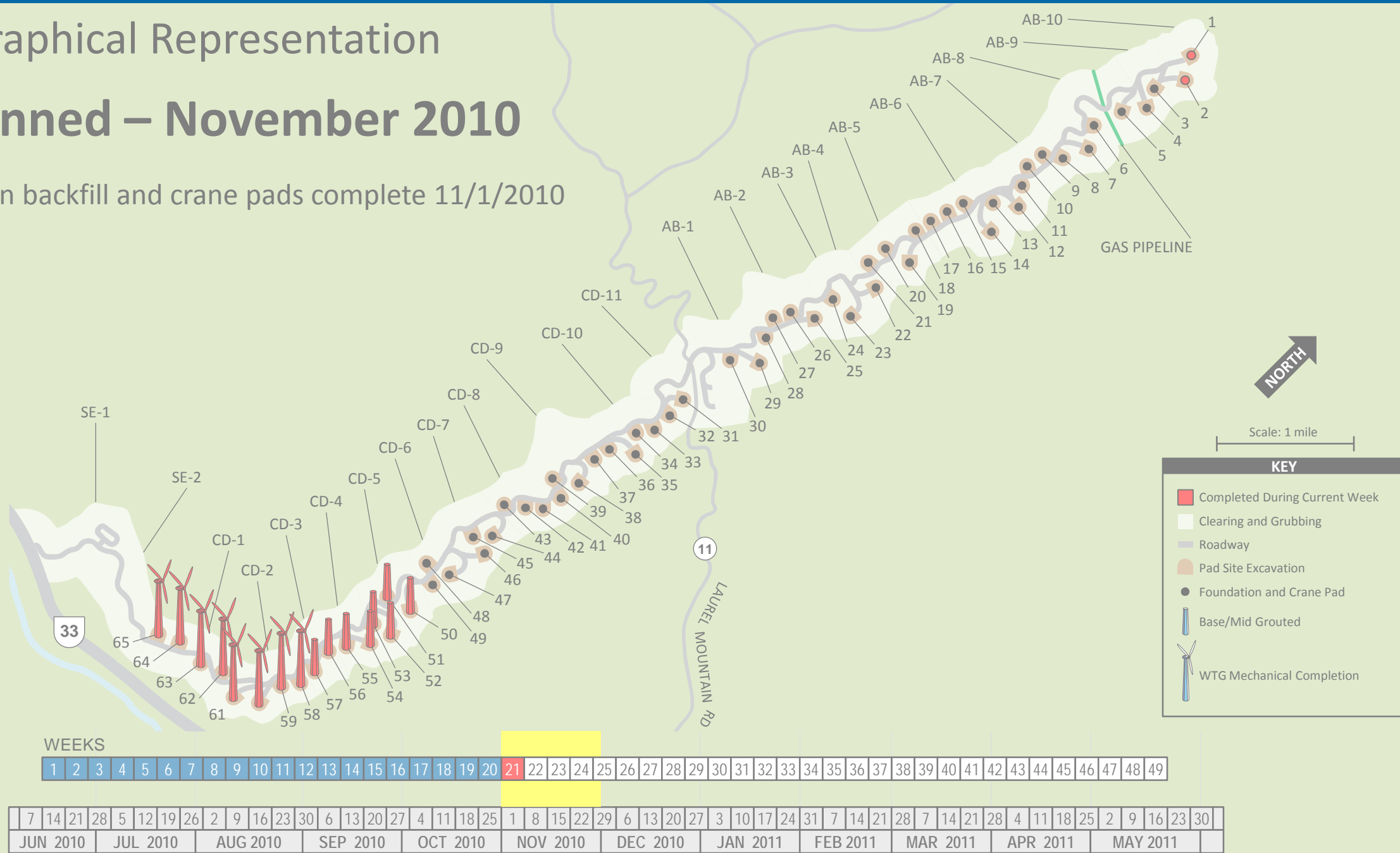
## As-Built – November 2010



## III.A. Graphical Representation

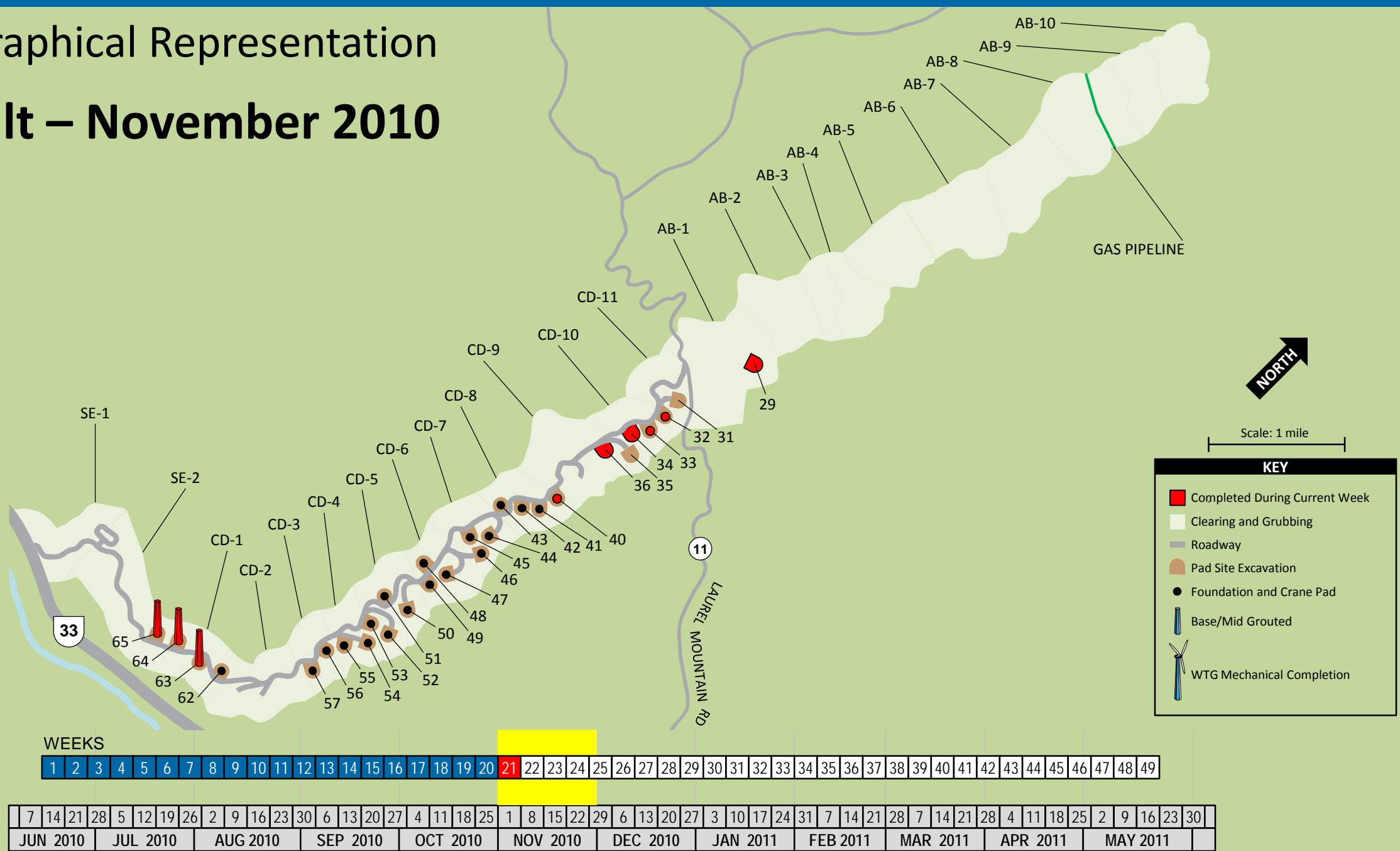
### As-Planned – November 2010

- Foundation backfill and crane pads complete 11/1/2010



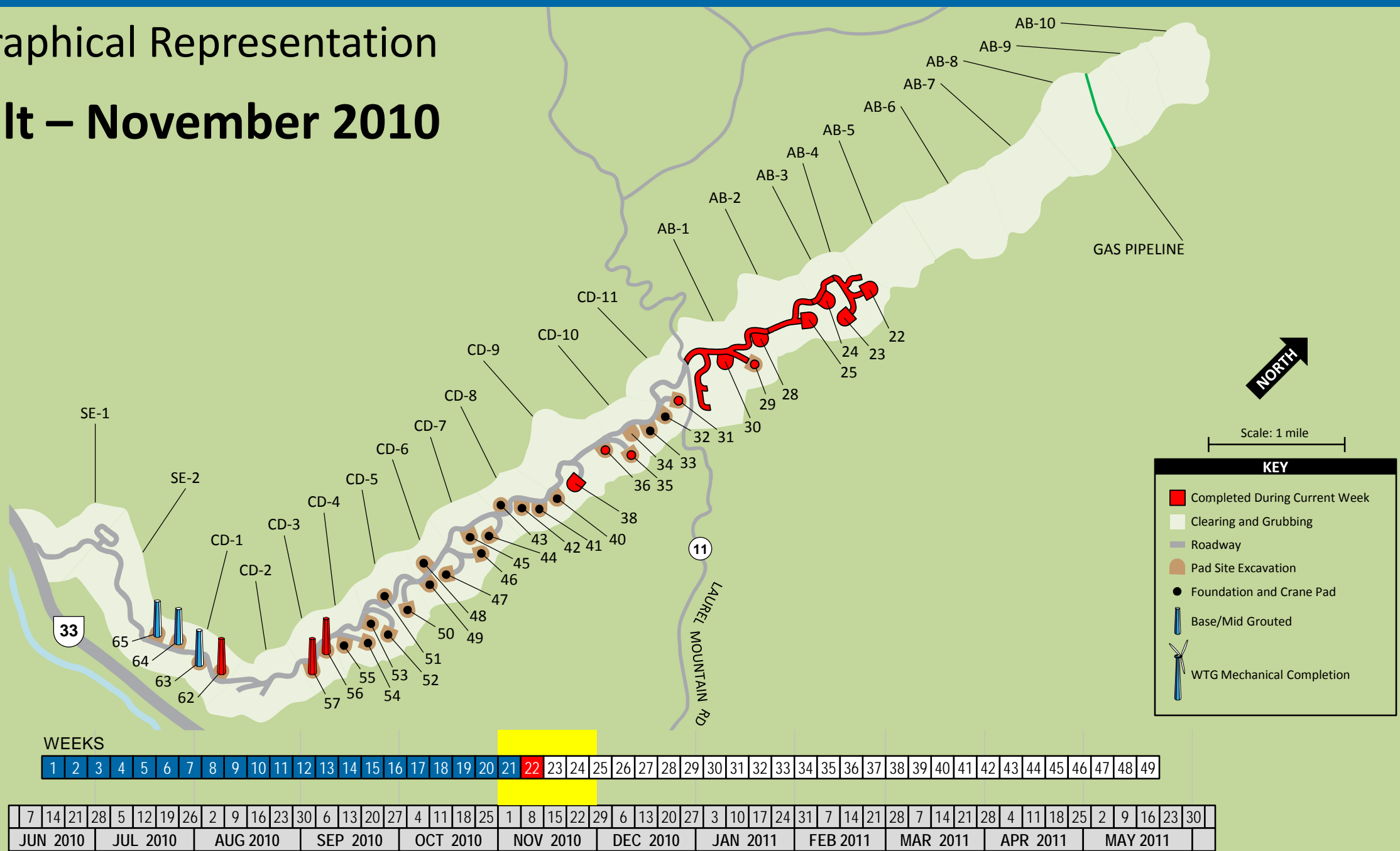
# III.A. Graphical Representation

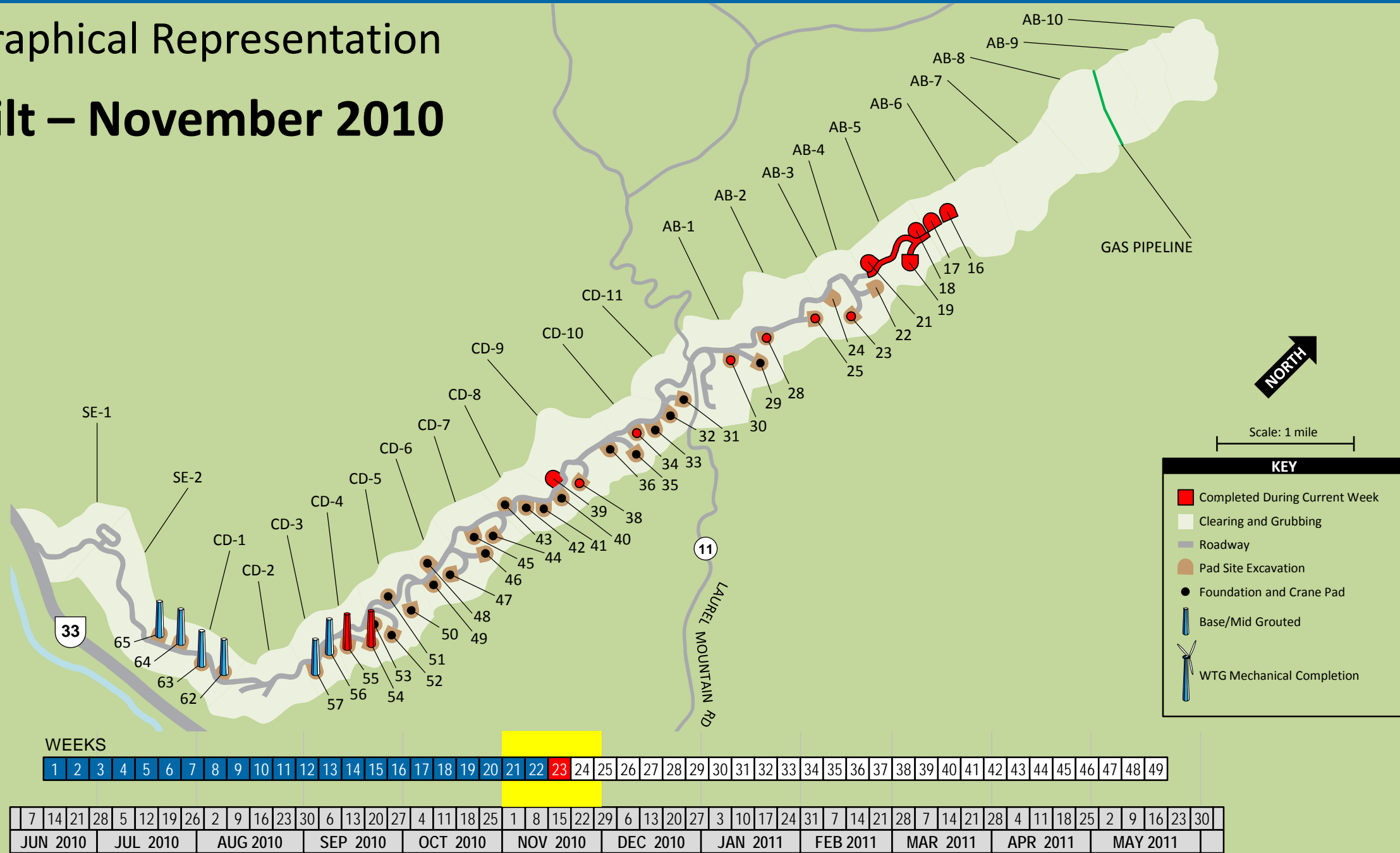
## As-Built – November 2010



# III.A. Graphical Representation

## As-Built – November 2010



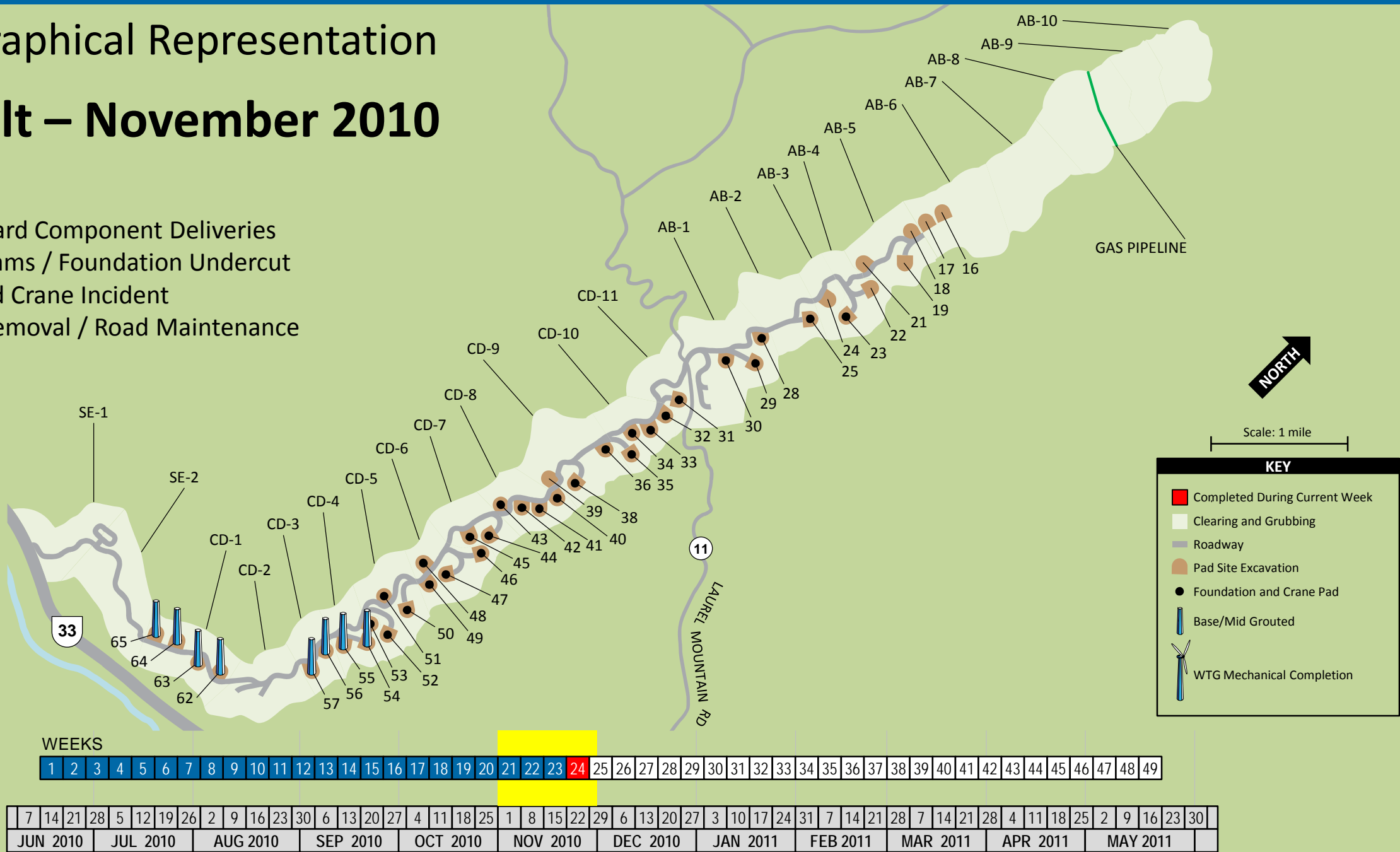


# III.A. Graphical Representation

## As-Built – November 2010

• Site Issues

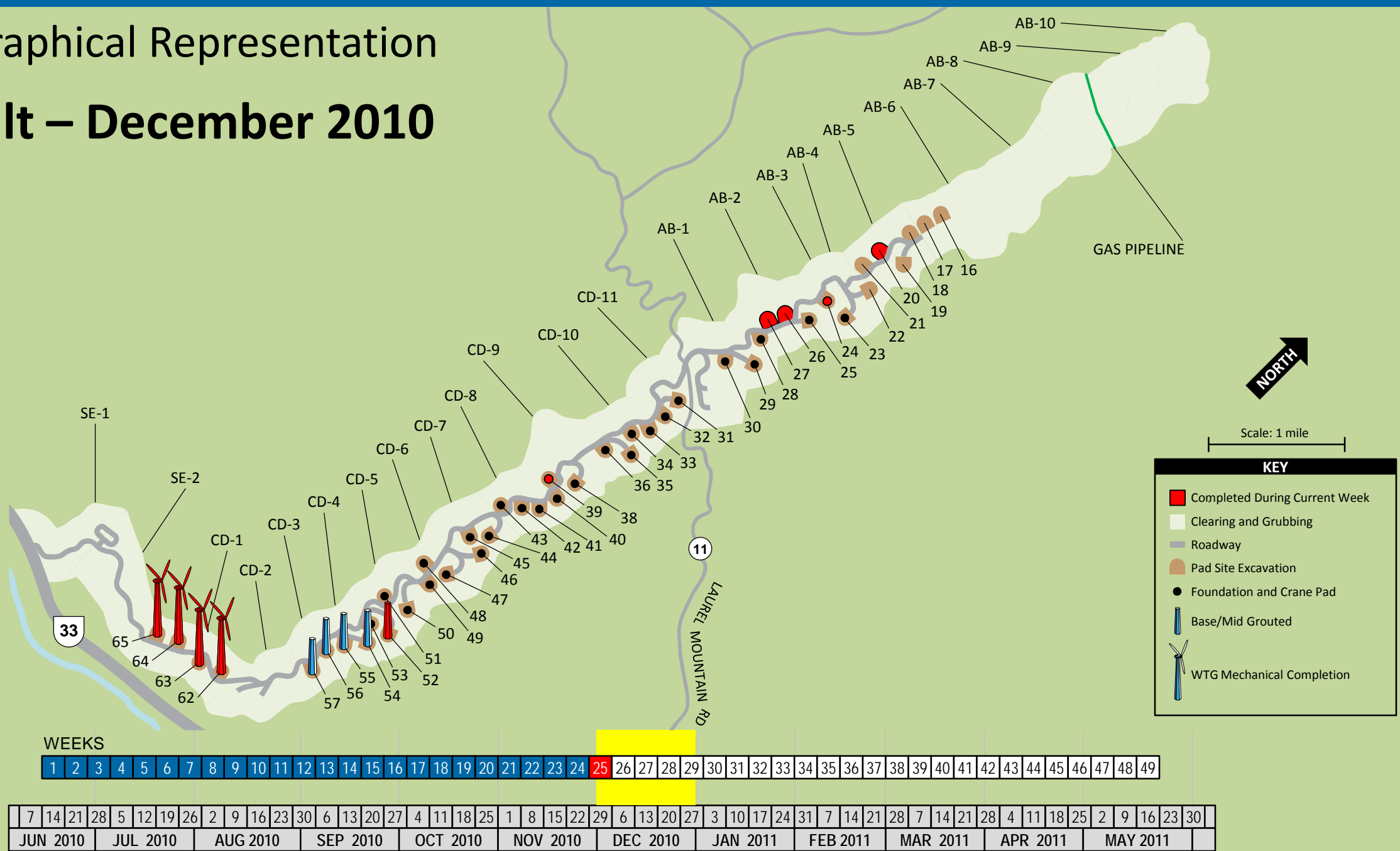
- Haphazard Component Deliveries
- Coal Seams / Foundation Undercut
- KR Wind Crane Incident
- Snow Removal / Road Maintenance

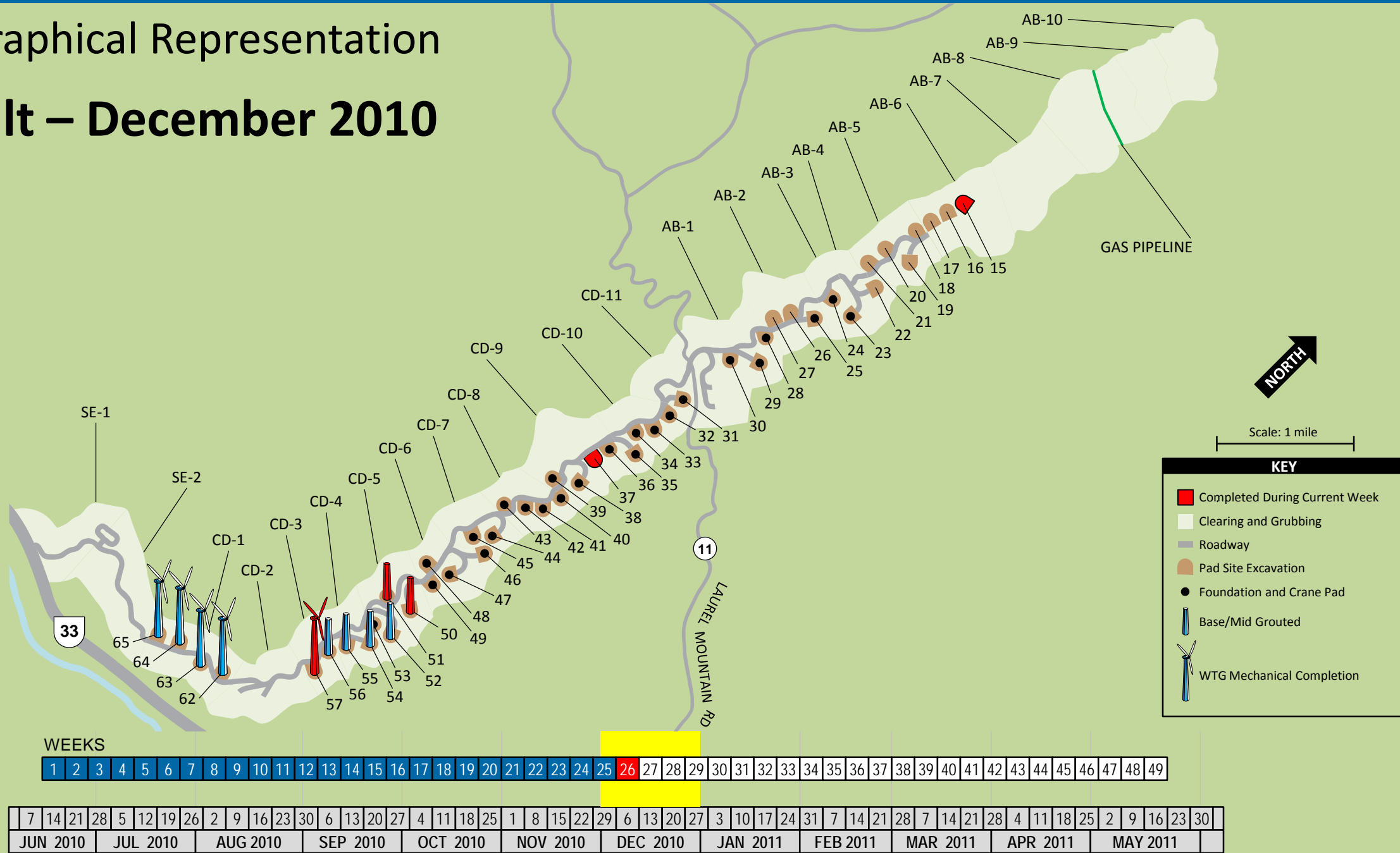


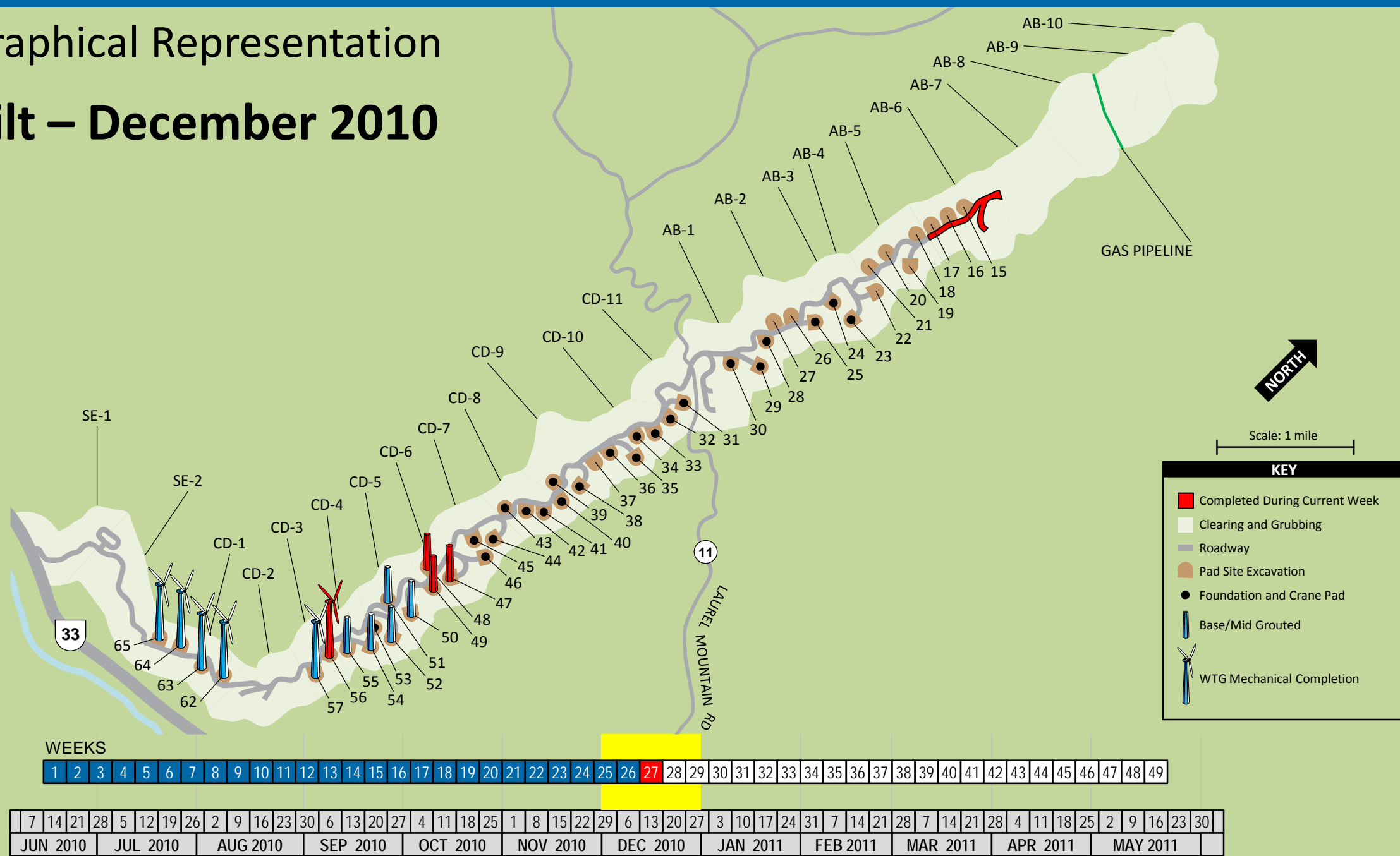


# III.A. Graphical Representation

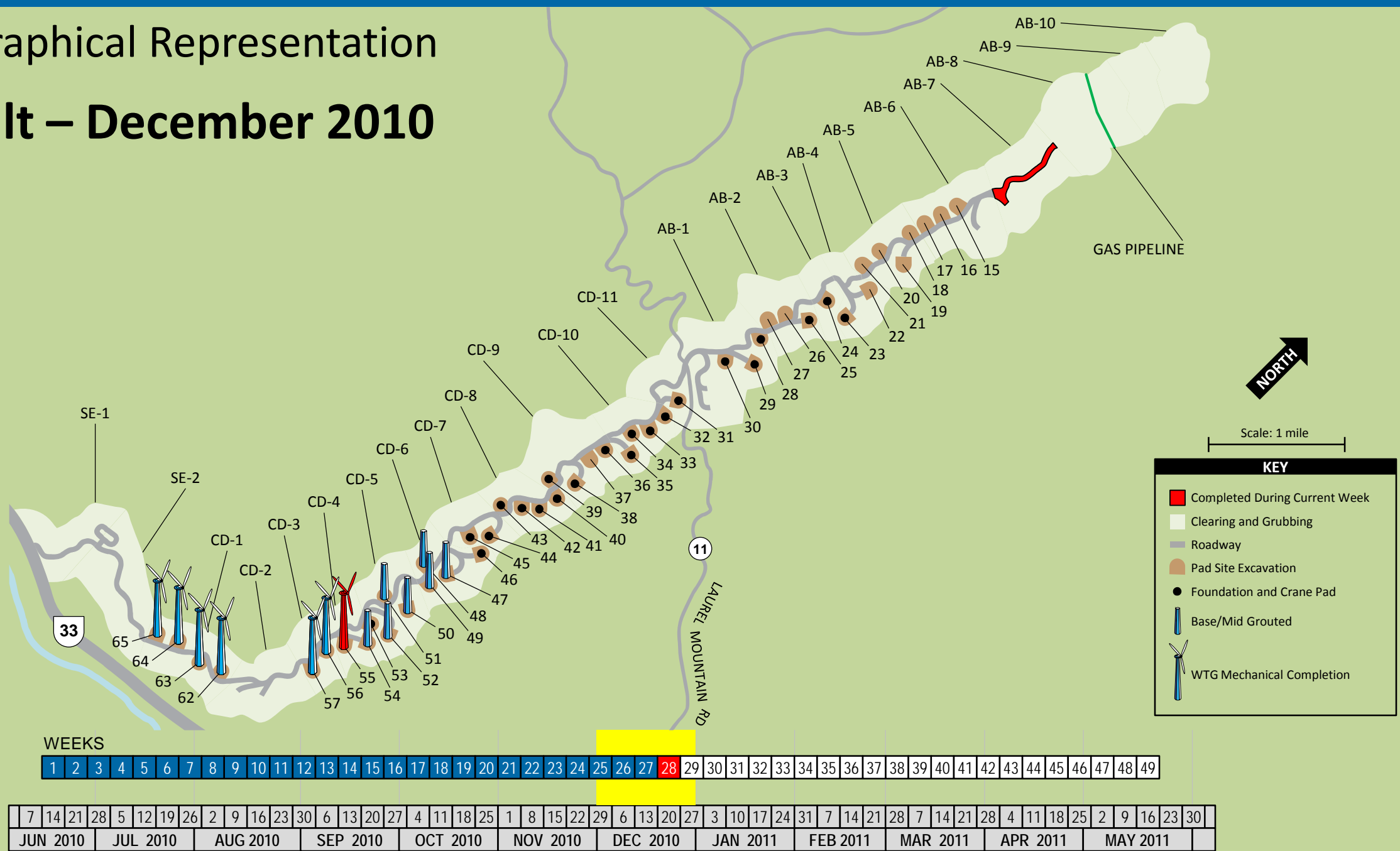
## As-Built – December 2010







# III.A. Graphical Representation As-Built – December 2010

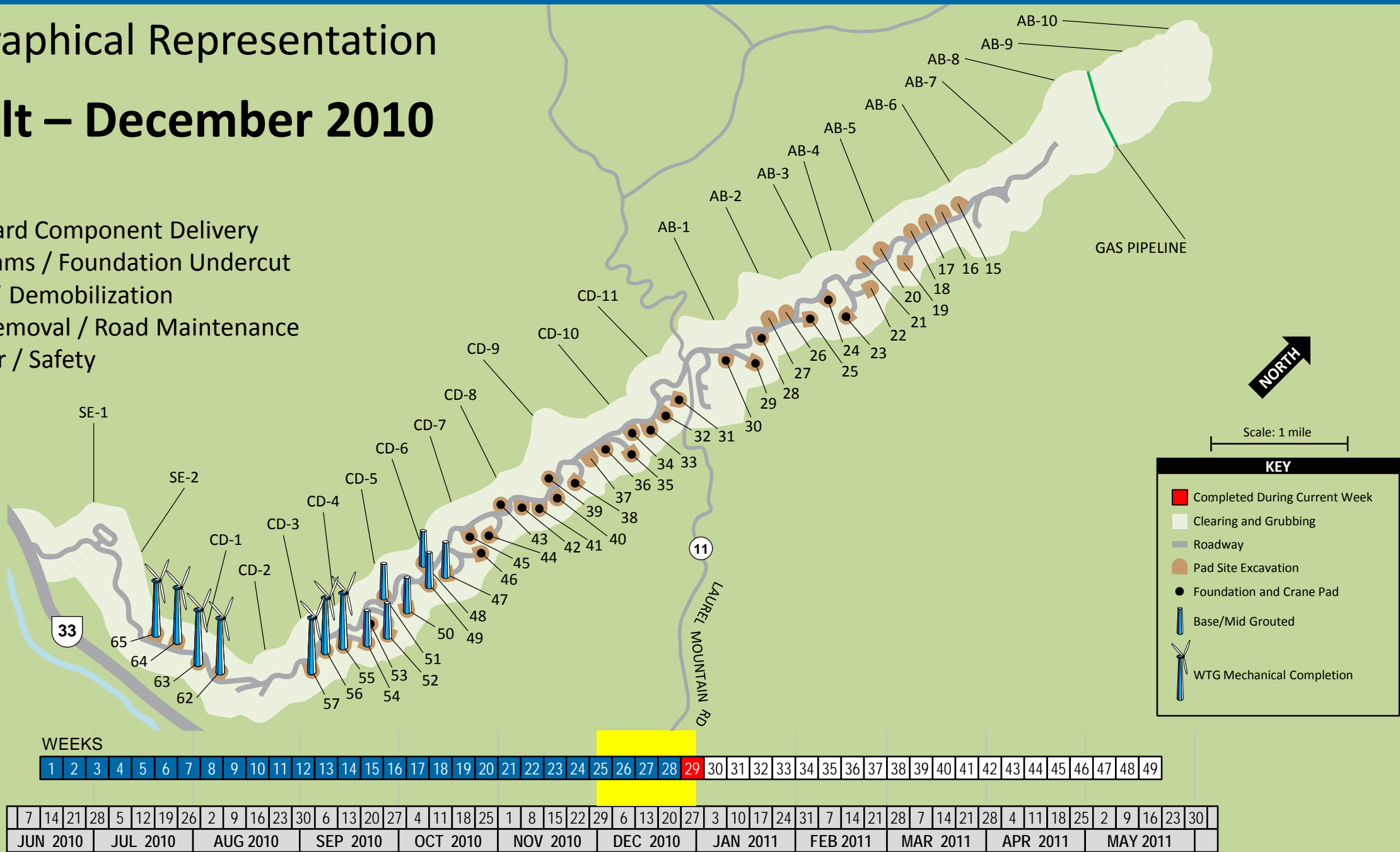


# III.A. Graphical Representation

## As-Built – December 2010

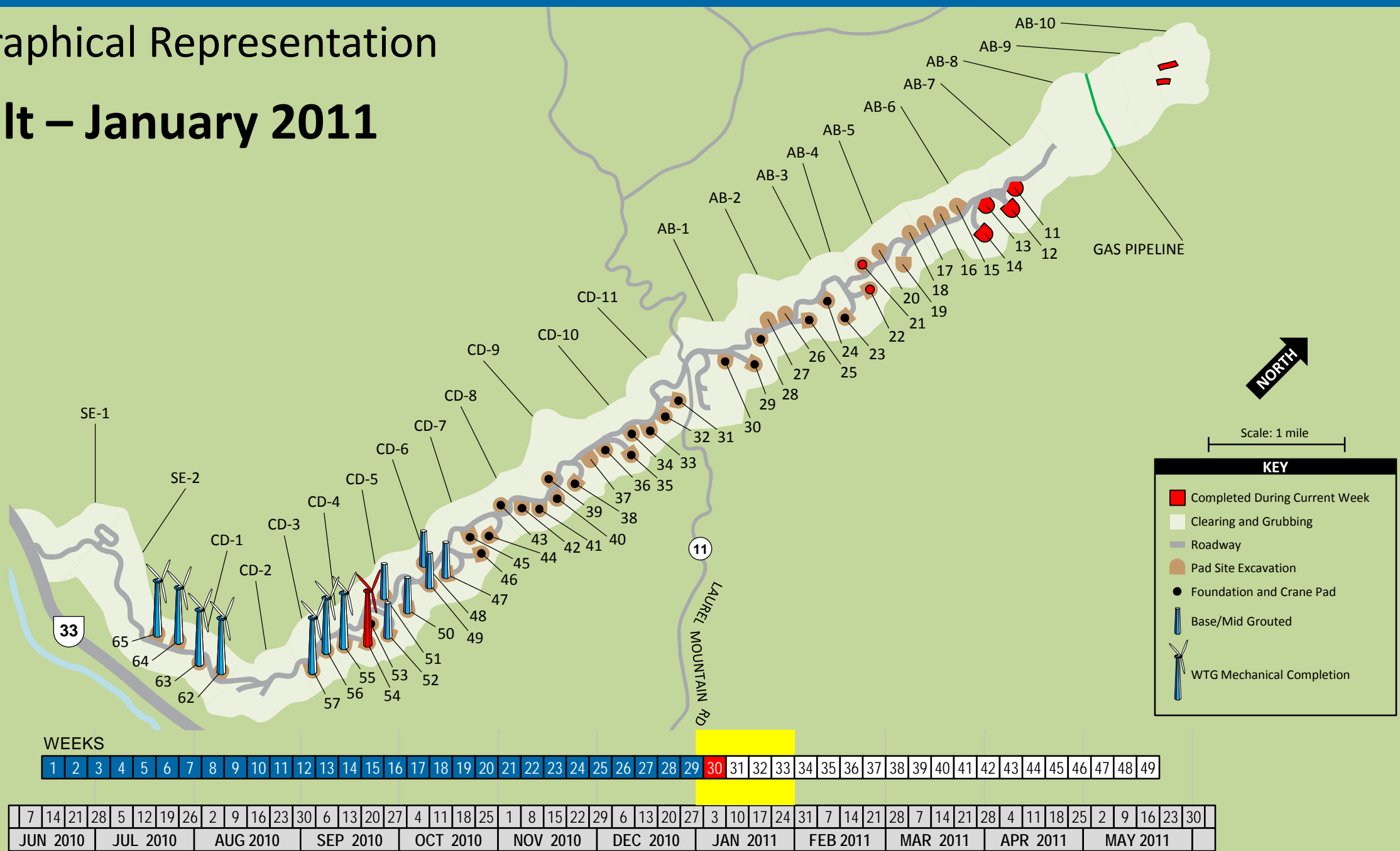
• Site Issues

- Haphazard Component Delivery
- Coal Seams / Foundation Undercut
- Stevens’ Demobilization
- Snow Removal / Road Maintenance
- Weather / Safety



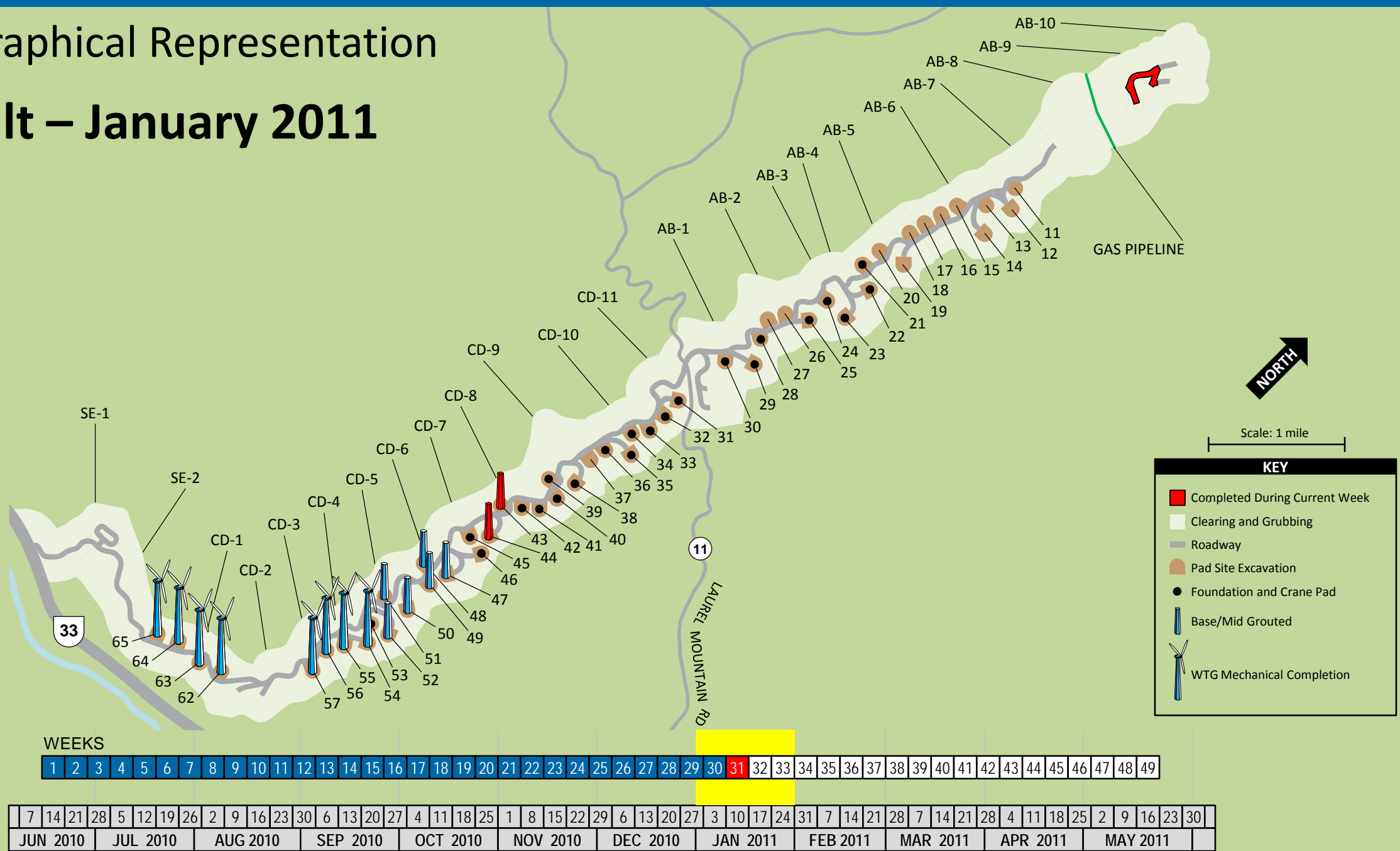
# III.A. Graphical Representation

## As-Built – January 2011



# III.A. Graphical Representation

## As-Built – January 2011

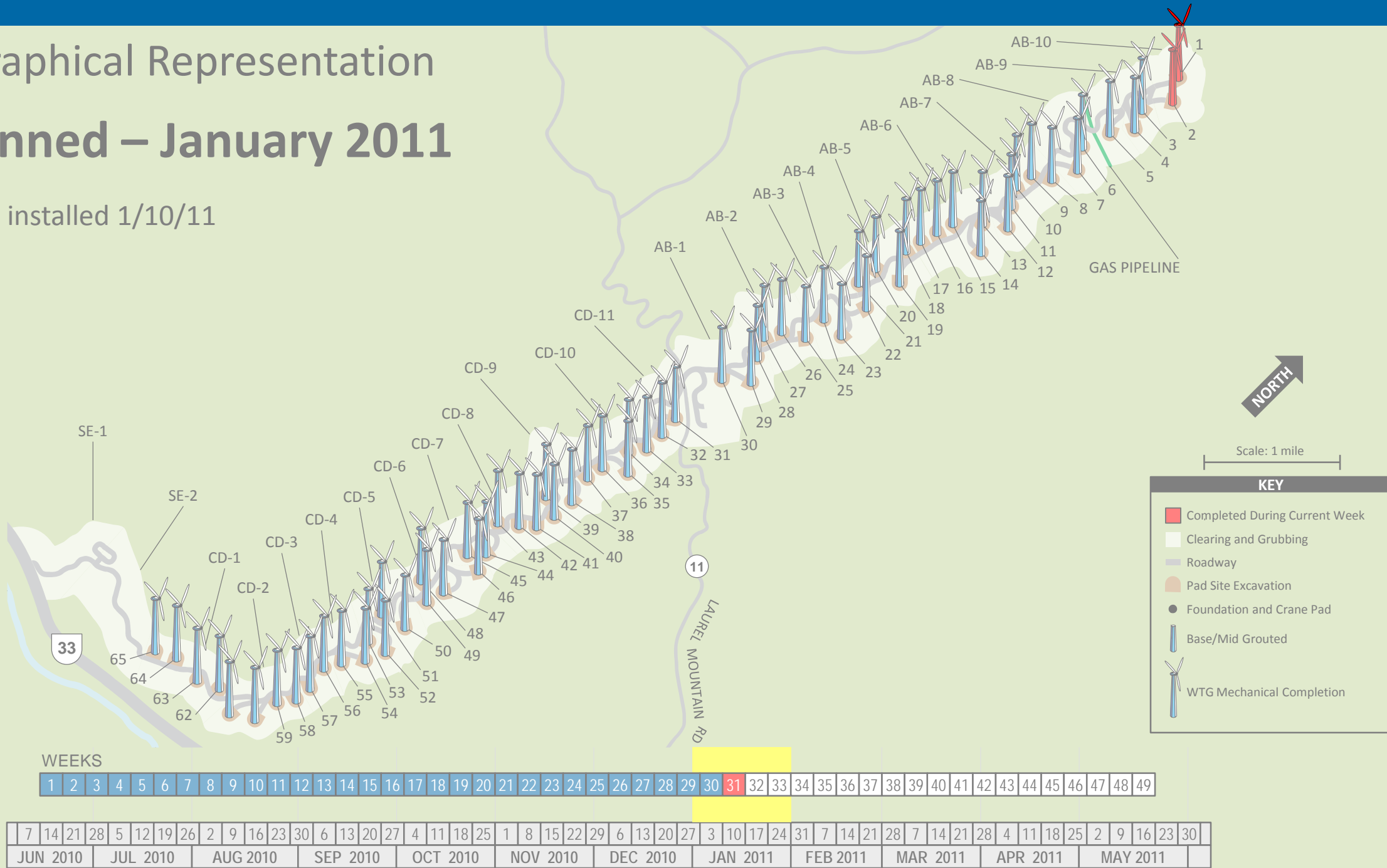




## III.A. Graphical Representation

### As-Planned – January 2011

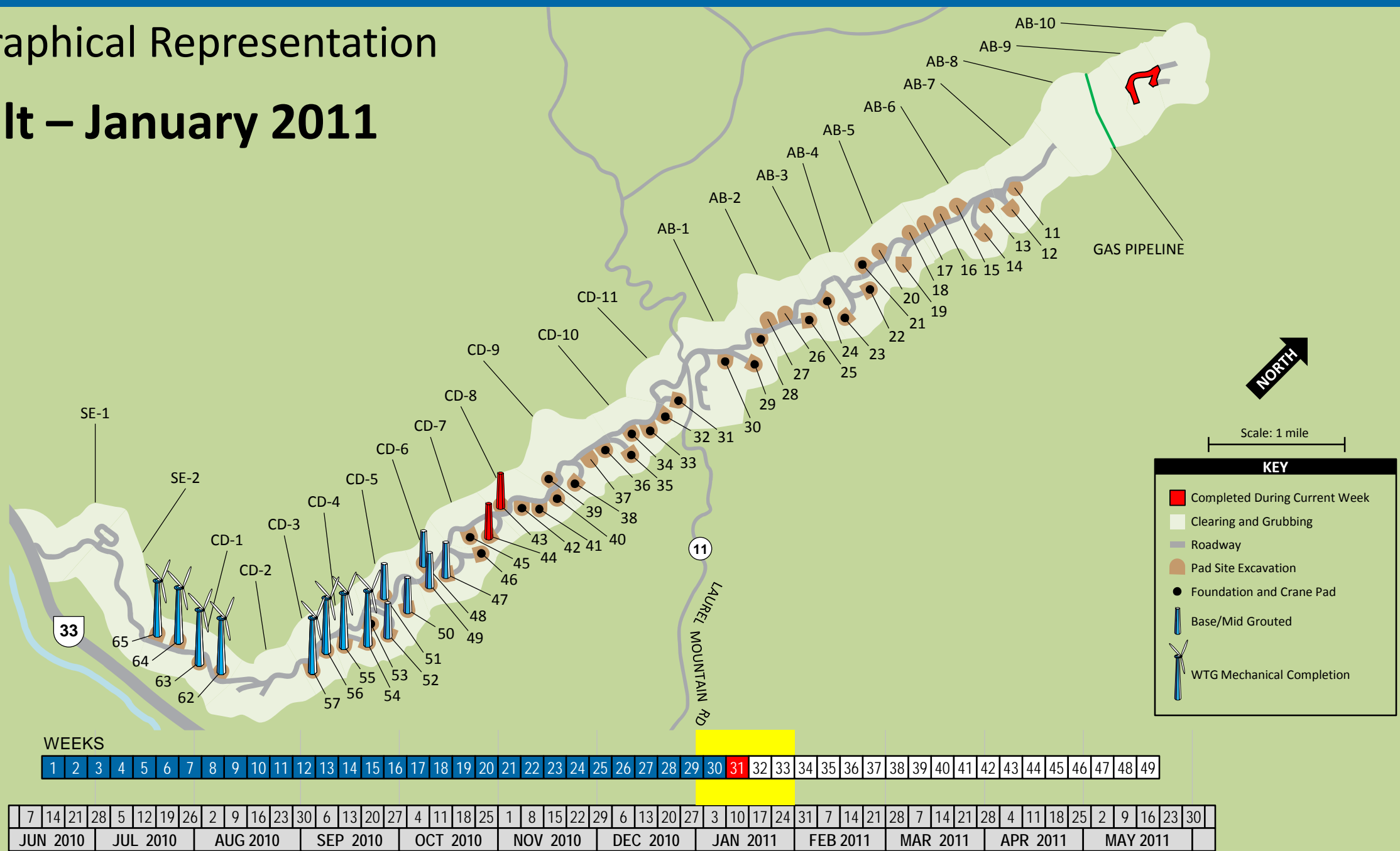
- Final rotor installed 1/10/11

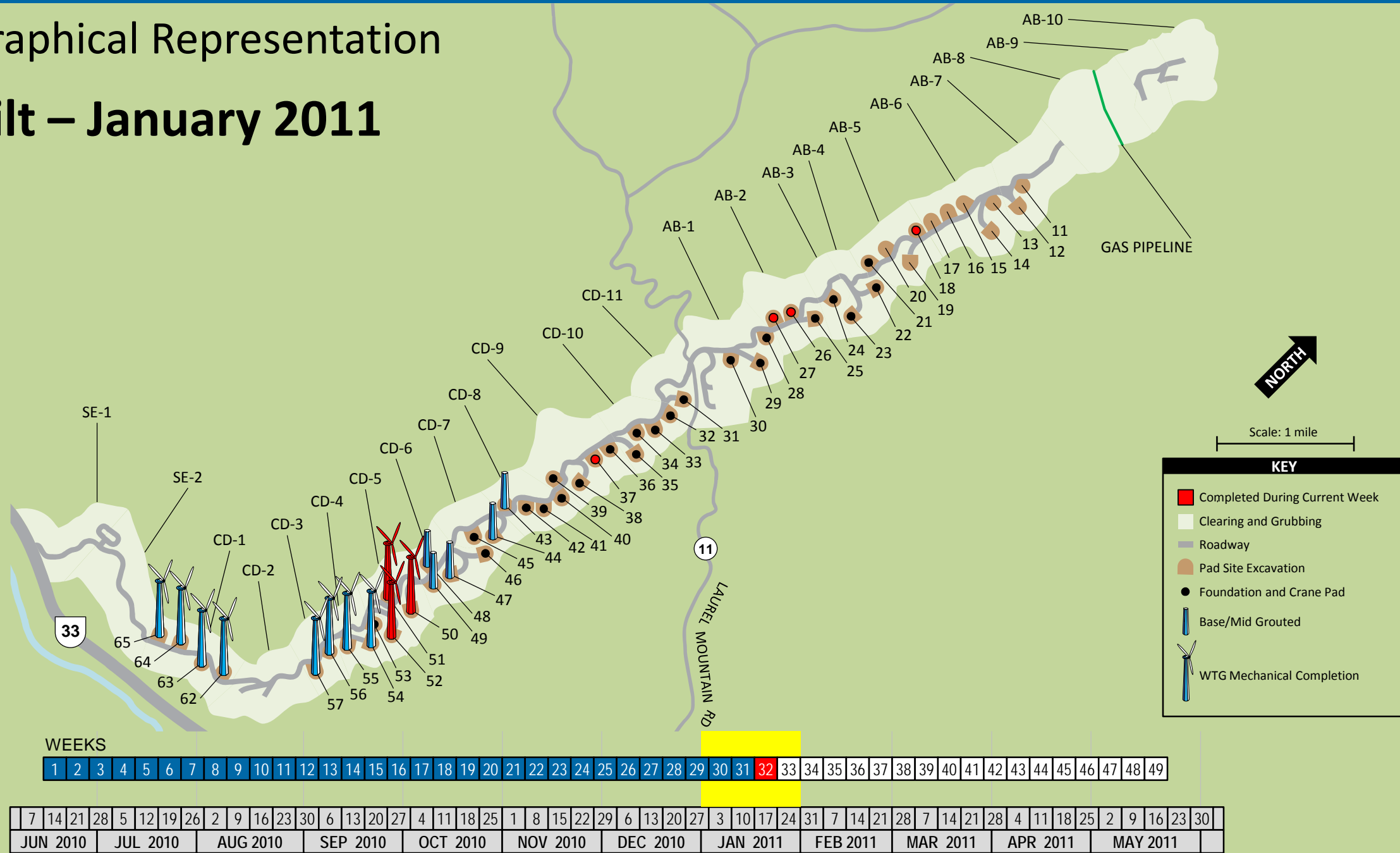




# III.A. Graphical Representation

## As-Built – January 2011



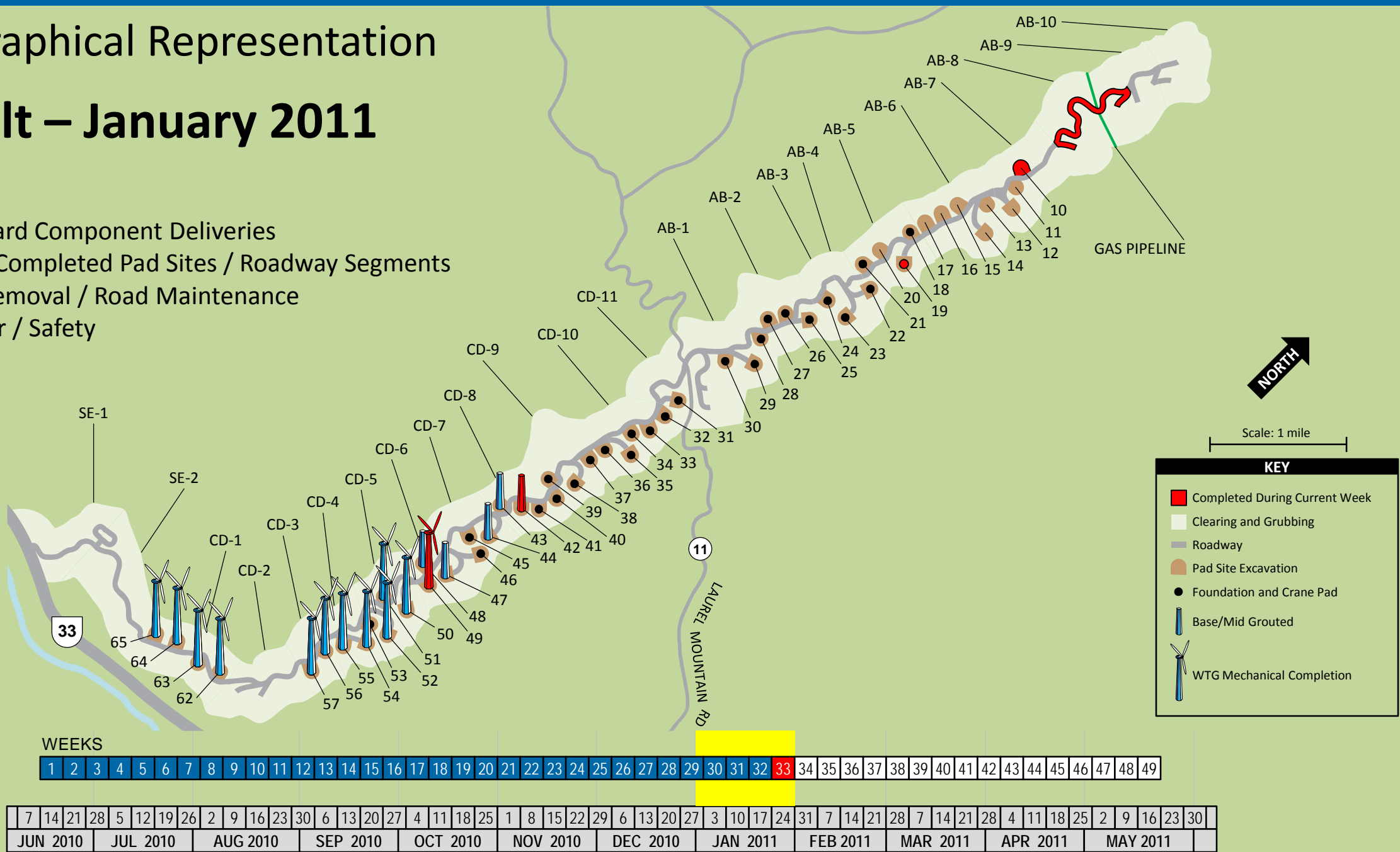


# III.A. Graphical Representation

## As-Built – January 2011

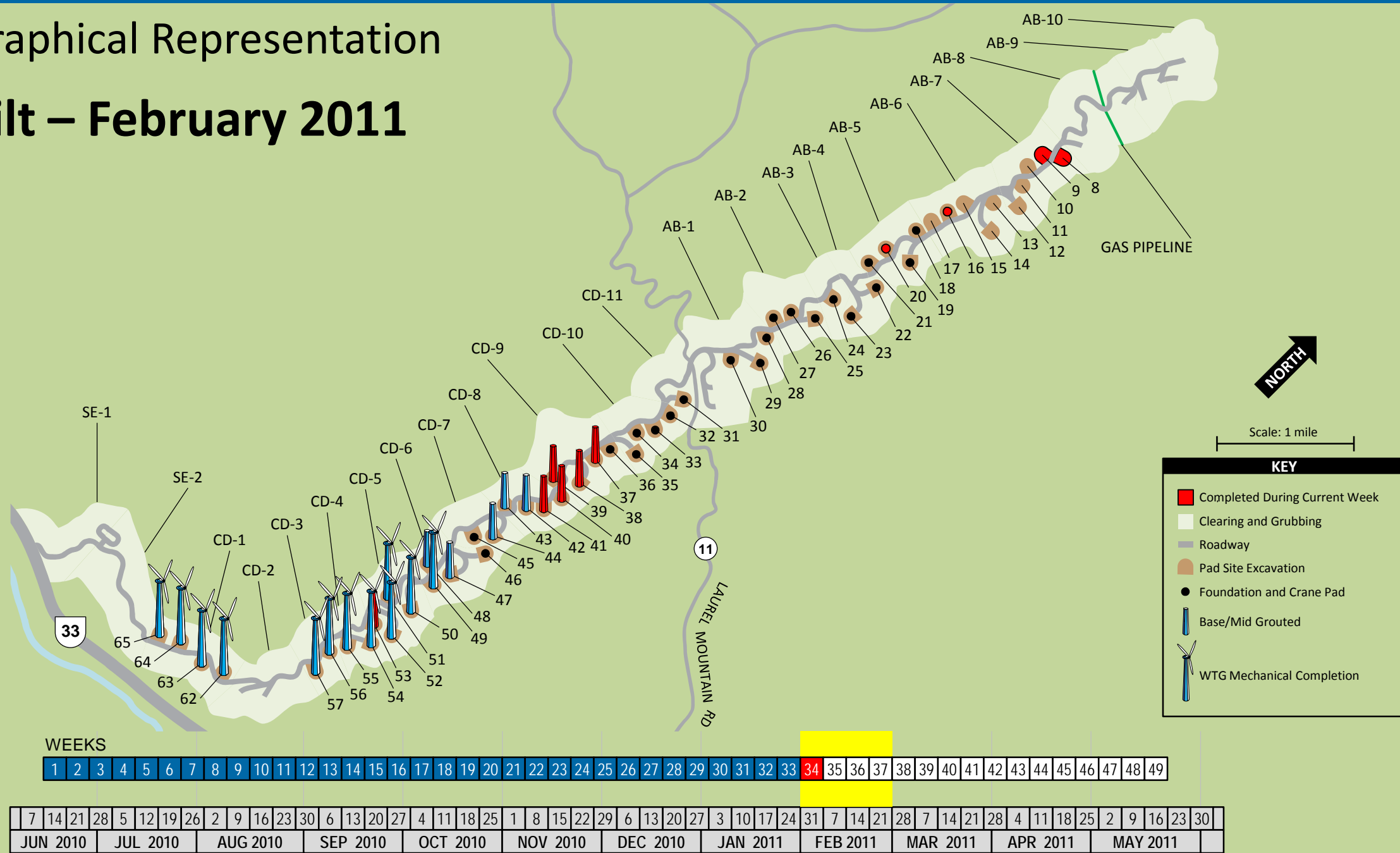
• Site Issues

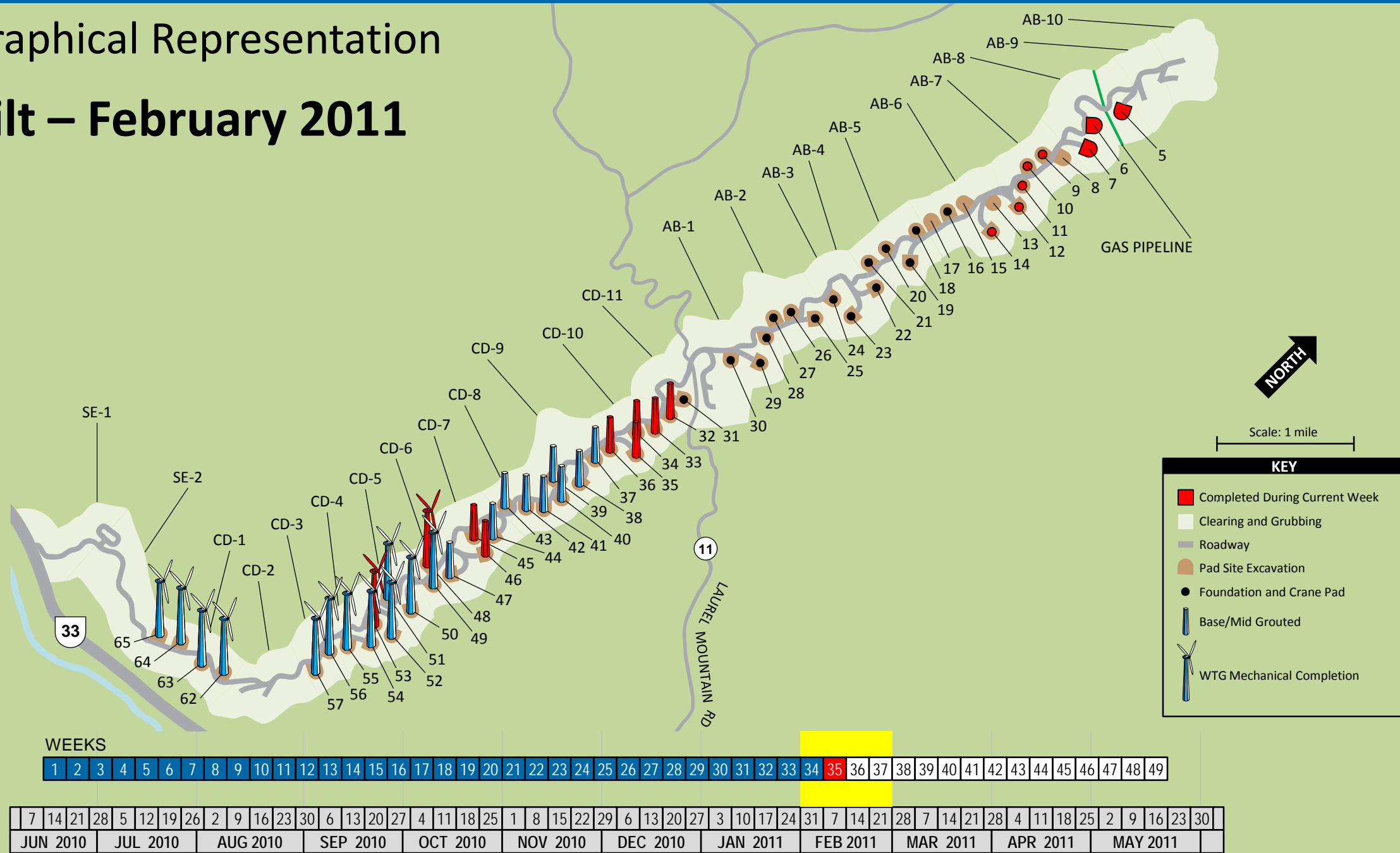
- Haphazard Component Deliveries
- Lack of Completed Pad Sites / Roadway Segments
- Snow Removal / Road Maintenance
- Weather / Safety



### III.A. Graphical Representation

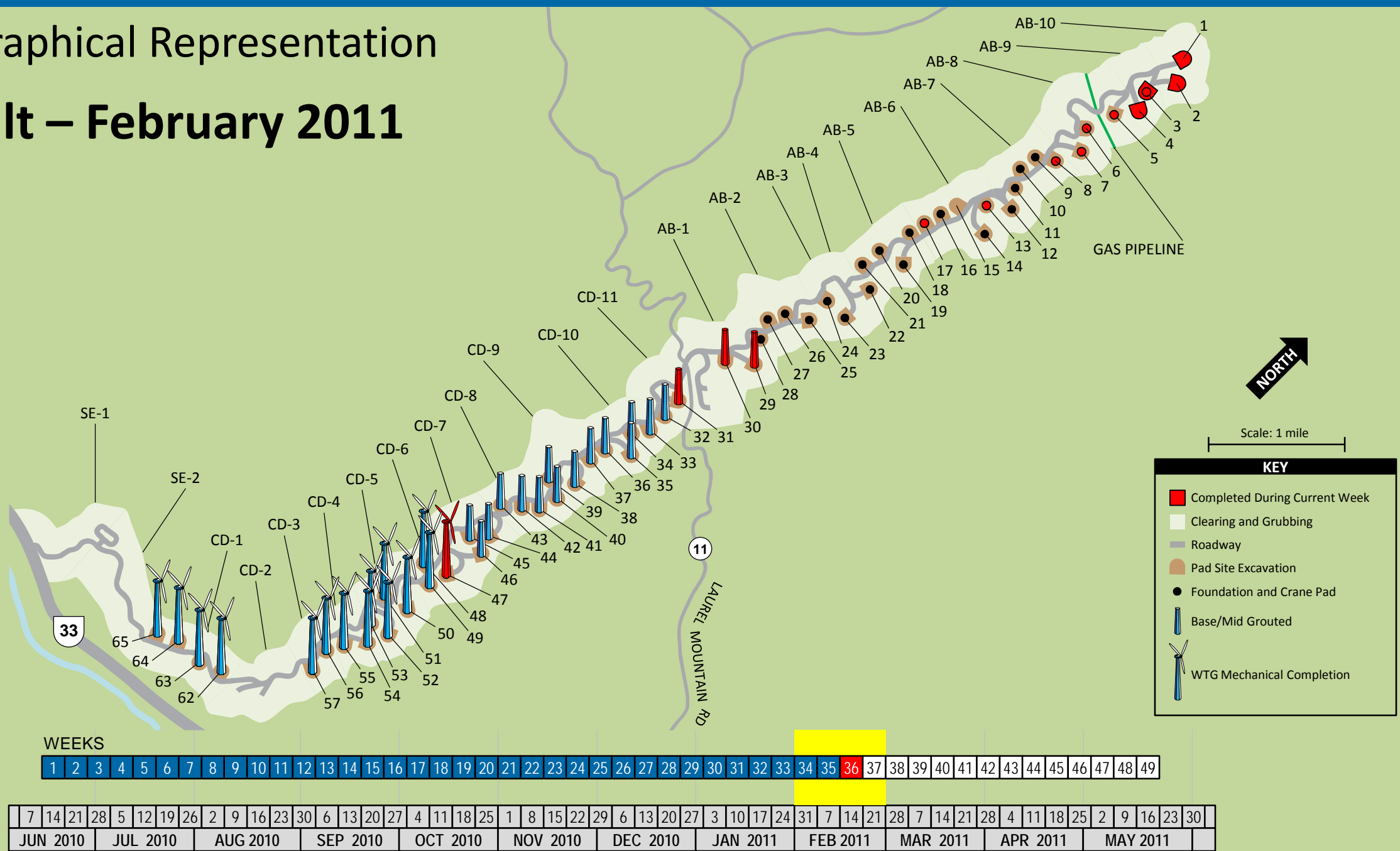
#### **As-Built – February 2011**





# III.A. Graphical Representation

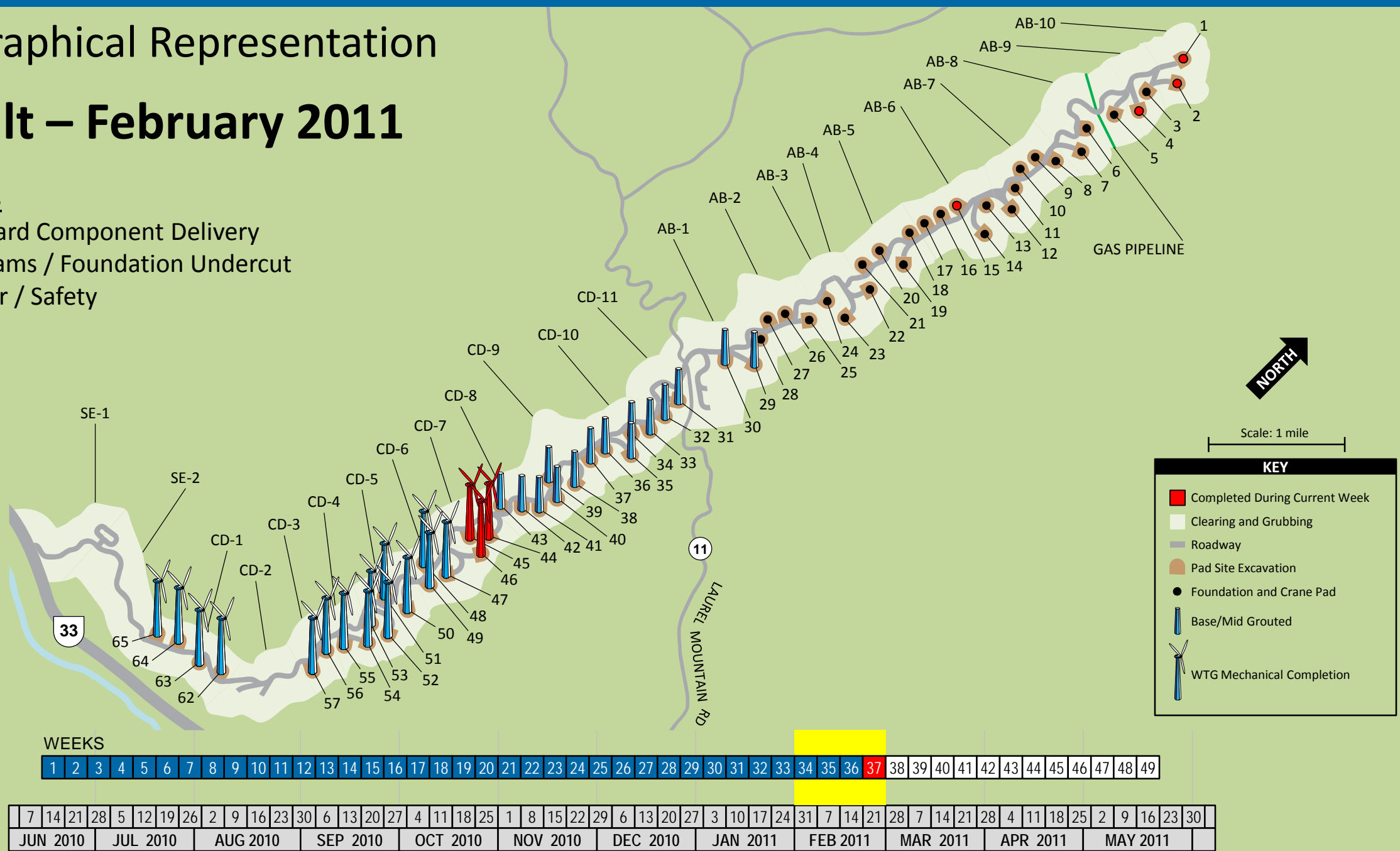
## As-Built – February 2011



# III.A. Graphical Representation

## As-Built – February 2011

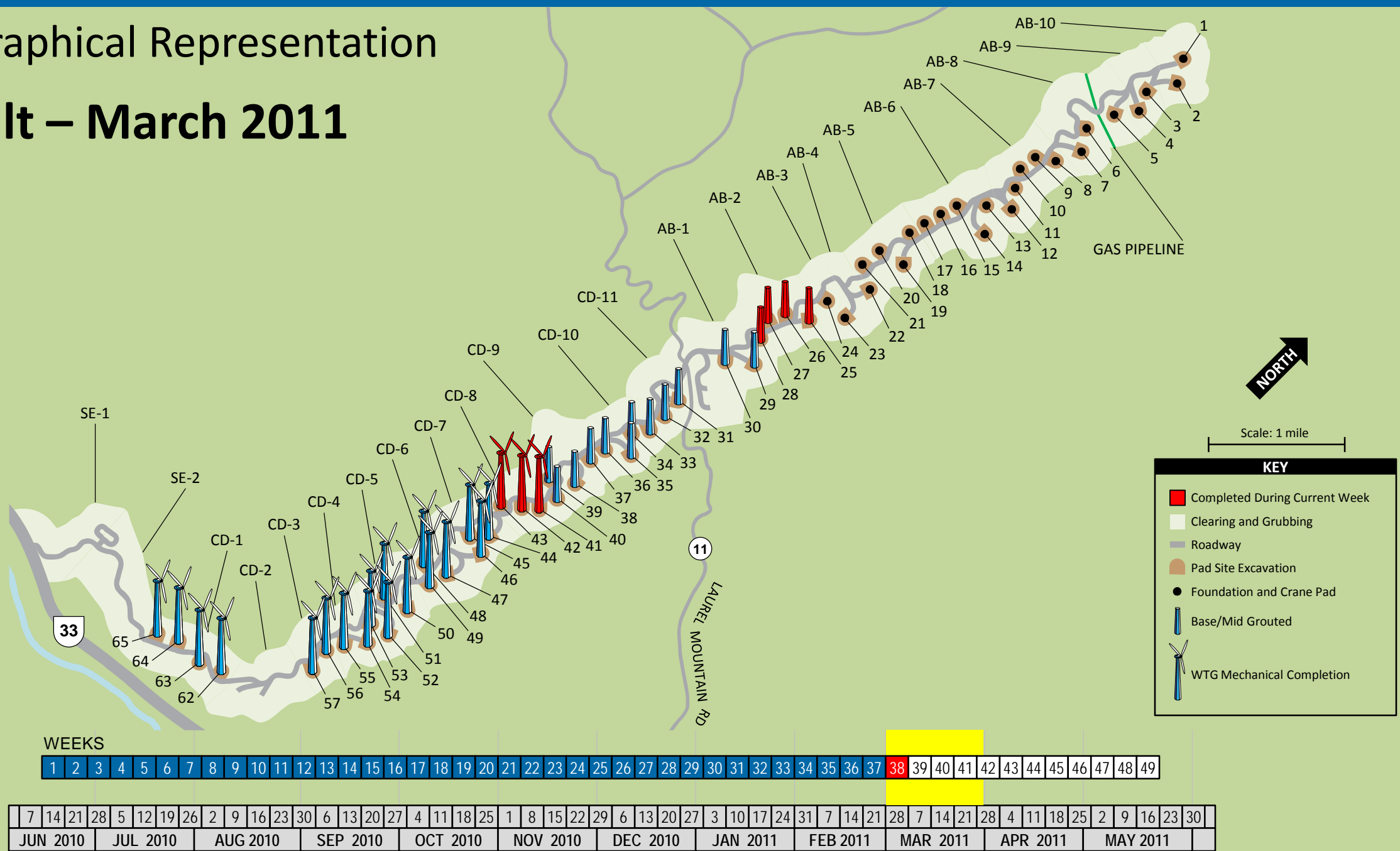
- Site Issues
  - Haphazard Component Delivery
  - Coal Seams / Foundation Undercut
  - Weather / Safety





# III.A. Graphical Representation

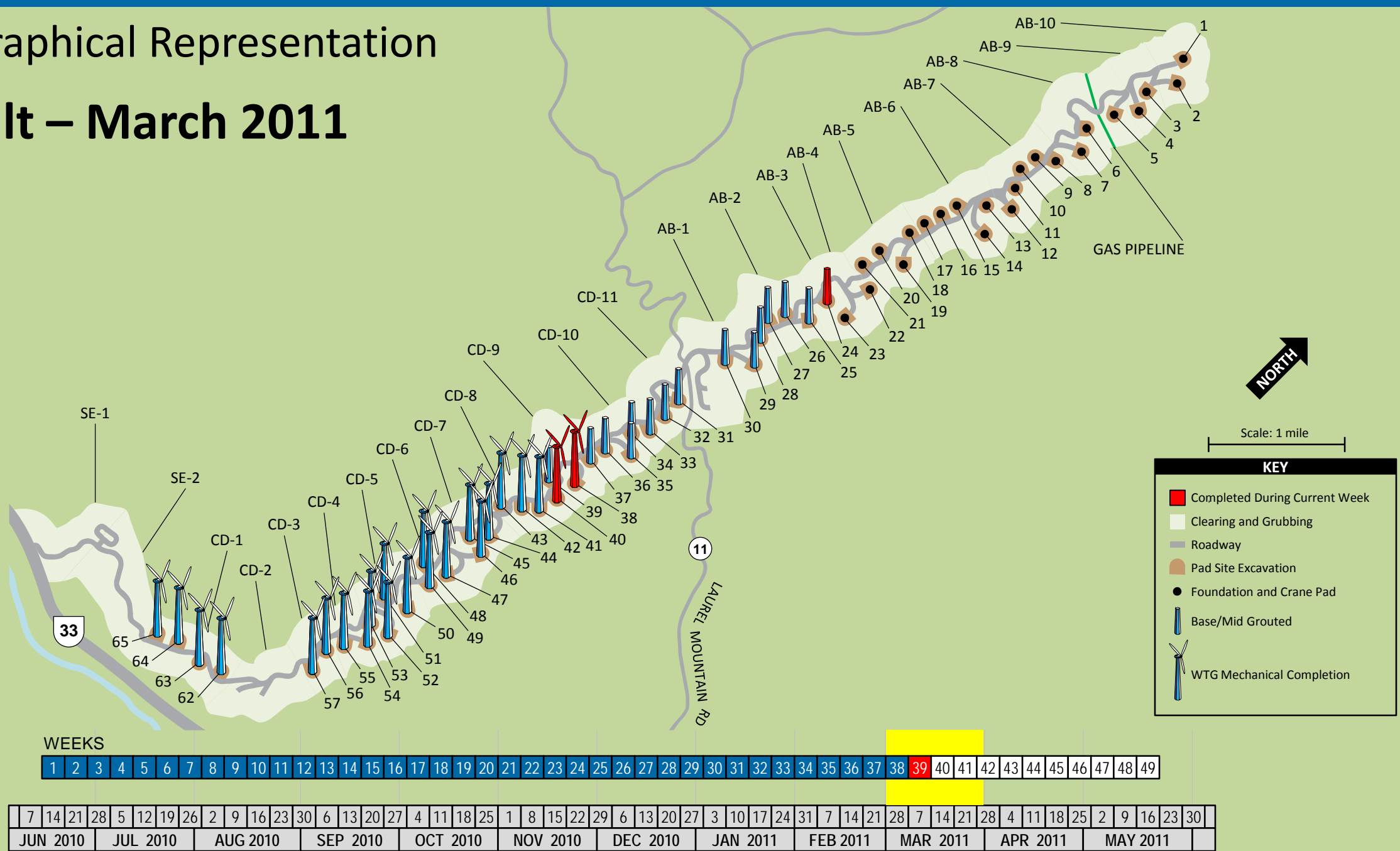
## As-Built – March 2011

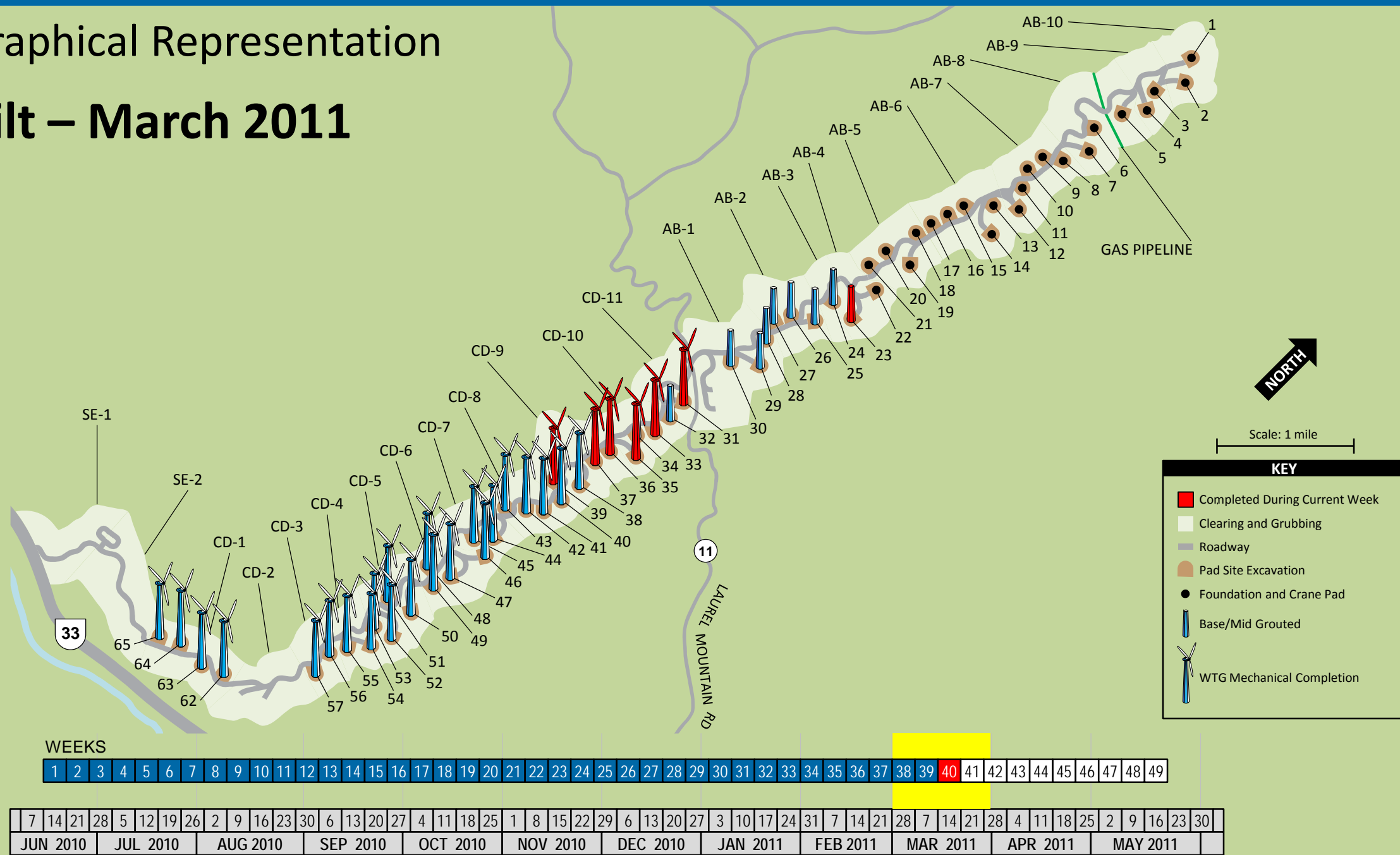




# III.A. Graphical Representation

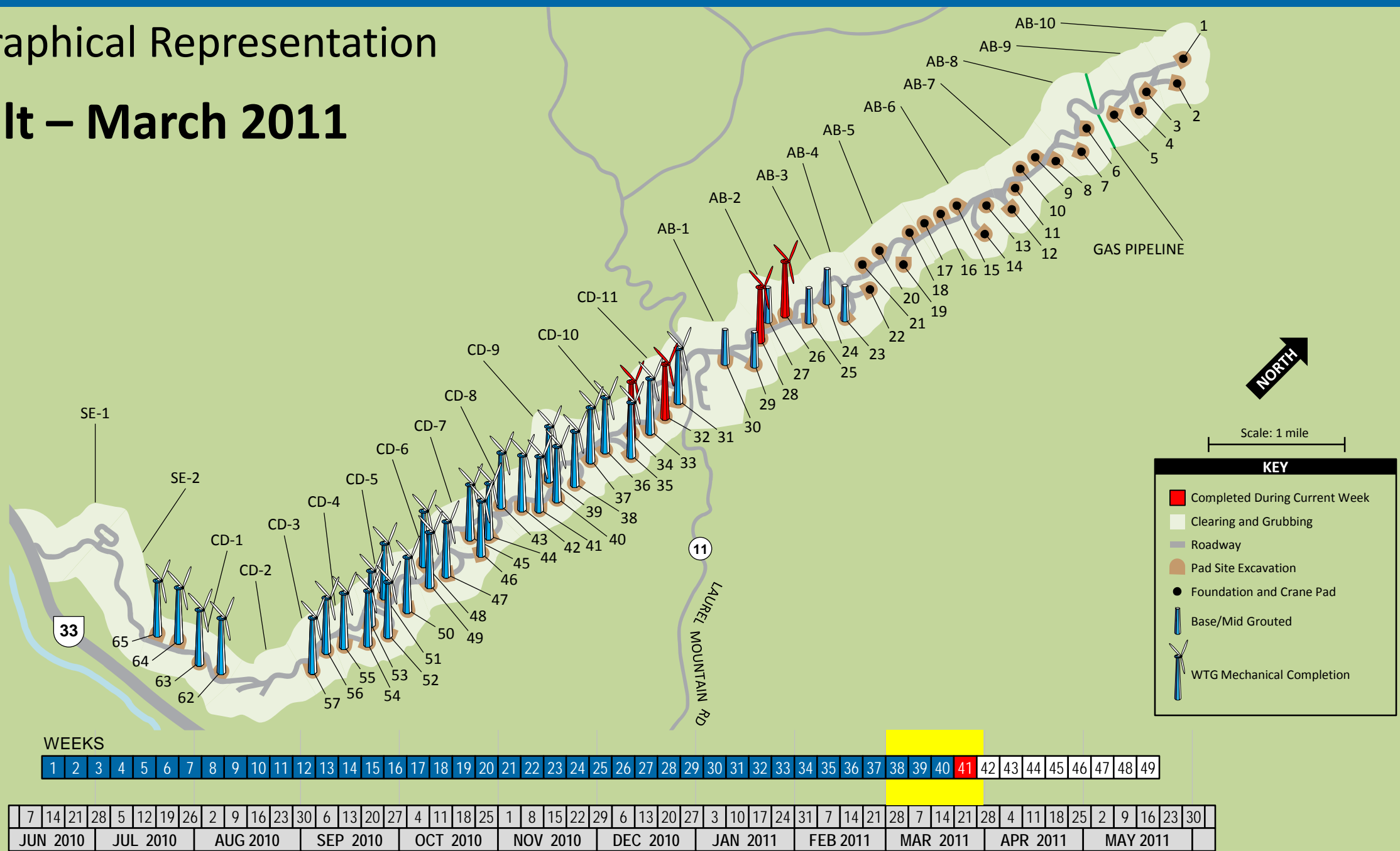
## As-Built – March 2011





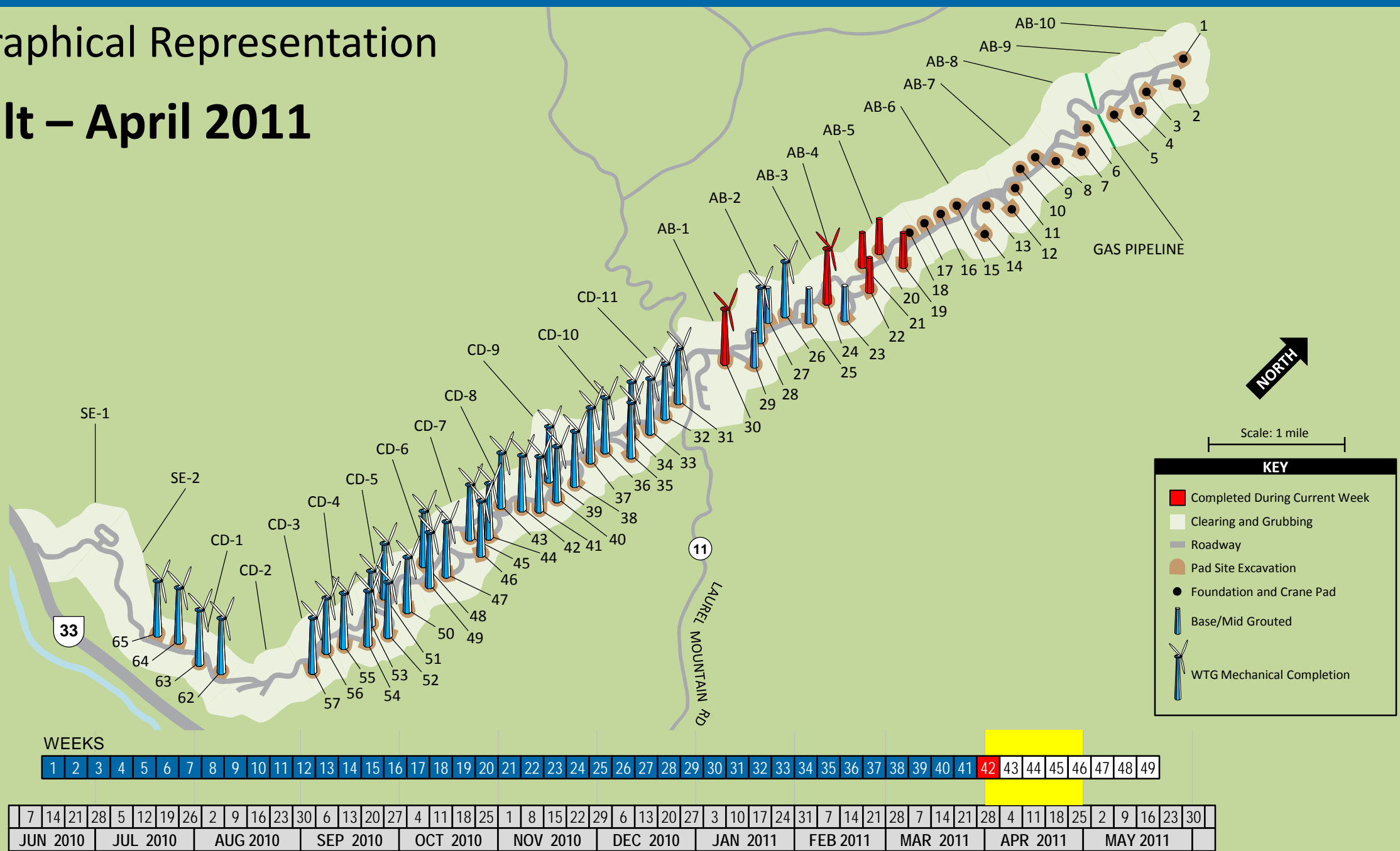
# III.A. Graphical Representation

## As-Built – March 2011



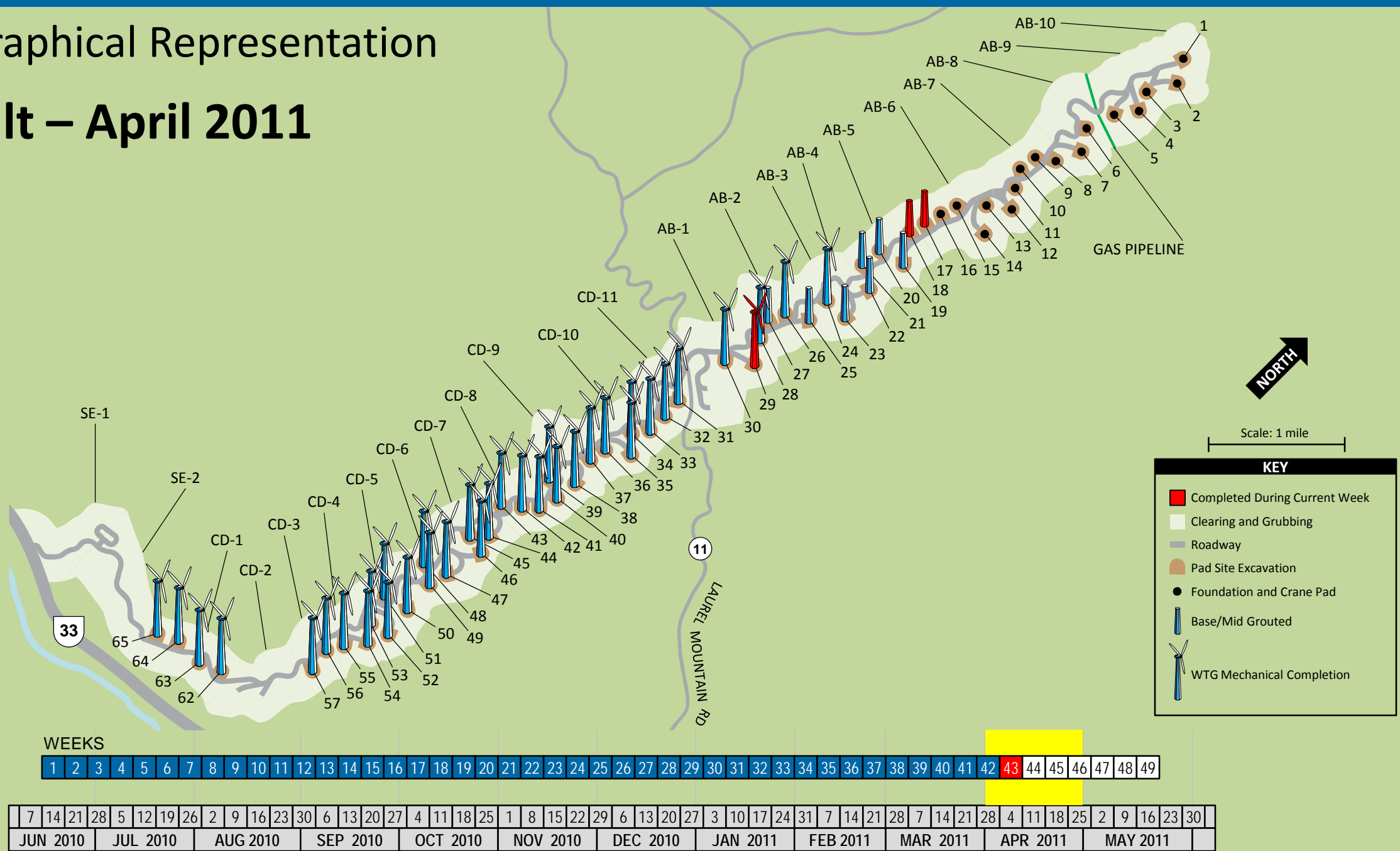
# III.A. Graphical Representation

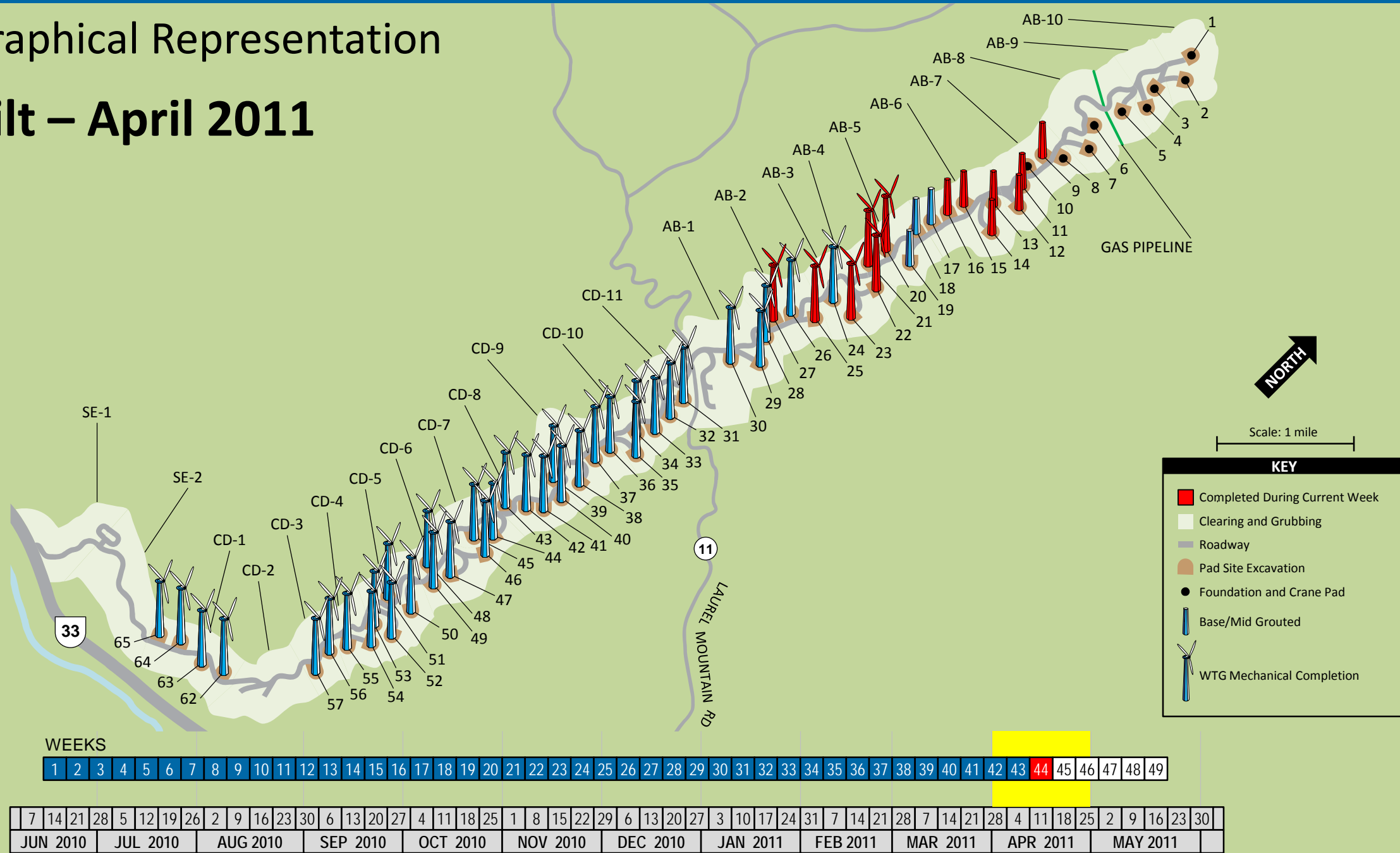
## As-Built – April 2011



# III.A. Graphical Representation

## As-Built – April 2011

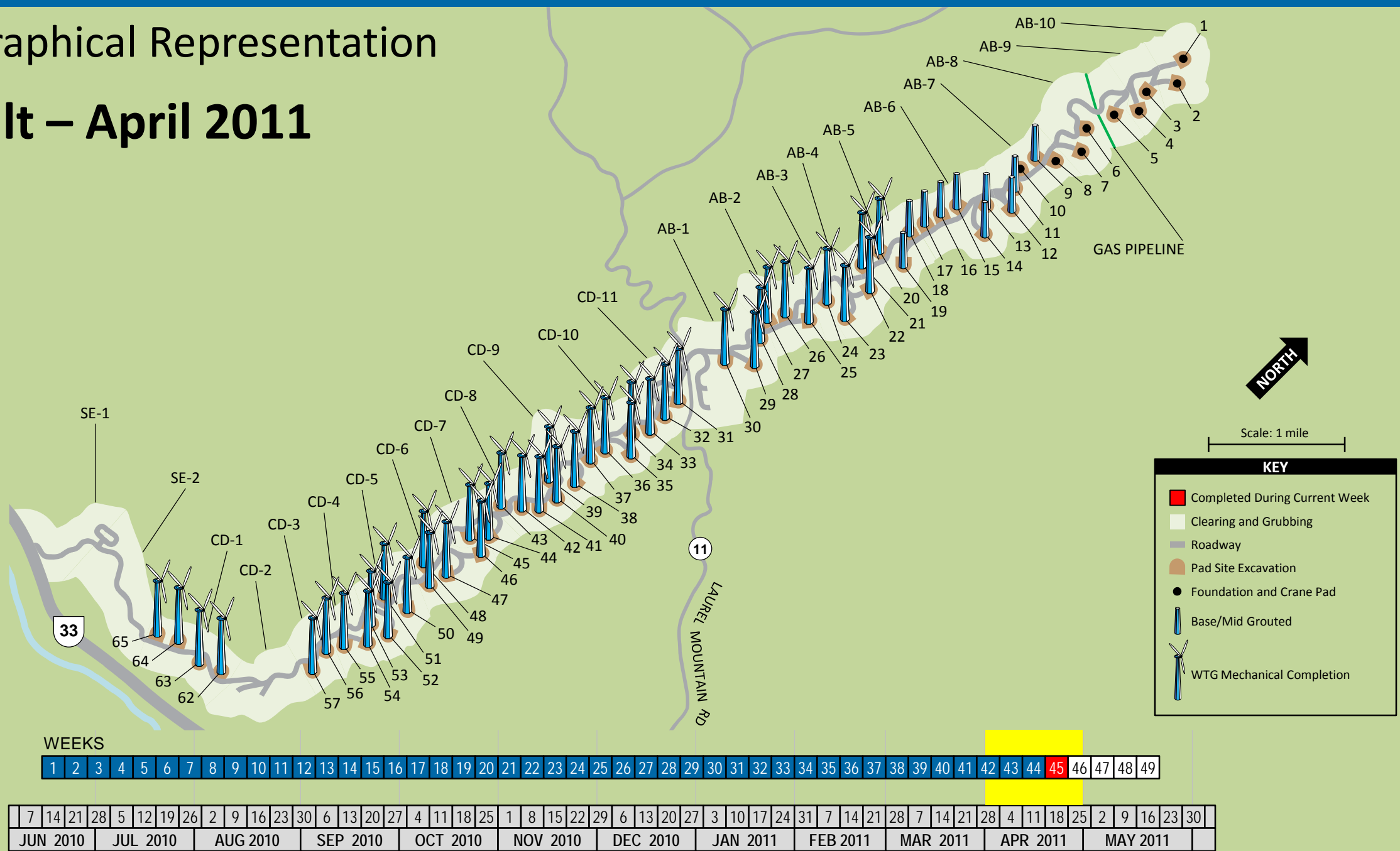






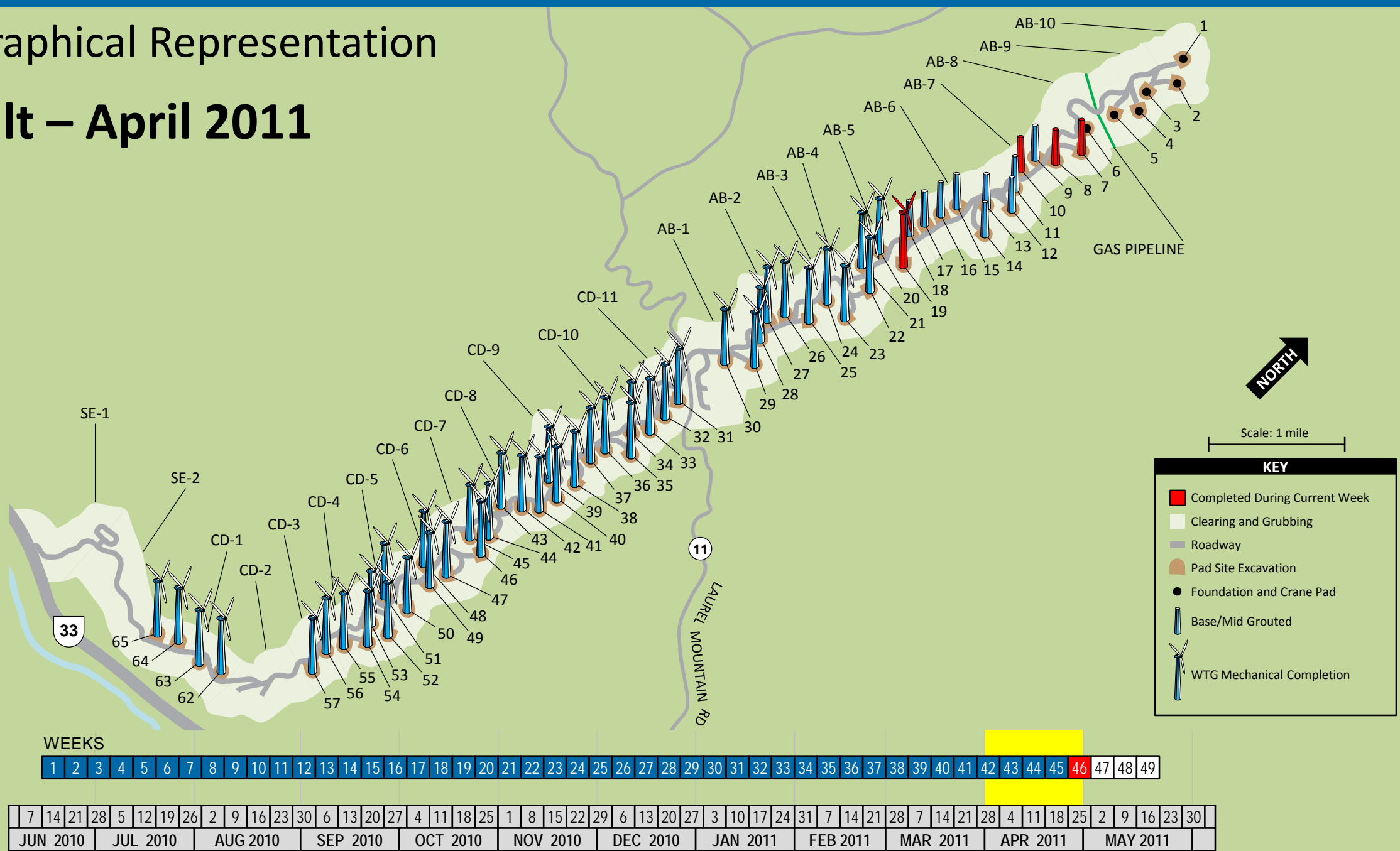
# III.A. Graphical Representation

## As-Built – April 2011



# III.A. Graphical Representation

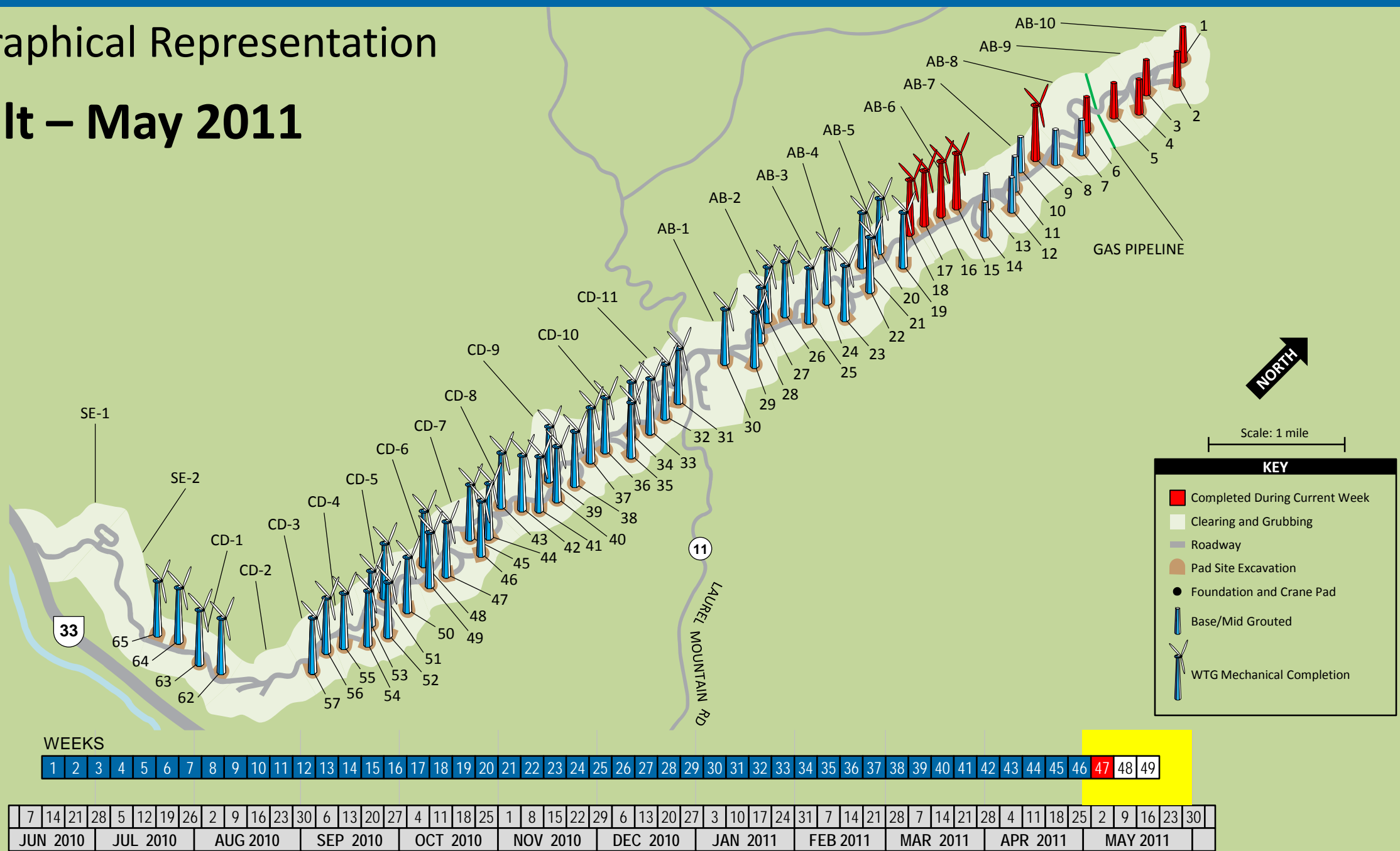
## As-Built – April 2011





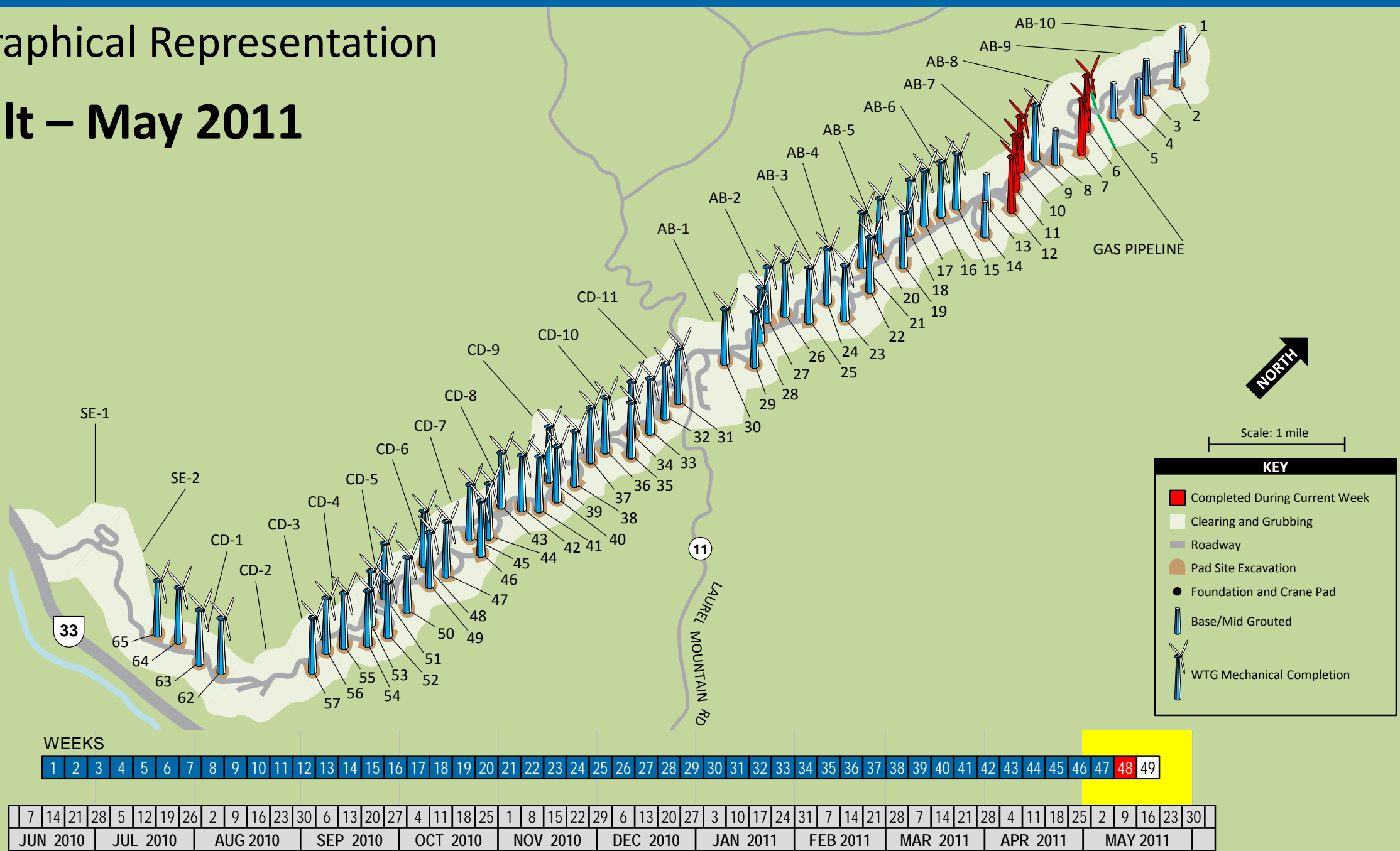
# III.A. Graphical Representation

## As-Built – May 2011



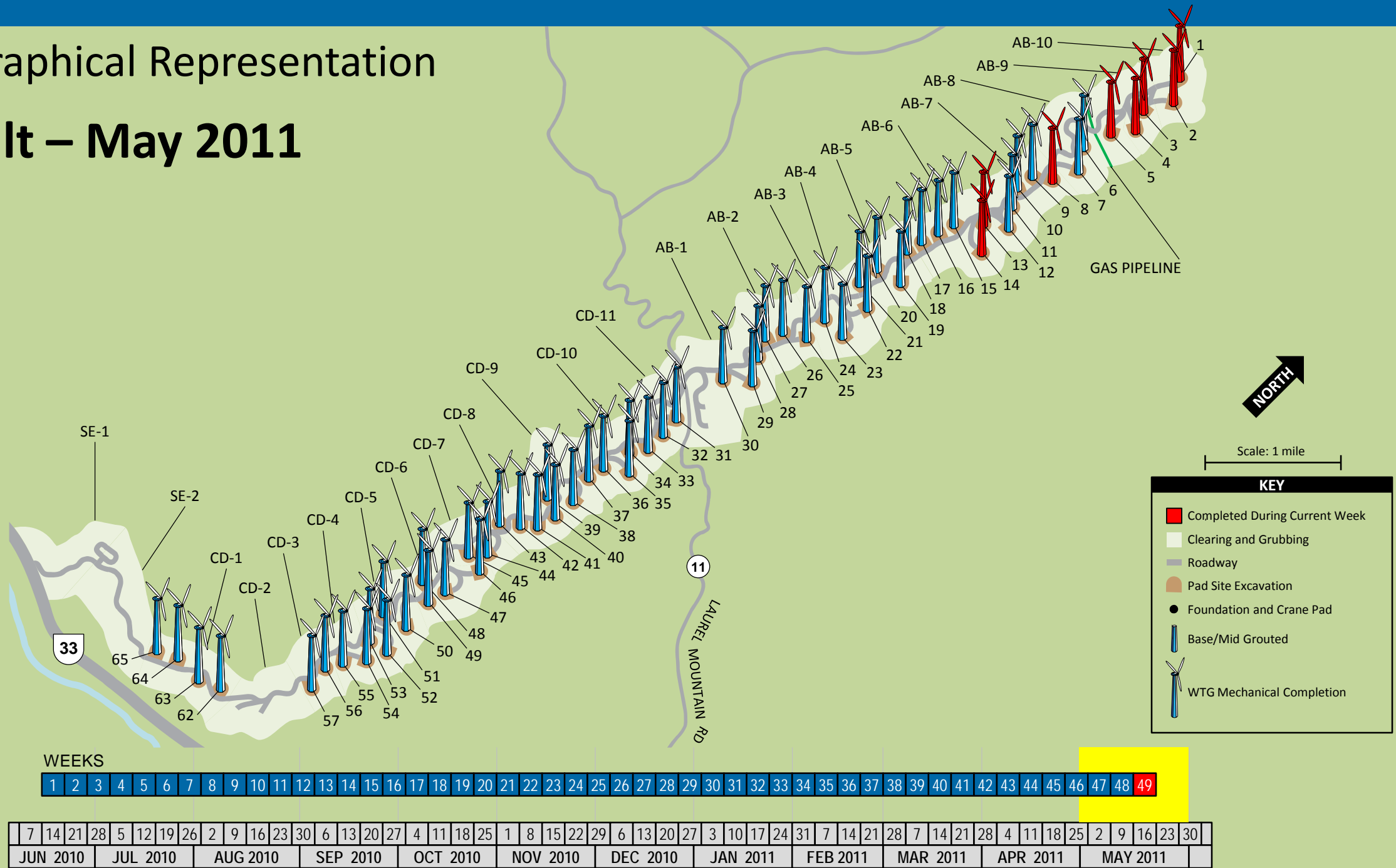
# III.A. Graphical Representation

## As-Built – May 2011



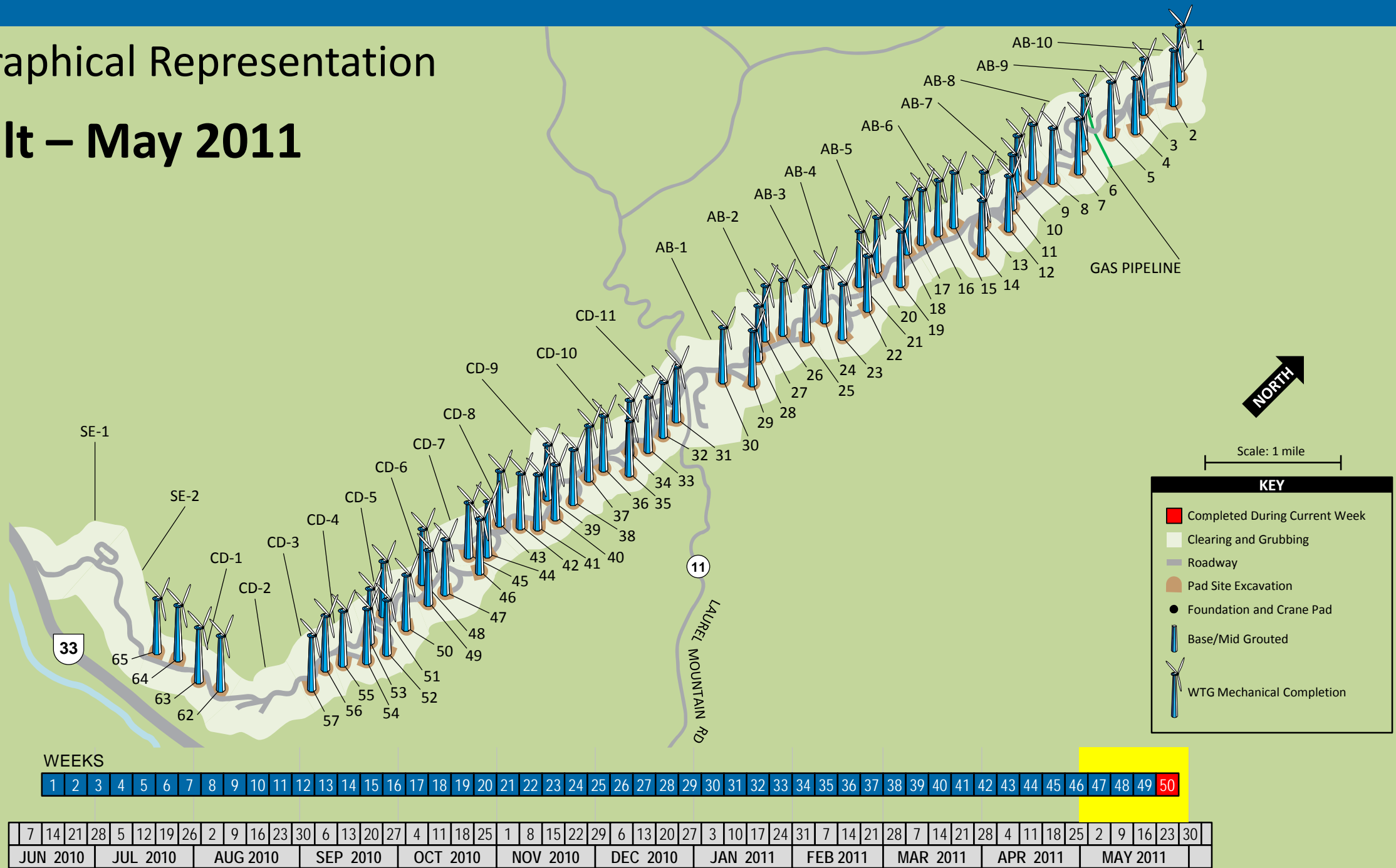
# III.A. Graphical Representation

## As-Built – May 2011



# III.A. Graphical Representation

## As-Built – May 2011





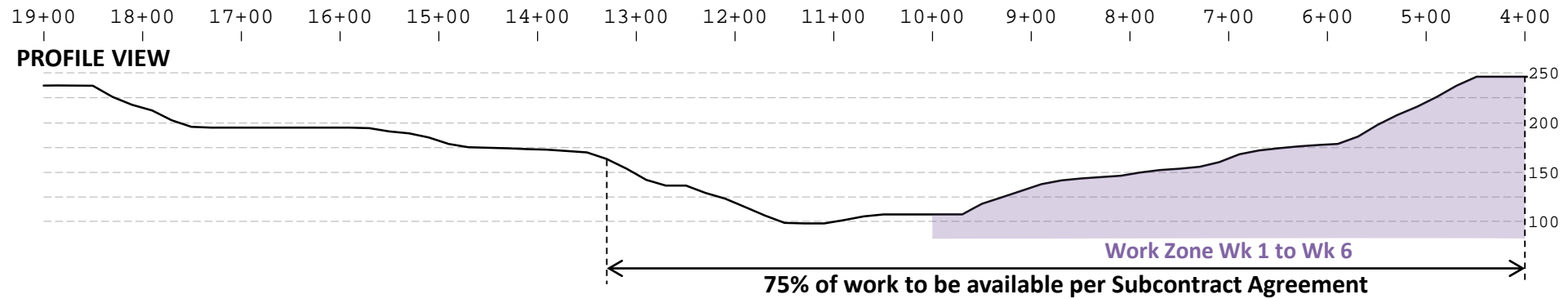
# Rocky Pen Run Reservoir, VA



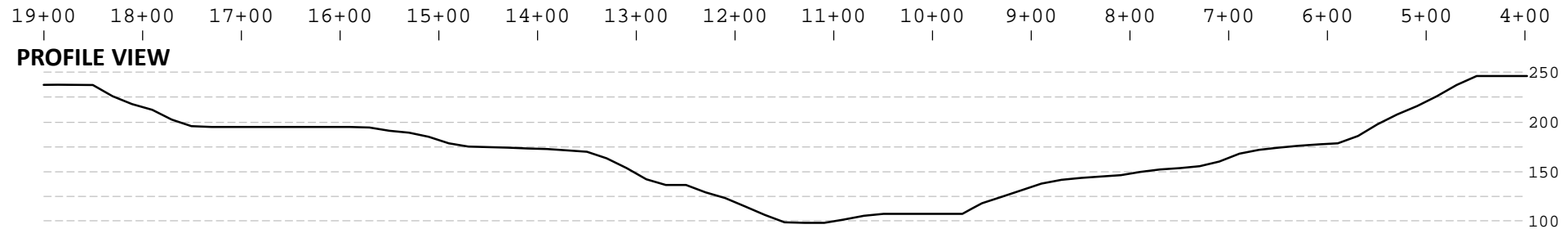
# **Anticipated vs. As-built Progression of Work Areas by Week**

# Production Drilling Anticipated to Start on 9/22/10

## ANTICIPATED Grout Hole Drilling Sequence

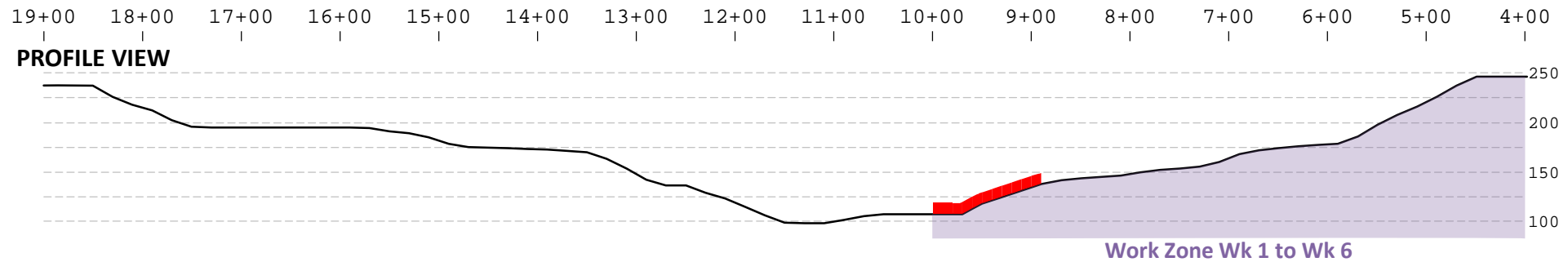


## AS-BUILT Grout Hole Drilling Sequence

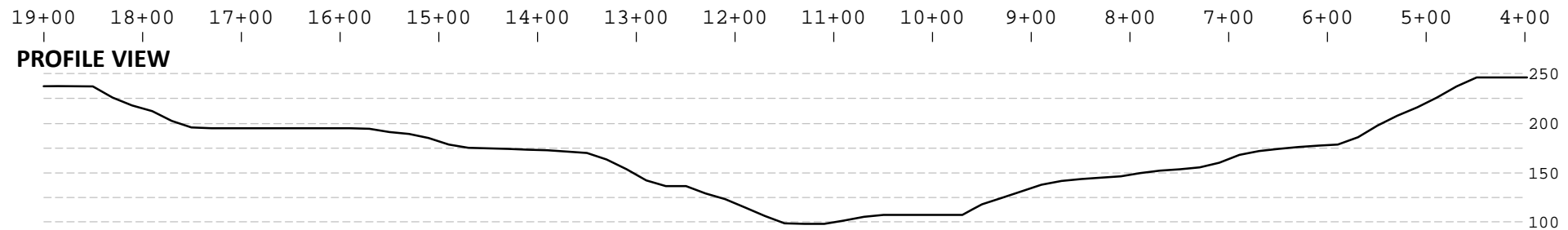


## Week 1: 9/22/10 to 9/28/10

### ANTICIPATED Grout Hole Drilling Sequence



### AS-BUILT Grout Hole Drilling Sequence

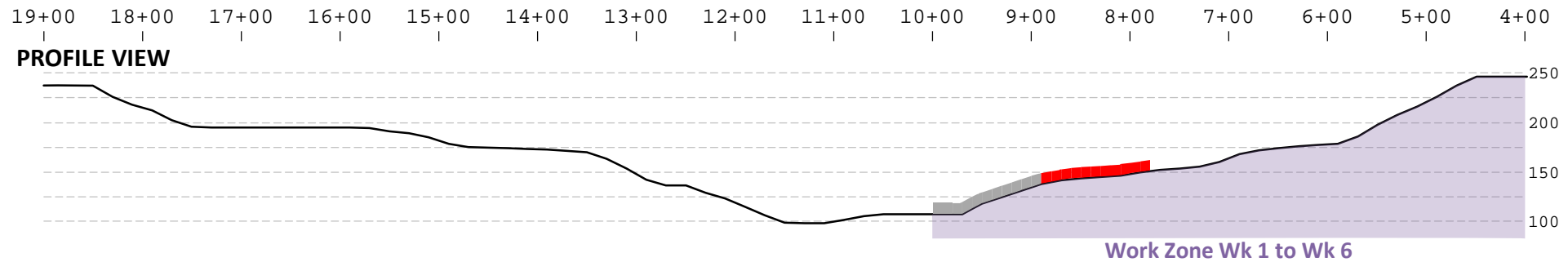


**NO PRODUCTION DRILLING THIS WEEK**

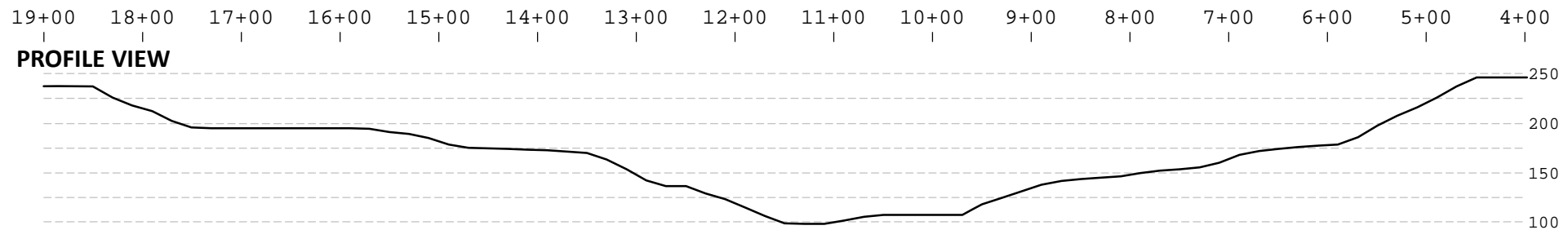


## Week 2: 9/29/10 to 10/5/10

### ANTICIPATED Grout Hole Drilling Sequence



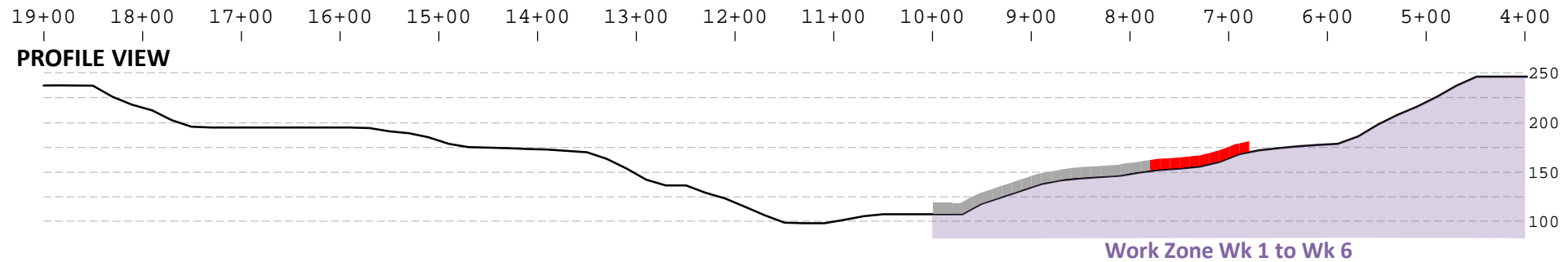
### AS-BUILT Grout Hole Drilling Sequence



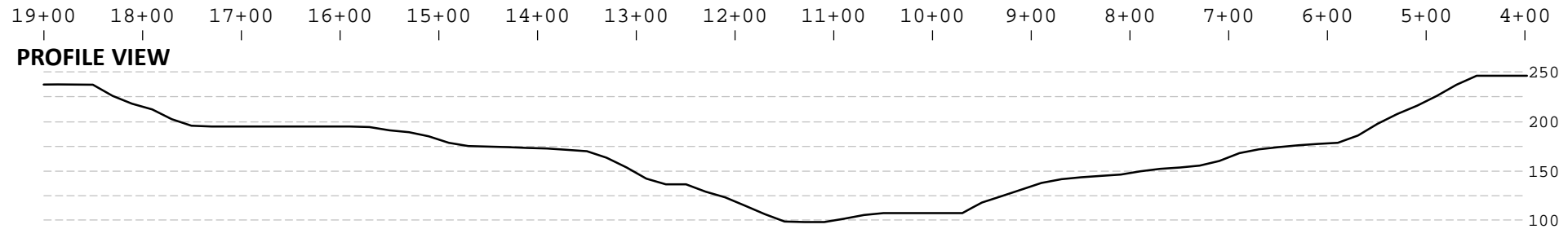
**NO PRODUCTION DRILLING THIS WEEK**

## Week 3: 10/6/10 to 10/12/10

### ANTICIPATED Grout Hole Drilling Sequence



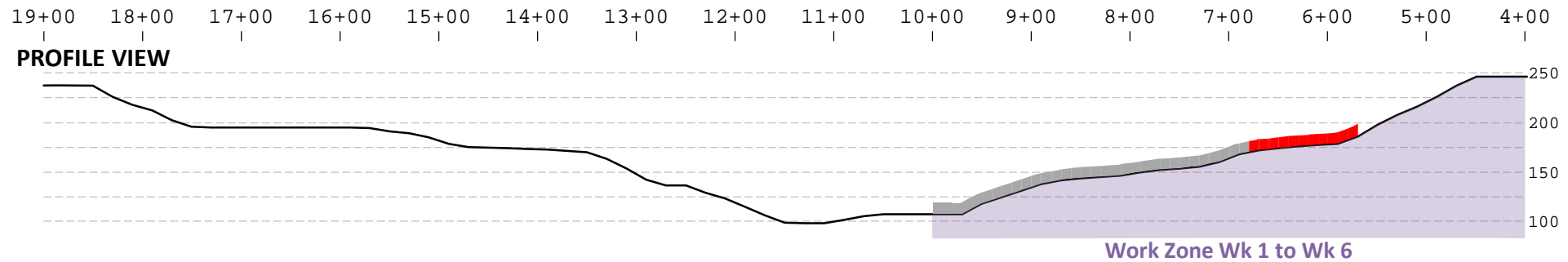
### AS-BUILT Grout Hole Drilling Sequence



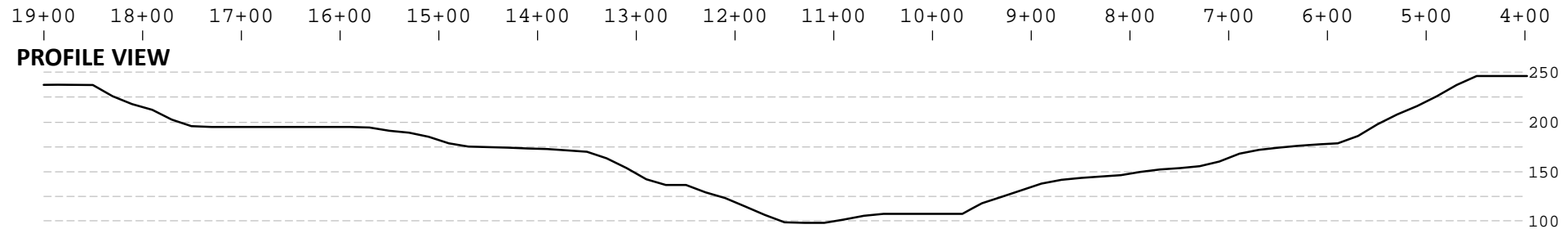
**NO PRODUCTION DRILLING THIS WEEK**

## Week 4: 10/14/10 to 10/19/10

### ANTICIPATED Grout Hole Drilling Sequence



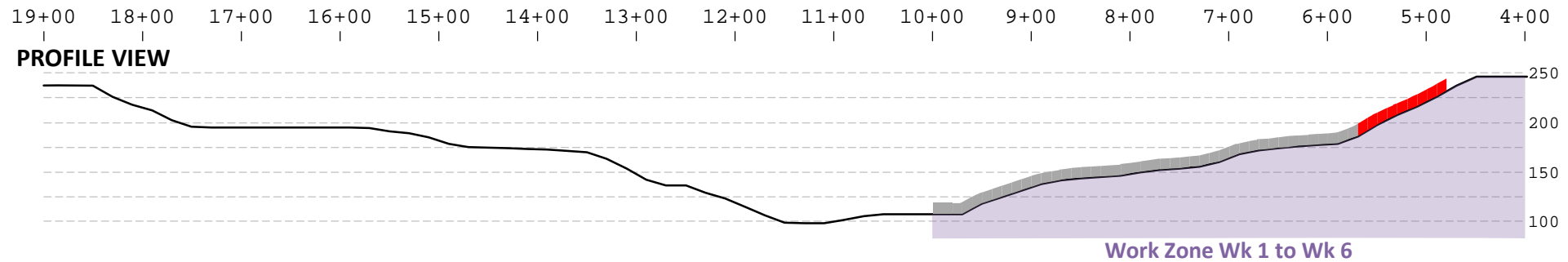
### AS-BUILT Grout Hole Drilling Sequence



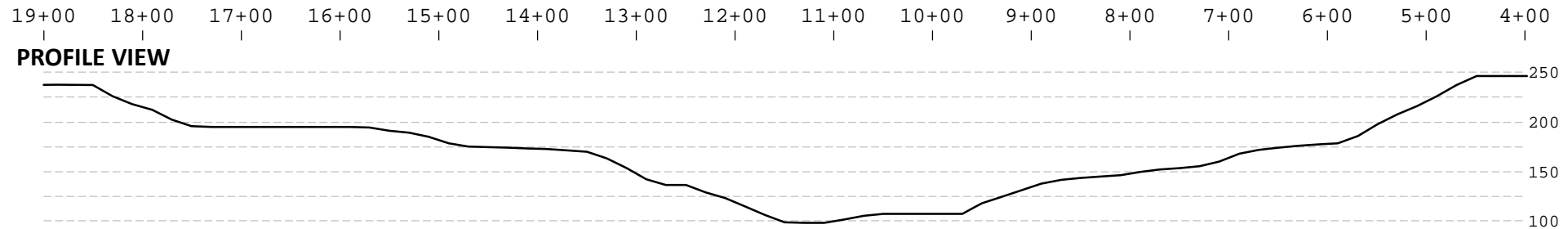
**NO PRODUCTION DRILLING THIS WEEK**

## Week 5: 10/20/10 to 10/26/10

### ANTICIPATED Grout Hole Drilling Sequence



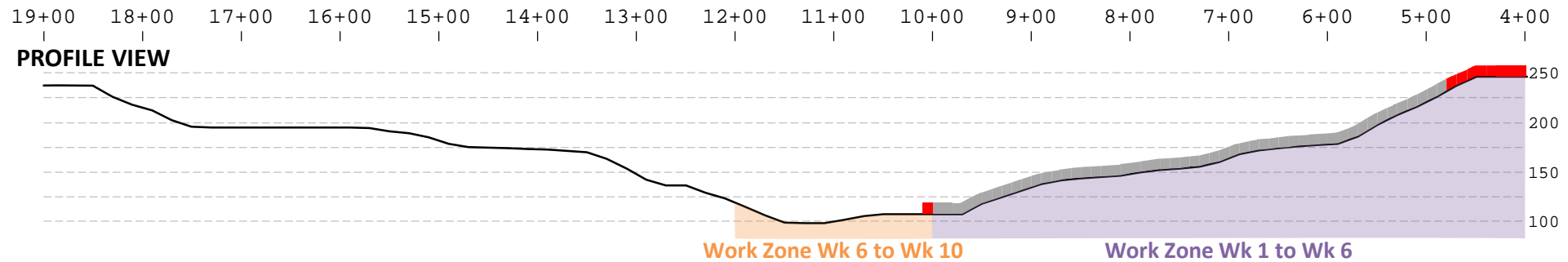
### AS-BUILT Grout Hole Drilling Sequence



**NO PRODUCTION DRILLING THIS WEEK**

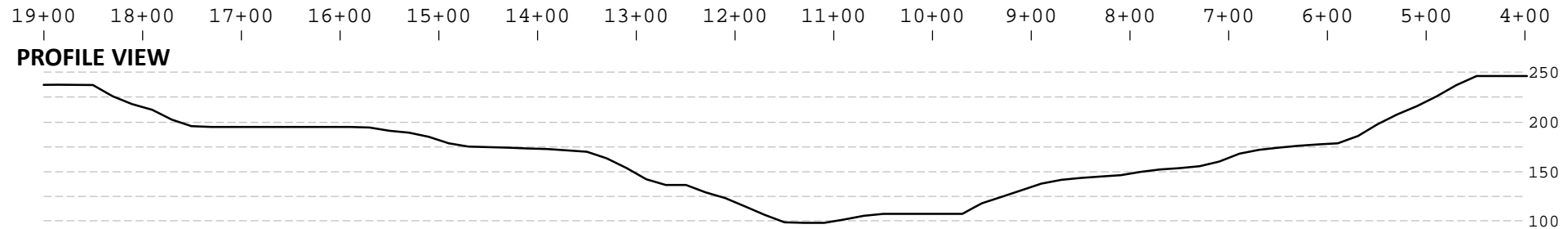
## Week 6: 10/27/10 to 11/2/10

### ANTICIPATED Grout Hole Drilling Sequence



**RIGHT SIDE COMPLETE. BEGIN MIDDLE.**

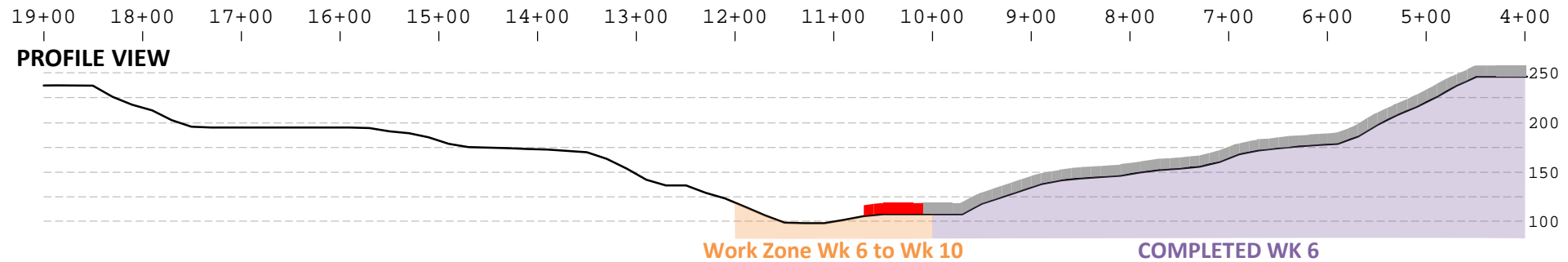
### AS-BUILT Grout Hole Drilling Sequence



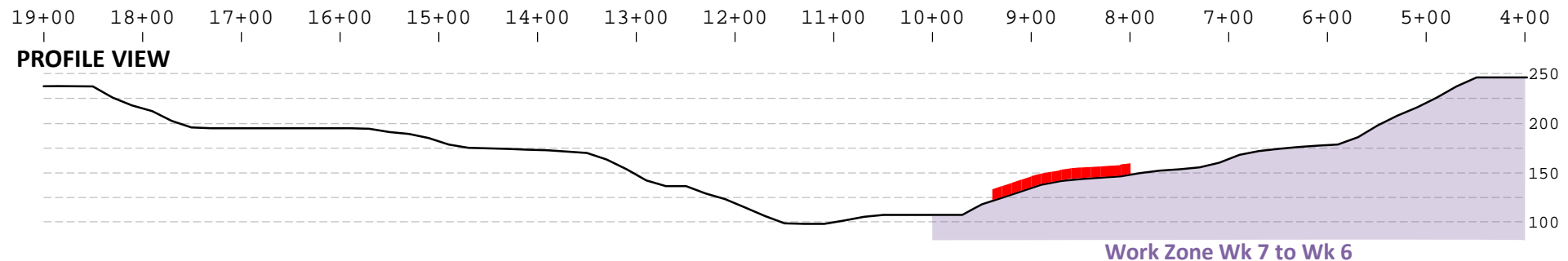
**NO PRODUCTION DRILLING THIS WEEK**

## Week 7: 11/3/10 to 11/9/10

### ANTICIPATED Grout Hole Drilling Sequence



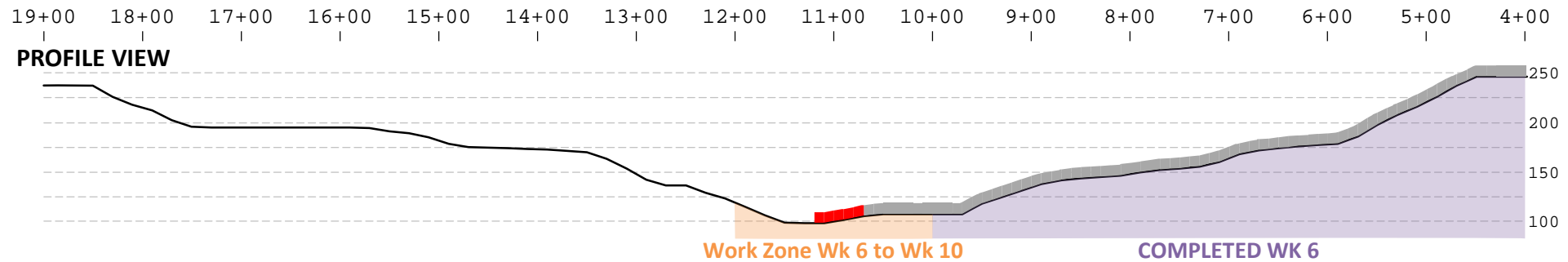
### AS-BUILT Grout Hole Drilling Sequence



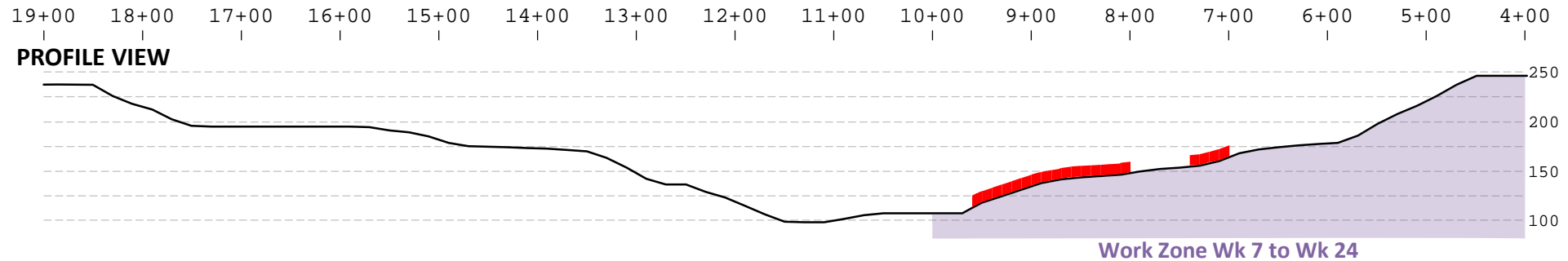
**PRODUCTION DRILLING STARTS ON 11/8/10**

## Week 8: 11/10/10 to 11/16/10

### ANTICIPATED Grout Hole Drilling Sequence

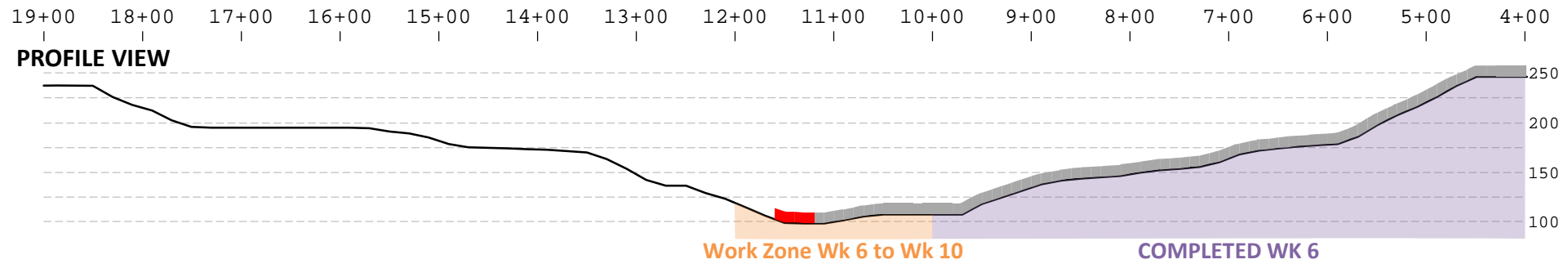


### AS-BUILT Grout Hole Drilling Sequence

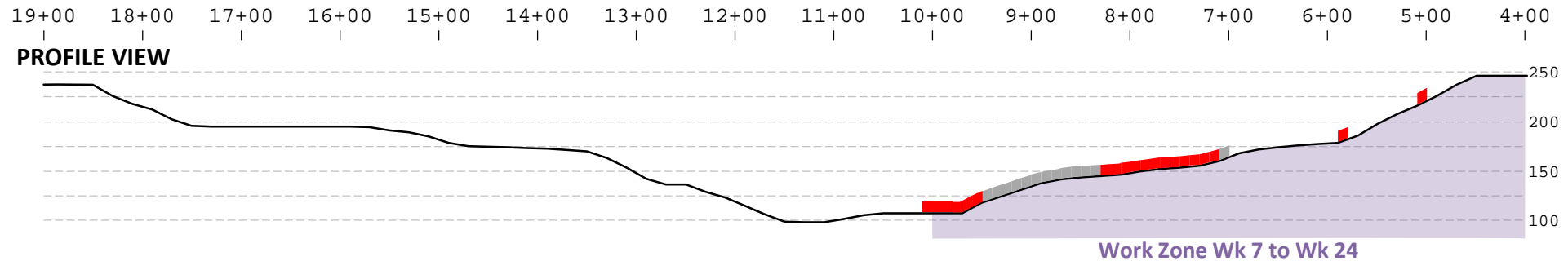


## Week 9: 11/17/10 to 11/23/10

### ANTICIPATED Grout Hole Drilling Sequence



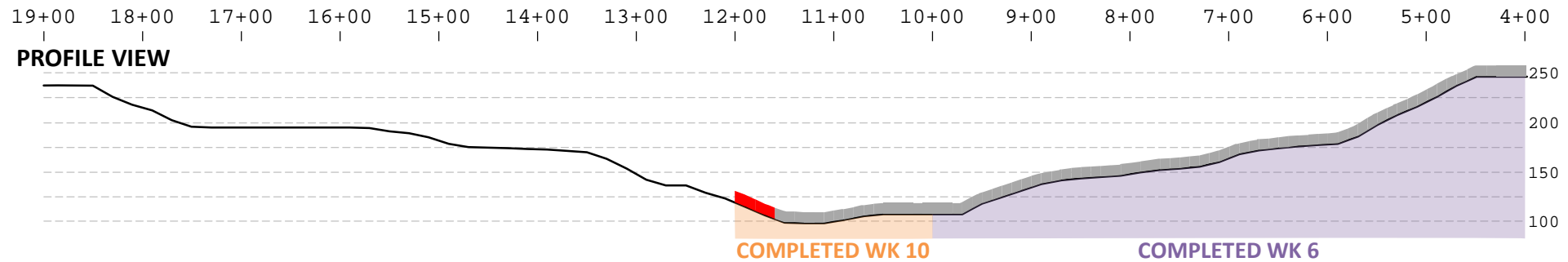
### AS-BUILT Grout Hole Drilling Sequence





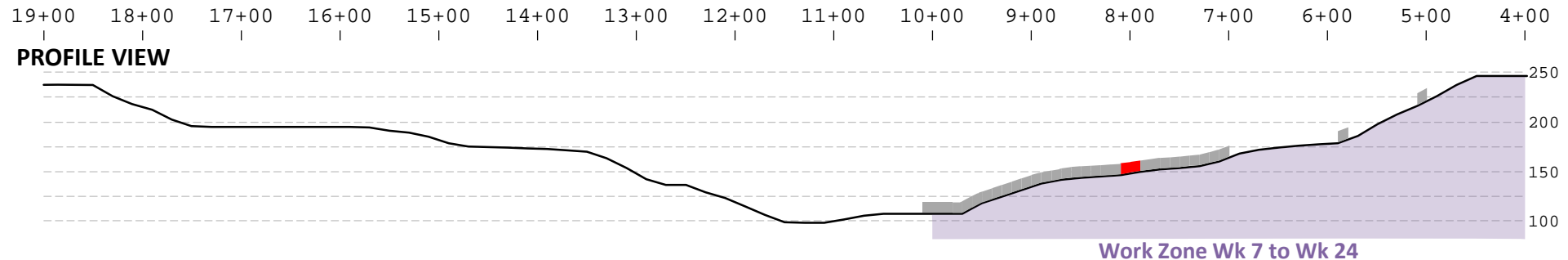
## Week 10: 11/24/10 to 11/30/10

### ANTICIPATED Grout Hole Drilling Sequence



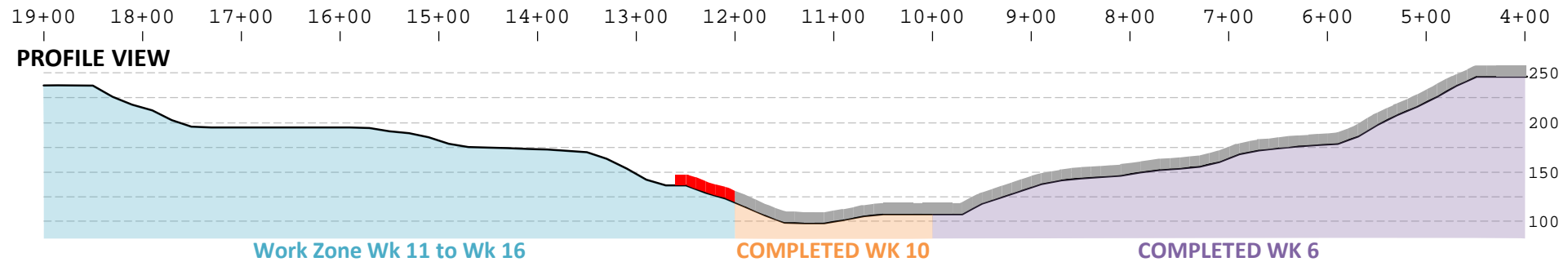
**MIDDLE COMPLETE. BEGIN LEFT SIDE.**

### AS-BUILT Grout Hole Drilling Sequence

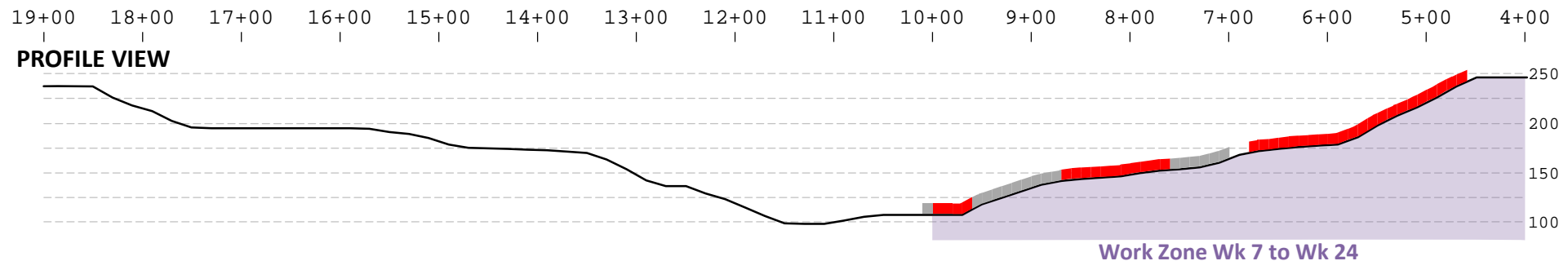


## Week 11: 12/1/10 to 12/7/10

### ANTICIPATED Grout Hole Drilling Sequence

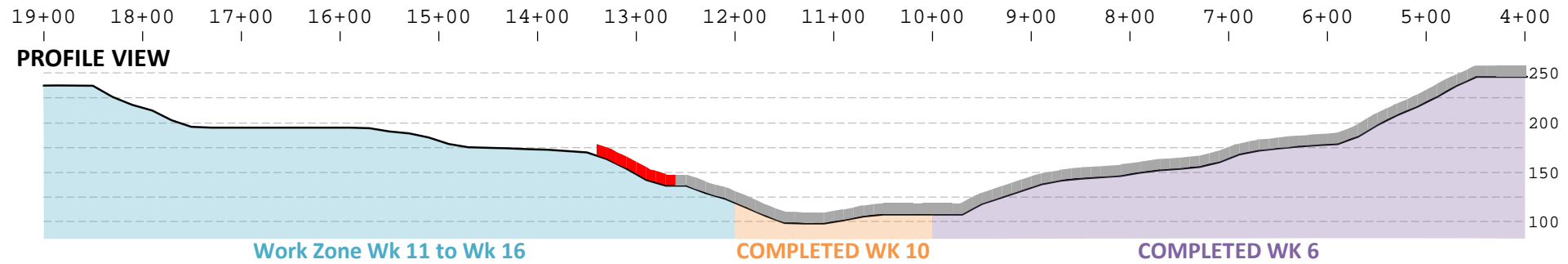


### AS-BUILT Grout Hole Drilling Sequence

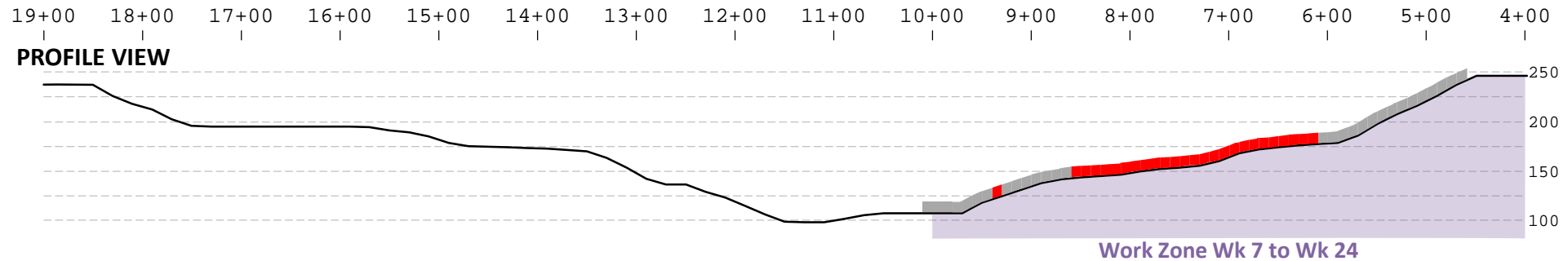


## Week 12: 12/8/10 to 12/14/10

### ANTICIPATED Grout Hole Drilling Sequence

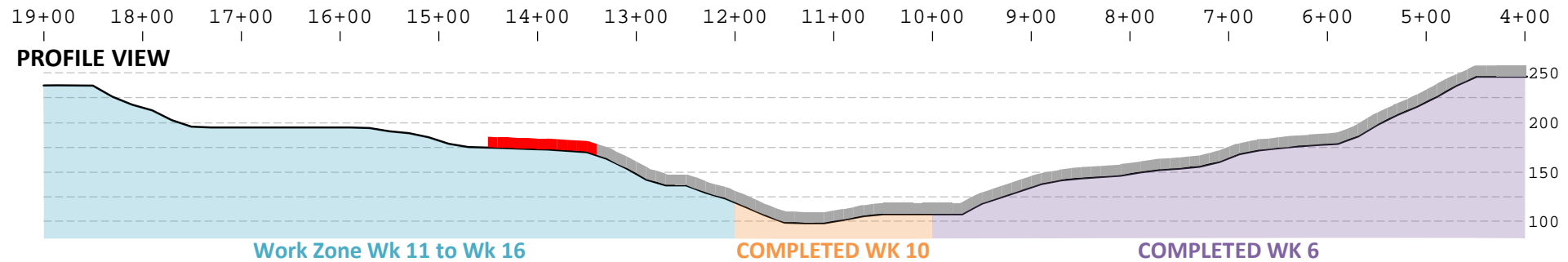


### AS-BUILT Grout Hole Drilling Sequence

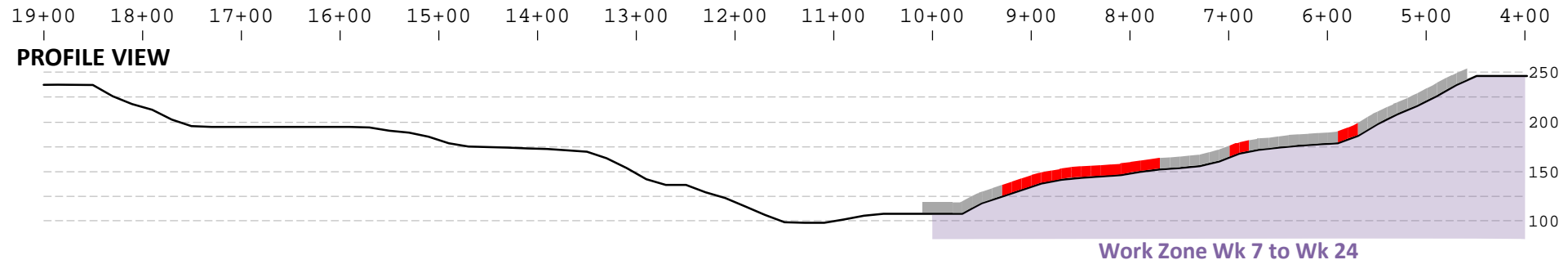


## Week 13: 12/15/10 to 12/21/10

### ANTICIPATED Grout Hole Drilling Sequence

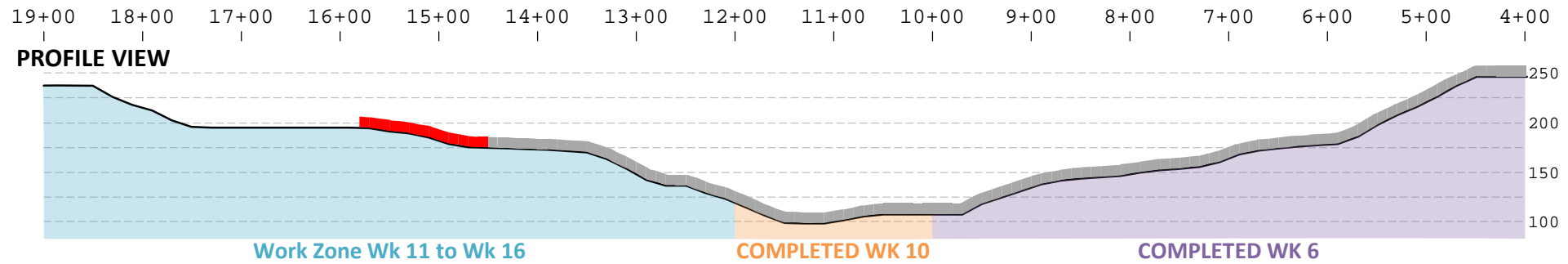


### AS-BUILT Grout Hole Drilling Sequence

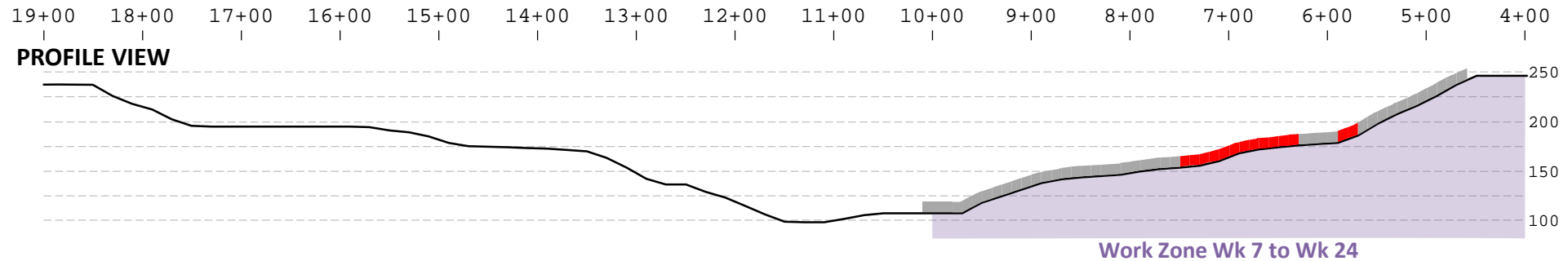


## Week 14: 12/22/10 to 12/28/10

### ANTICIPATED Grout Hole Drilling Sequence

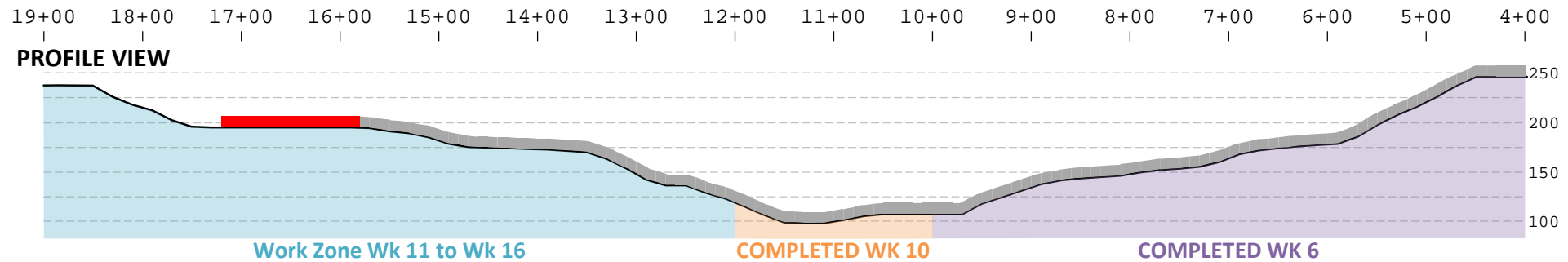


### AS-BUILT Grout Hole Drilling Sequence

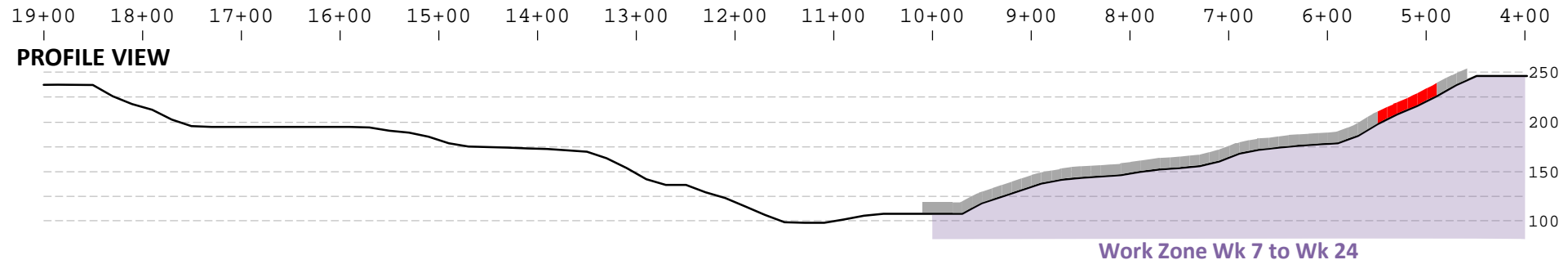


## Week 15: 12/29/10 to 1/4/11

### ANTICIPATED Grout Hole Drilling Sequence

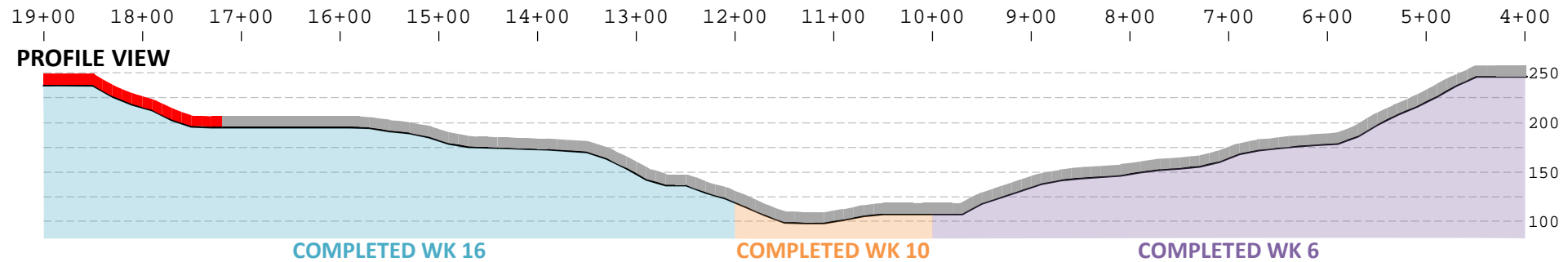


### AS-BUILT Grout Hole Drilling Sequence



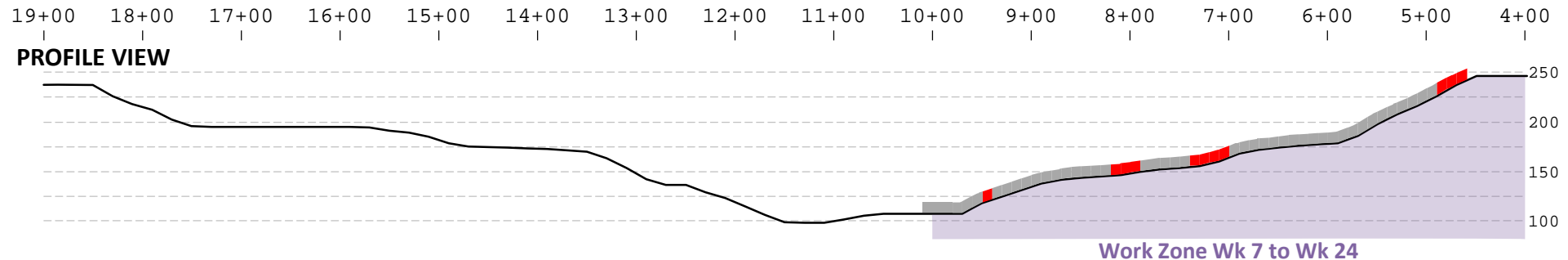
## Week 16: 1/5/11 to 1/11/11

### ANTICIPATED Grout Hole Drilling Sequence



**PRODUCTION DRILLING 100% COMPLETE ON 1/11/11**

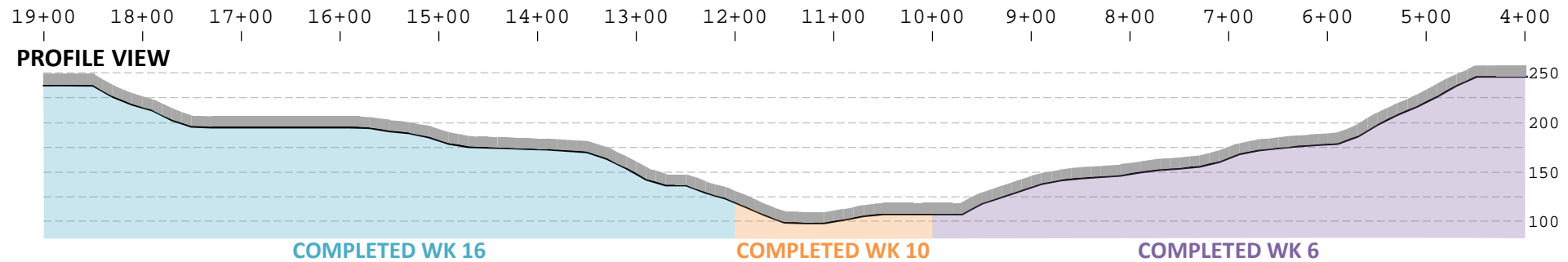
### AS-BUILT Grout Hole Drilling Sequence



**PRODUCTION DRILLING 18.52% COMPLETE ON 1/11/11**

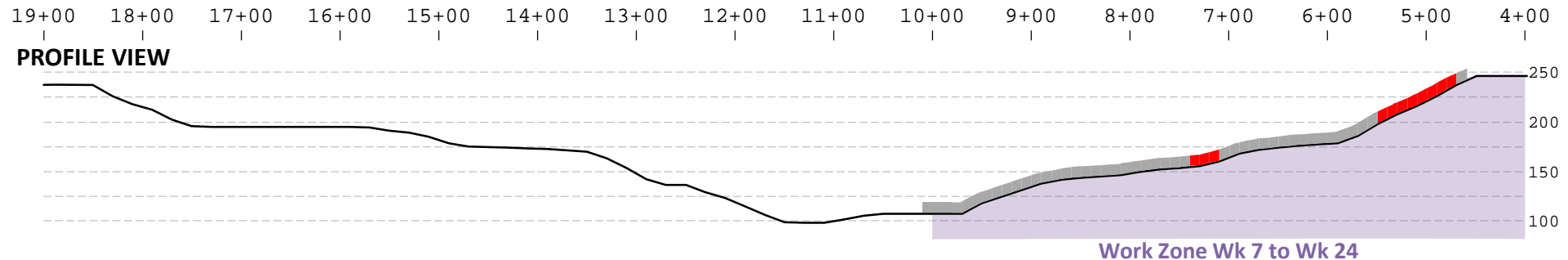
# Week 17: 1/12/11 to 1/18/11

## ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

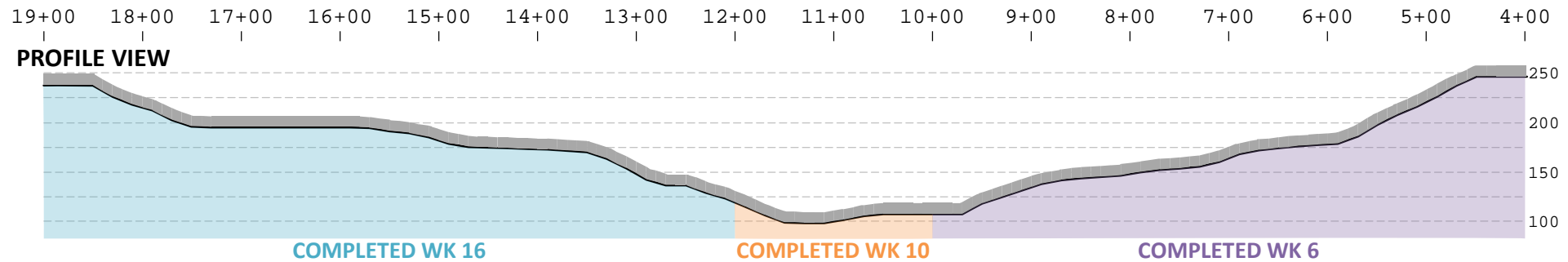
## AS-BUILT Grout Hole Drilling Sequence





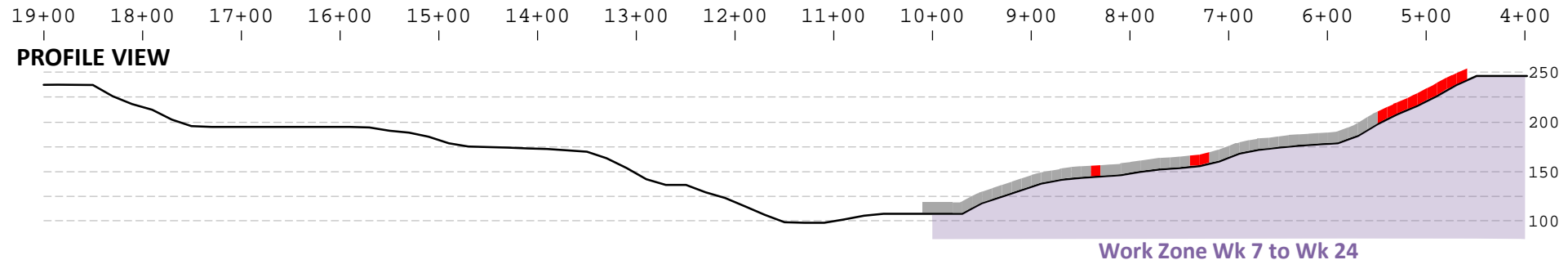
# Week 18: 1/19/11 to 1/25/11

## ANTICIPATED Grout Hole Drilling Sequence



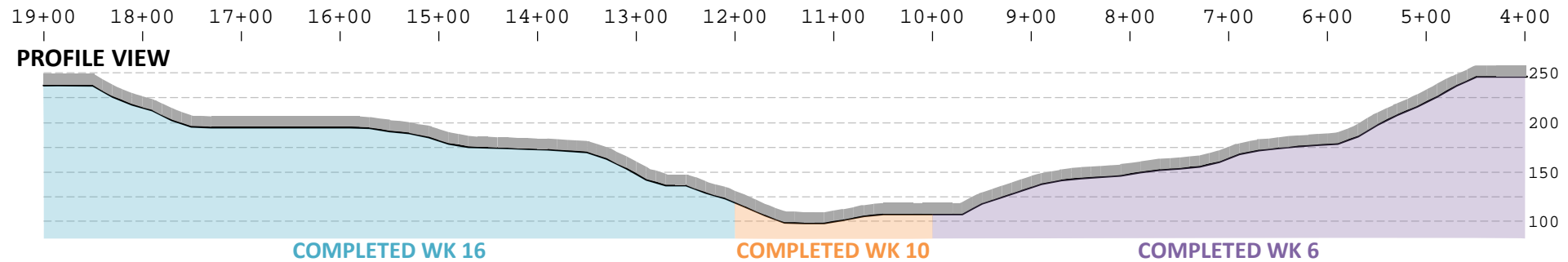
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



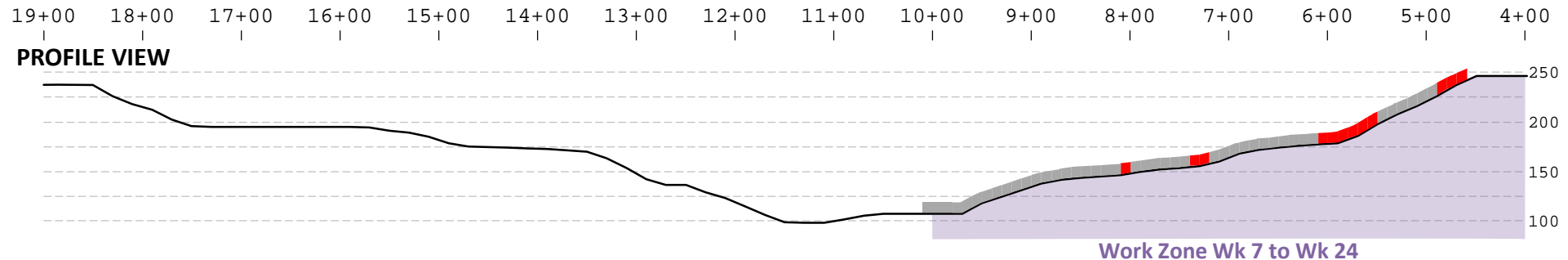
## Week 19: 1/26/11 to 2/1/11

### ANTICIPATED Grout Hole Drilling Sequence



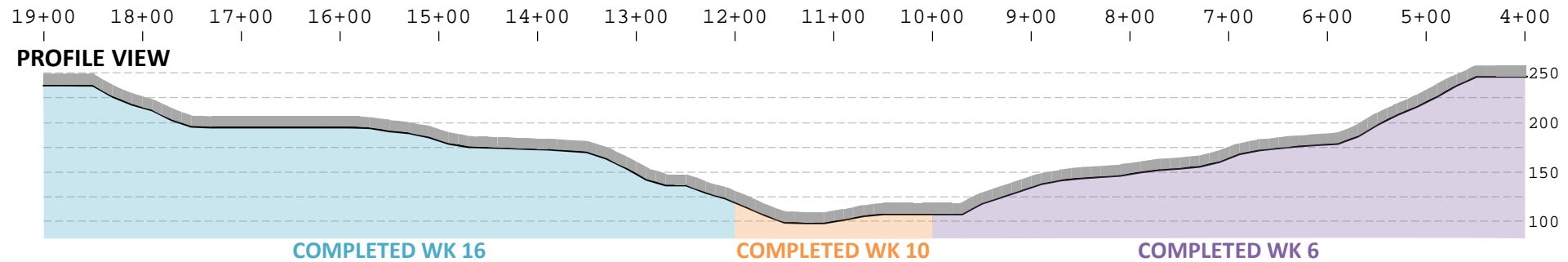
PRODUCTION DRILLING 100% COMPLETE ON WK 16

### AS-BUILT Grout Hole Drilling Sequence



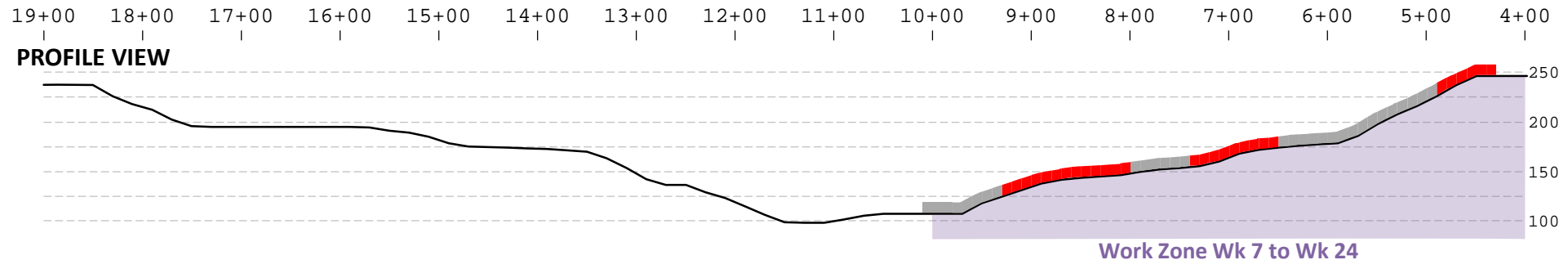
# Week 20: 2/2/11 to 2/8/11

## ANTICIPATED Grout Hole Drilling Sequence



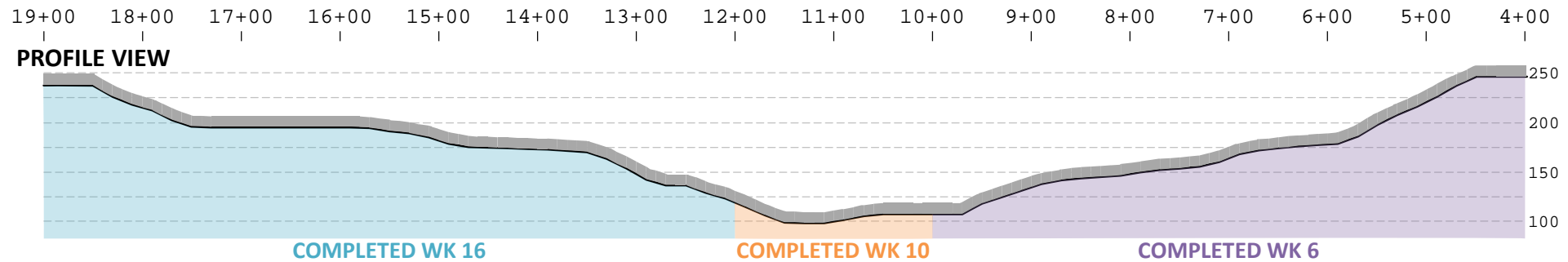
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



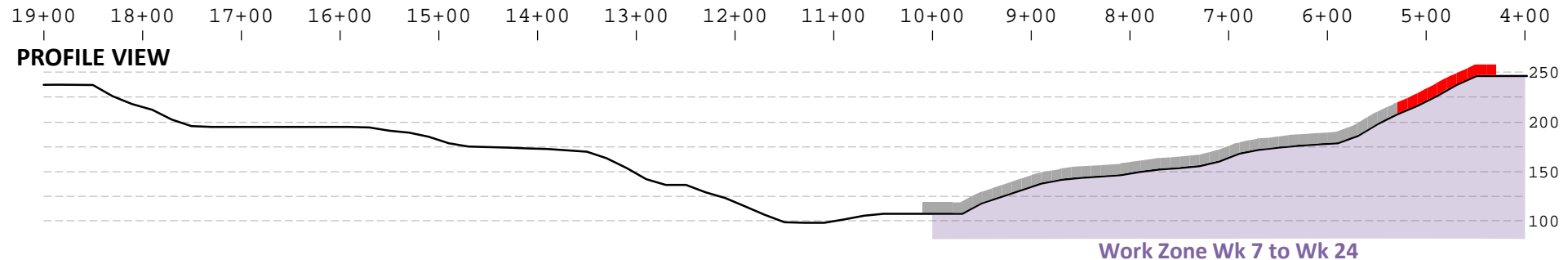
## Week 21: 2/9/11 to 2/15/11

### ANTICIPATED Grout Hole Drilling Sequence



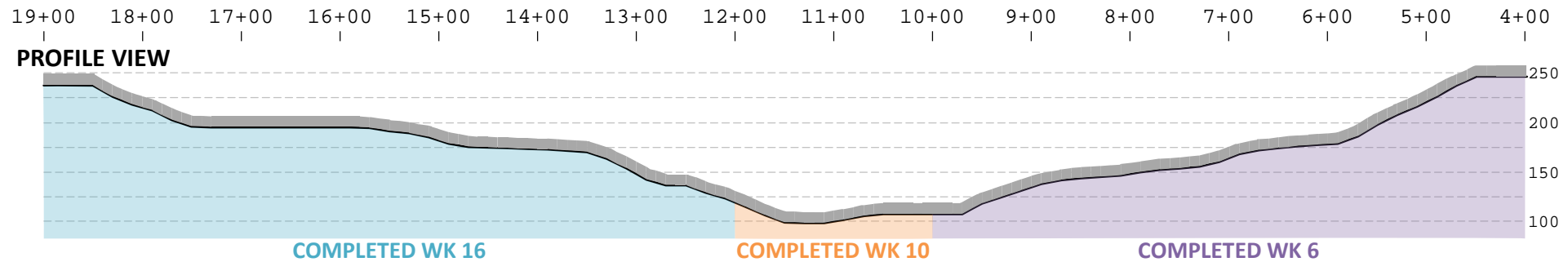
PRODUCTION DRILLING 100% COMPLETE ON WK 16

### AS-BUILT Grout Hole Drilling Sequence



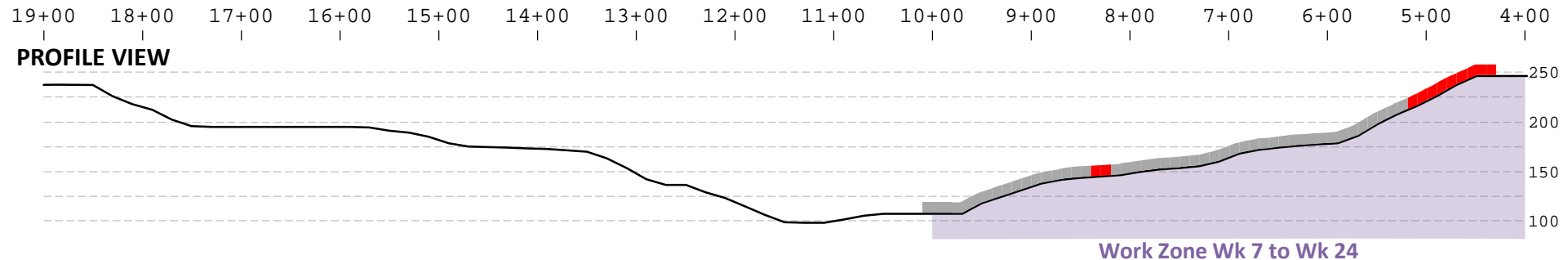
# Week 22: 2/16/11 to 2/22/11

## ANTICIPATED Grout Hole Drilling Sequence



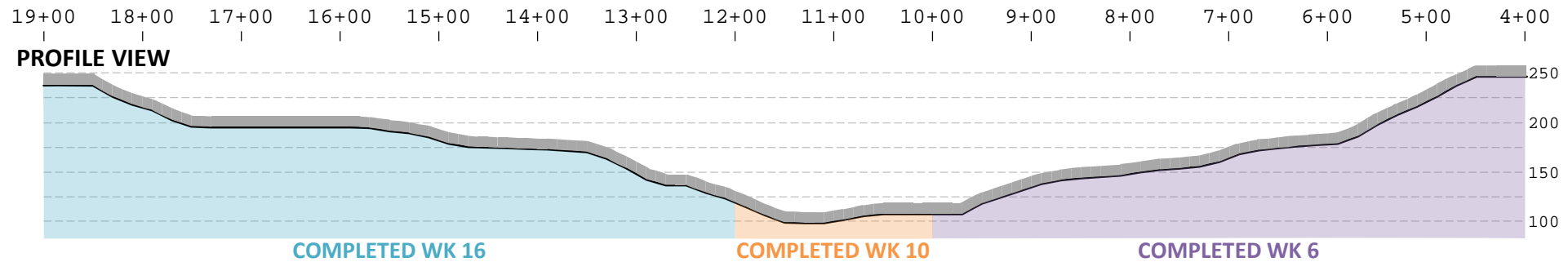
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



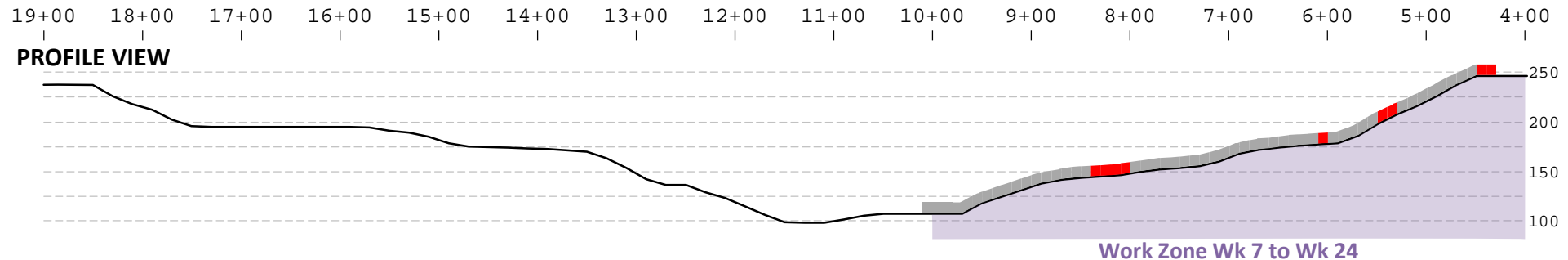
# Week 23: 2/23/11 to 3/1/11

## ANTICIPATED Grout Hole Drilling Sequence



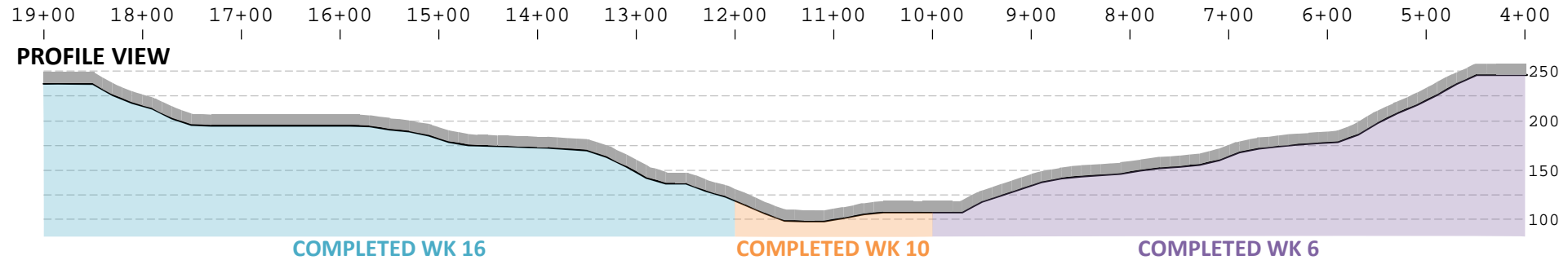
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



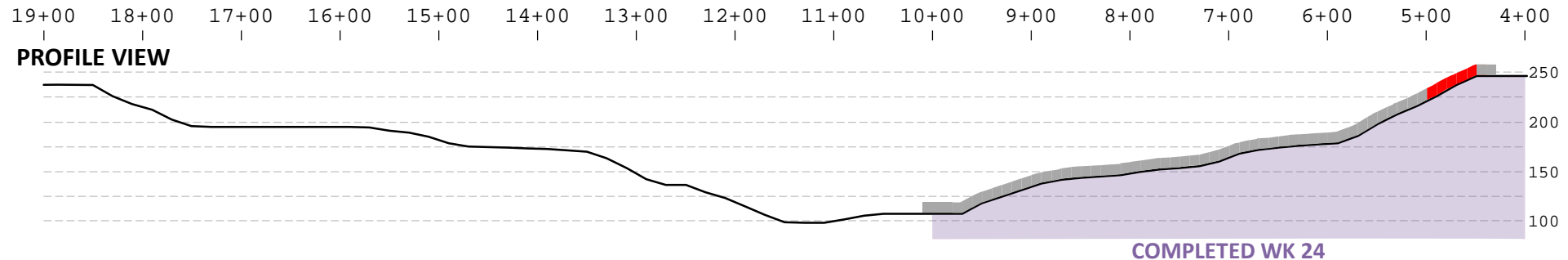
## Week 24: 3/2/11 to 3/8/11

### ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

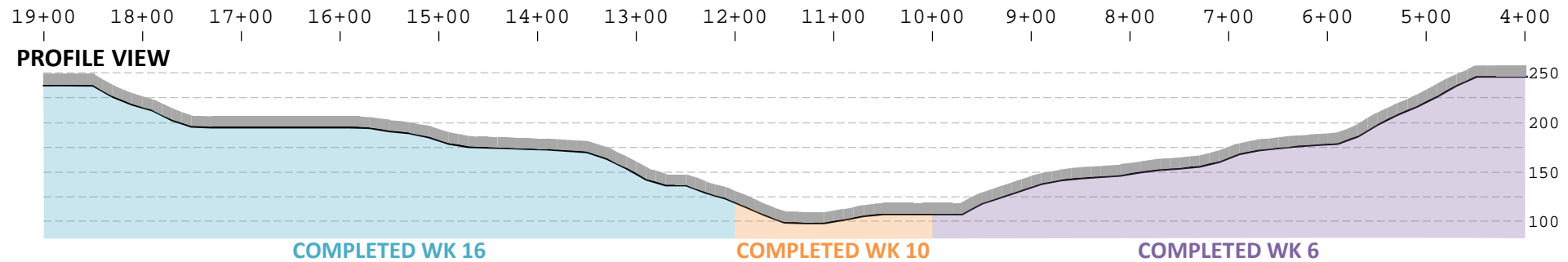
### AS-BUILT Grout Hole Drilling Sequence



**RIGHT SIDE COMPLETED 18 WKS LATER THAN ANTICIPATED.**  
**BEGIN LEFT SIDE**

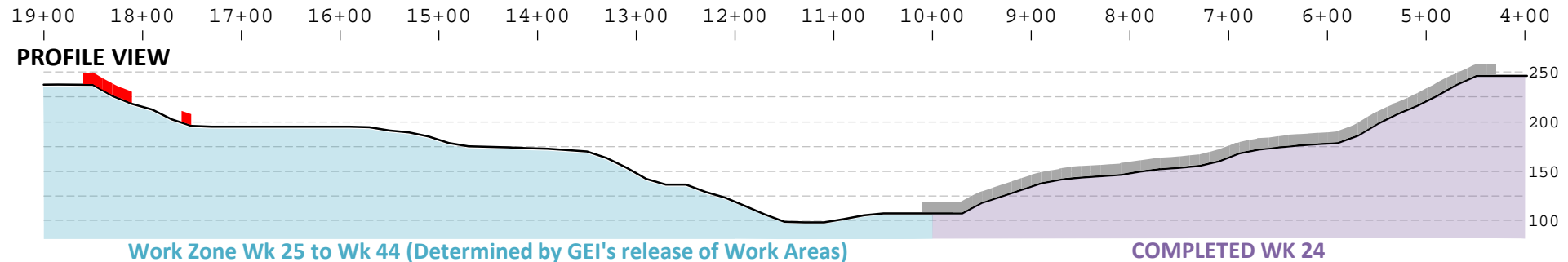
# Week 25: 3/9/11 to 3/15/11

## ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

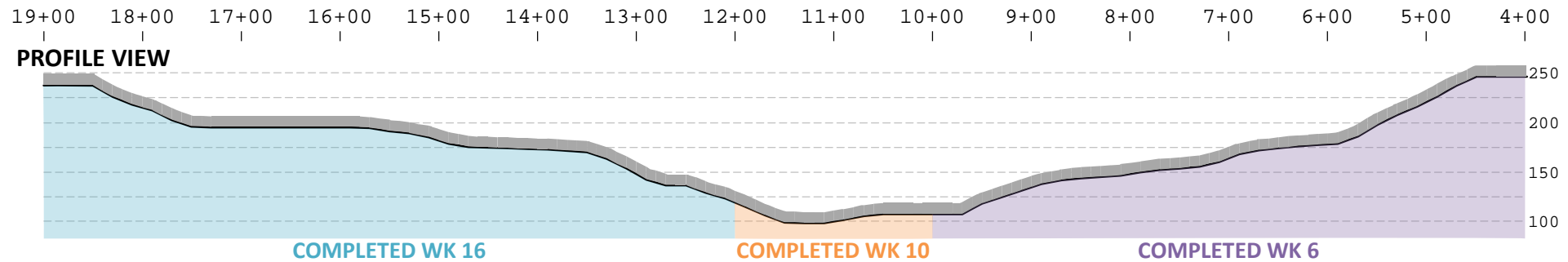
## AS-BUILT Grout Hole Drilling Sequence





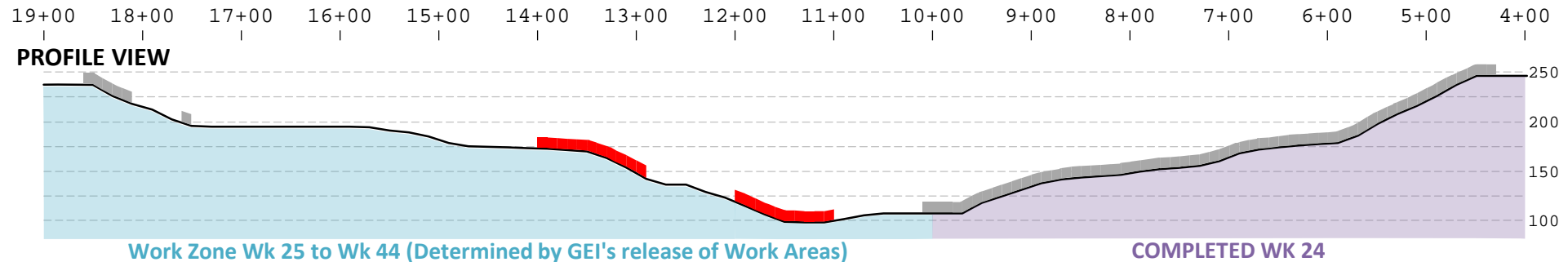
# Week 26: 3/16/11 to 3/22/11

## ANTICIPATED Grout Hole Drilling Sequence



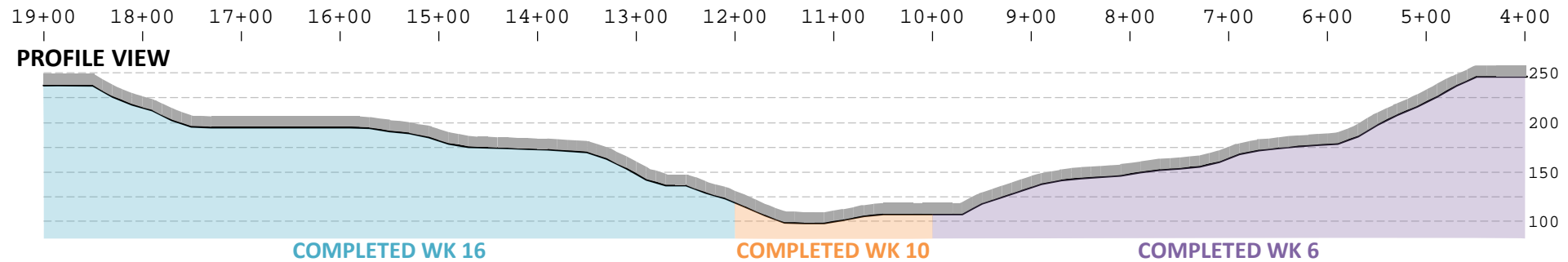
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



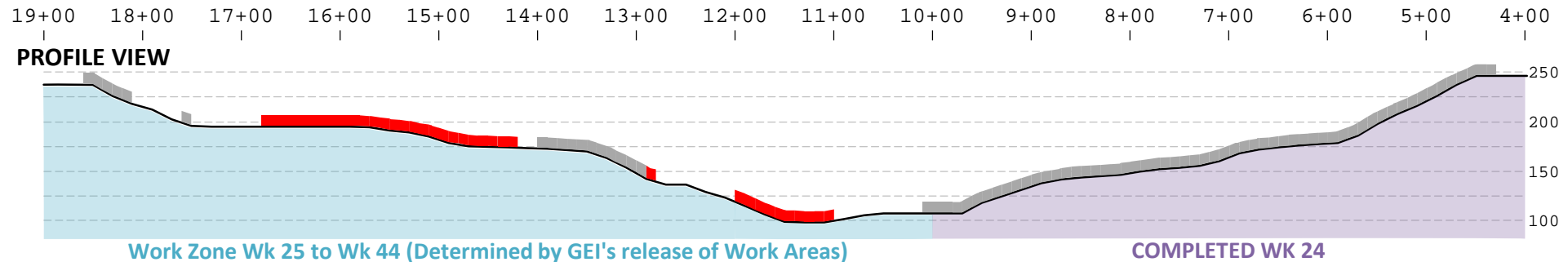
# Week 27: 3/23/11 to 3/29/11

## ANTICIPATED Grout Hole Drilling Sequence



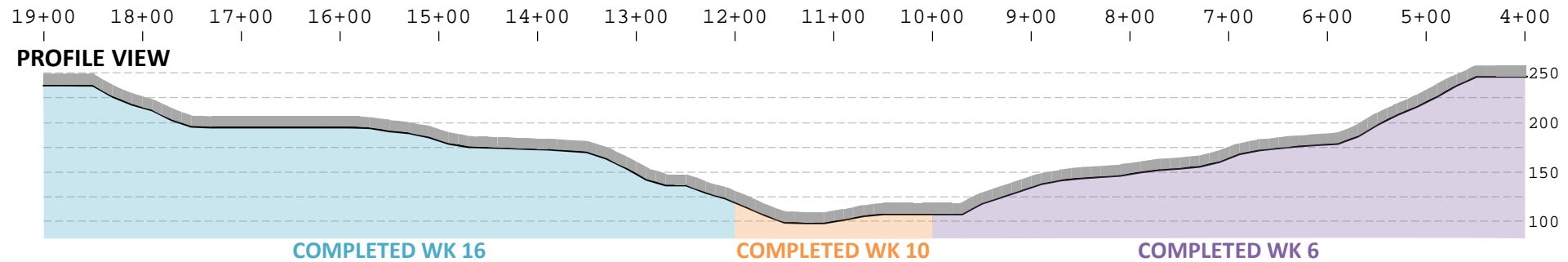
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



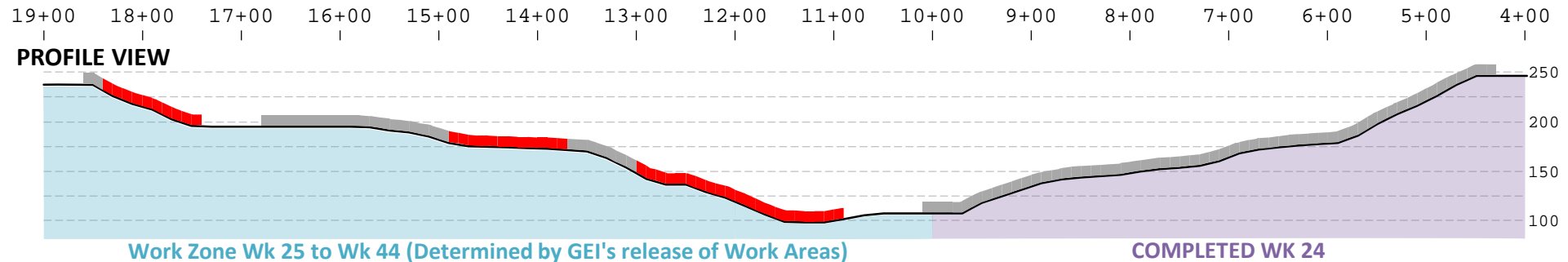
# Week 28: 3/30/11 to 4/5/11

## ANTICIPATED Grout Hole Drilling Sequence



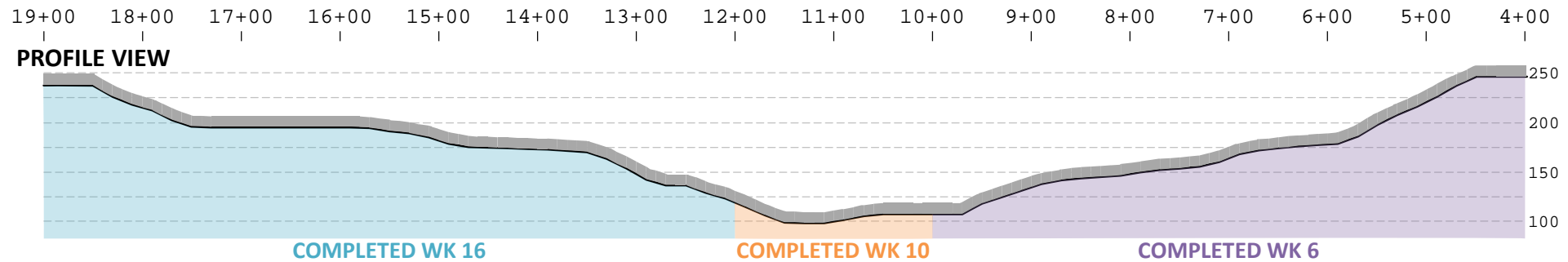
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



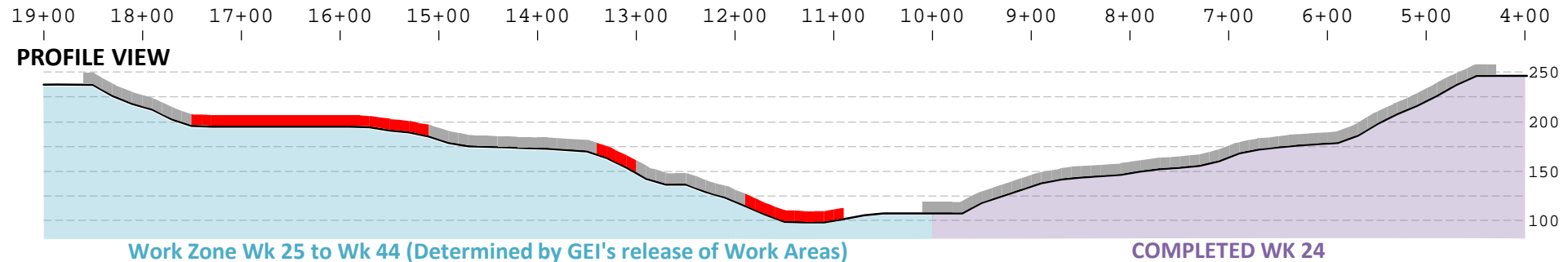
## Week 29: 4/6/11 to 4/12/11

### ANTICIPATED Grout Hole Drilling Sequence



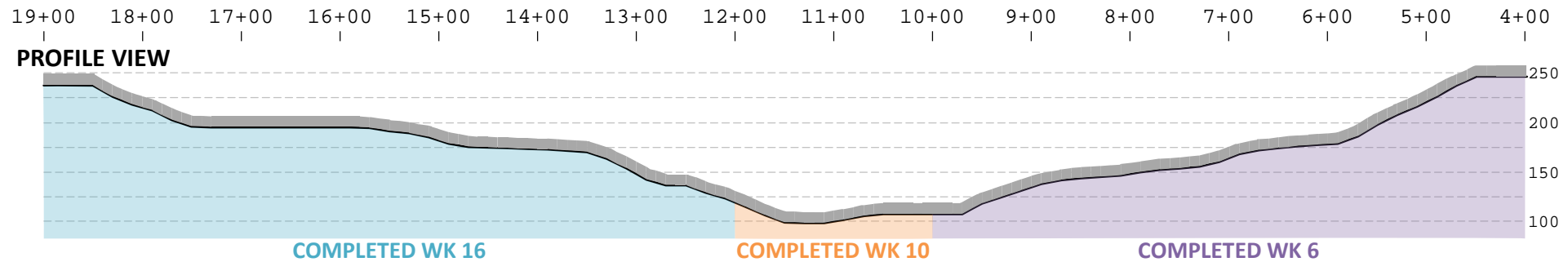
PRODUCTION DRILLING 100% COMPLETE ON WK 16

### AS-BUILT Grout Hole Drilling Sequence



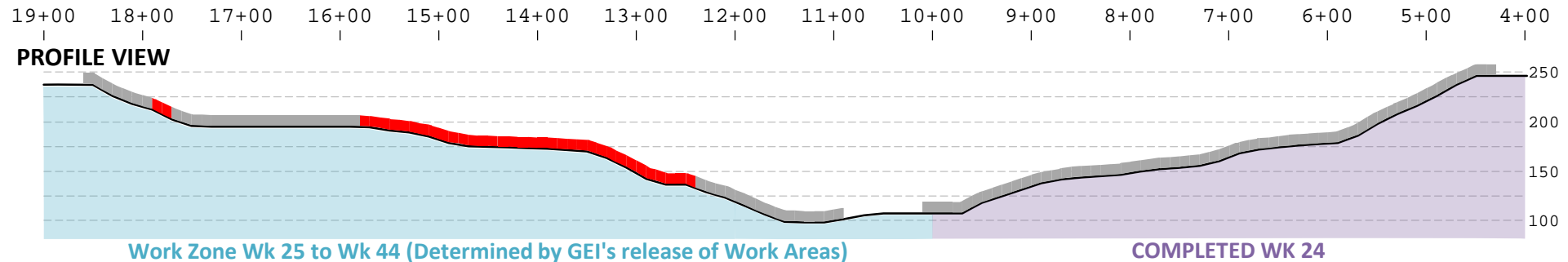
# Week 30: 4/13/11 to 4/19/11

## ANTICIPATED Grout Hole Drilling Sequence



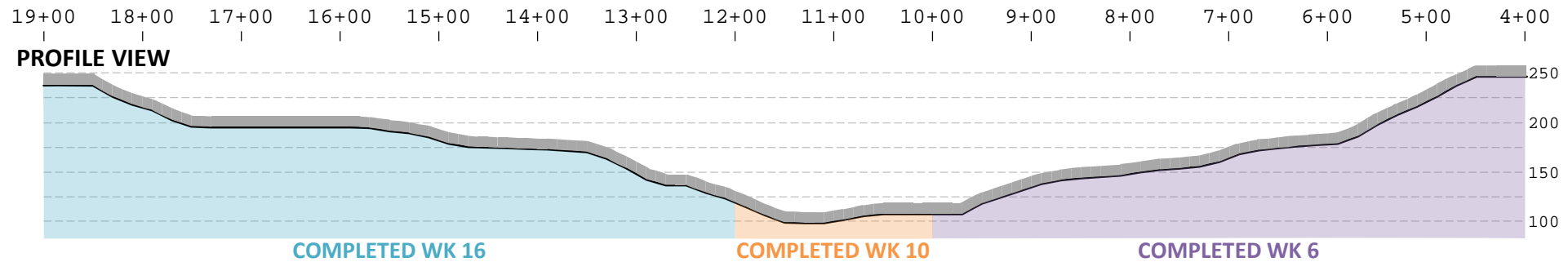
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



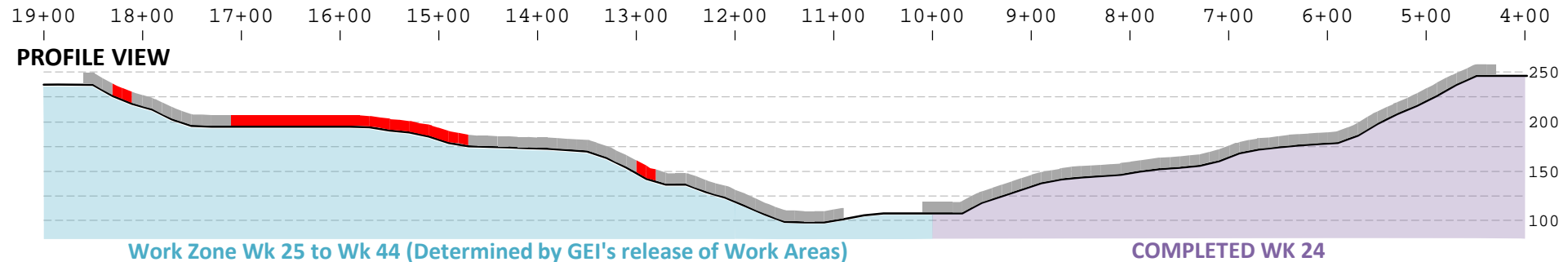
# Week 31: 4/20/11 to 4/26/11

## ANTICIPATED Grout Hole Drilling Sequence



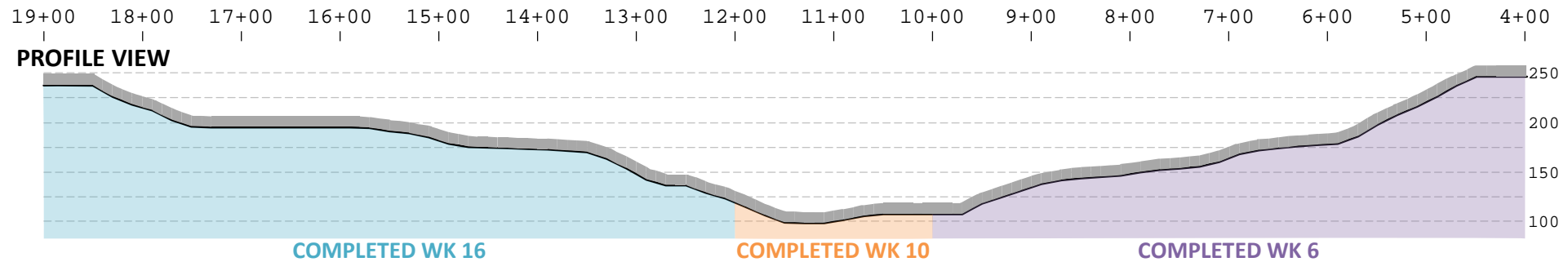
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



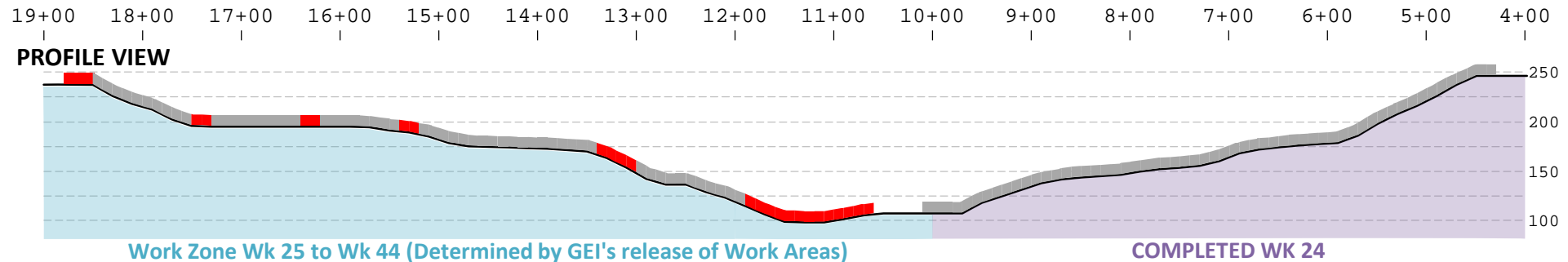
# Week 32: 4/27/11 to 5/3/11

## ANTICIPATED Grout Hole Drilling Sequence



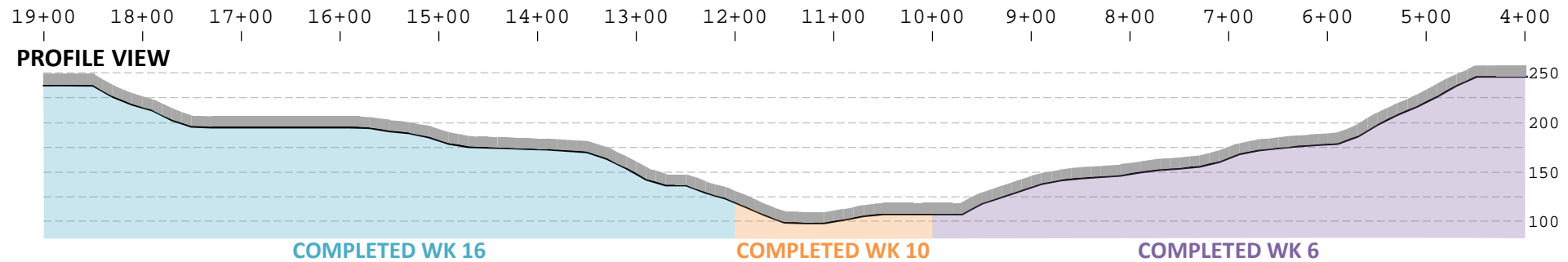
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



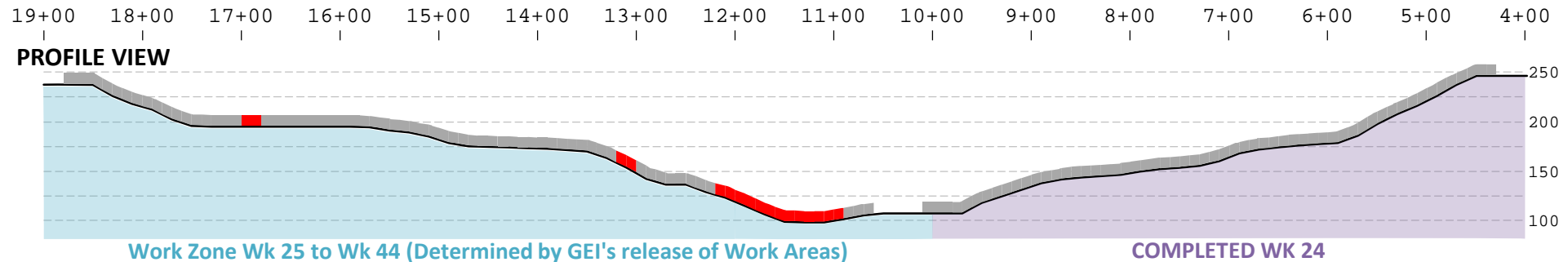
# Week 33: 5/4/11 to 5/10/11

## ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

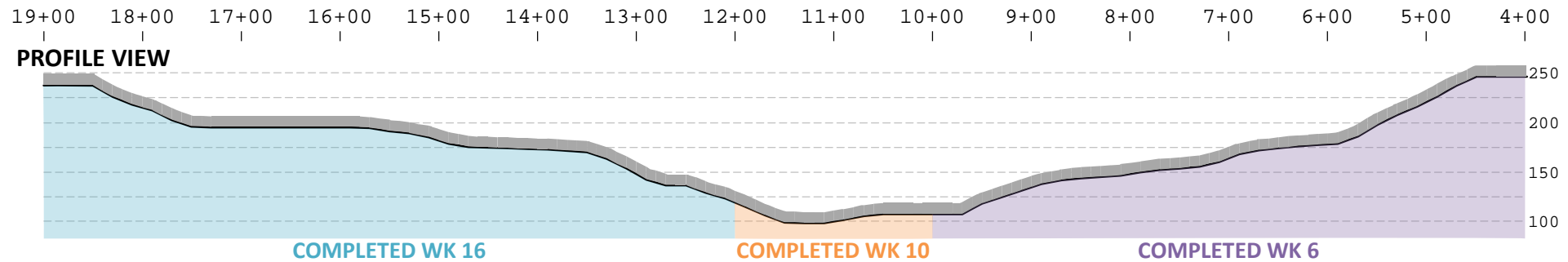
## AS-BUILT Grout Hole Drilling Sequence





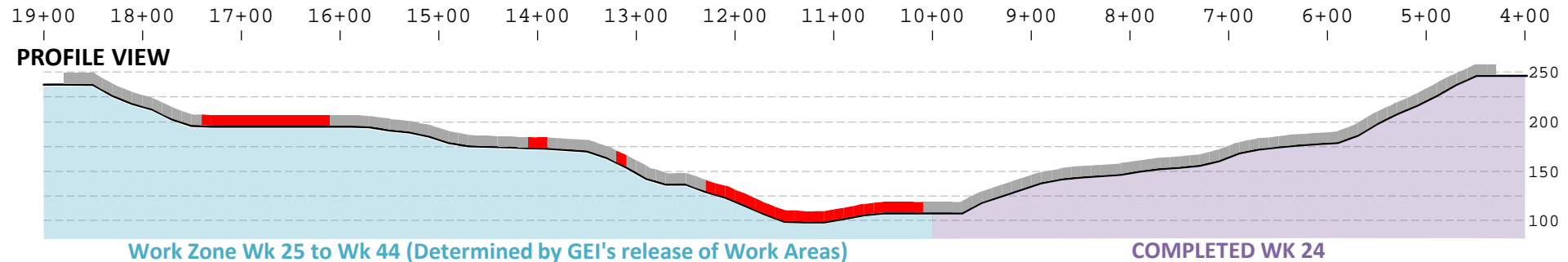
# Week 34: 5/11/11 to 5/17/11

## ANTICIPATED Grout Hole Drilling Sequence



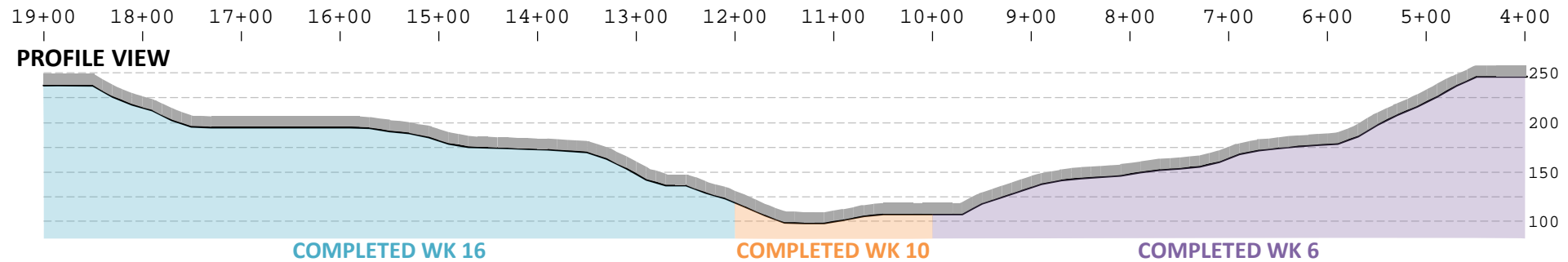
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



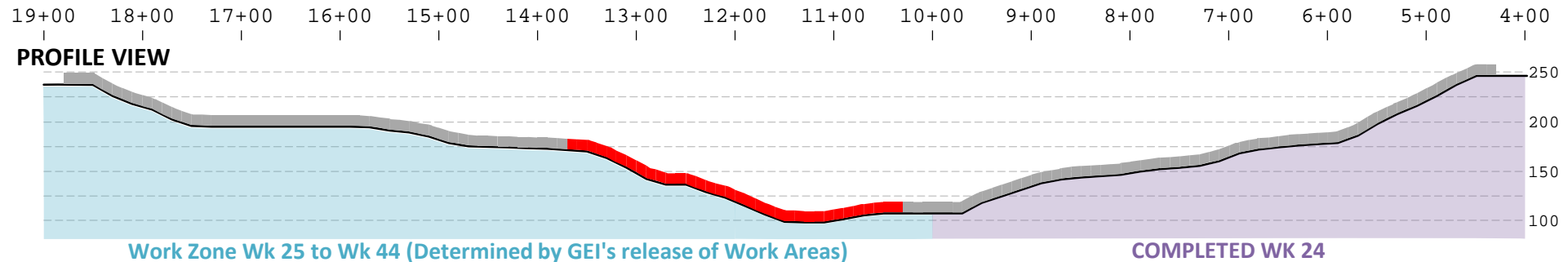
# Week 35: 5/18/11 to 5/24/11

## ANTICIPATED Grout Hole Drilling Sequence



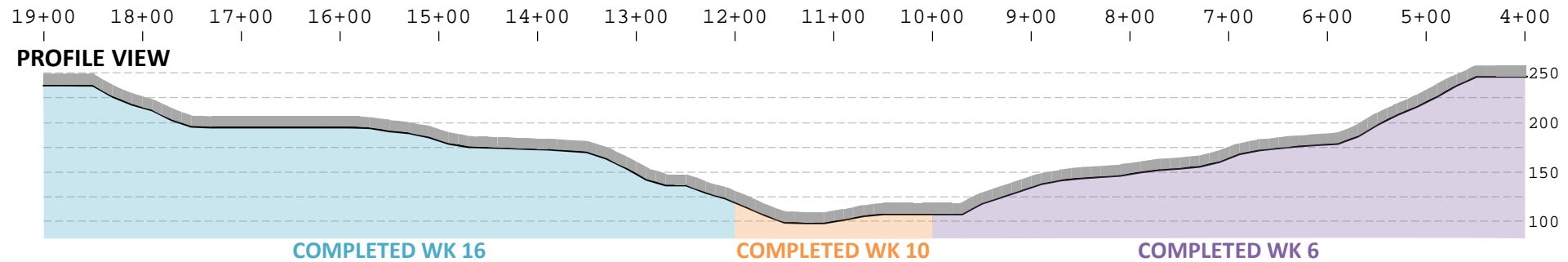
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



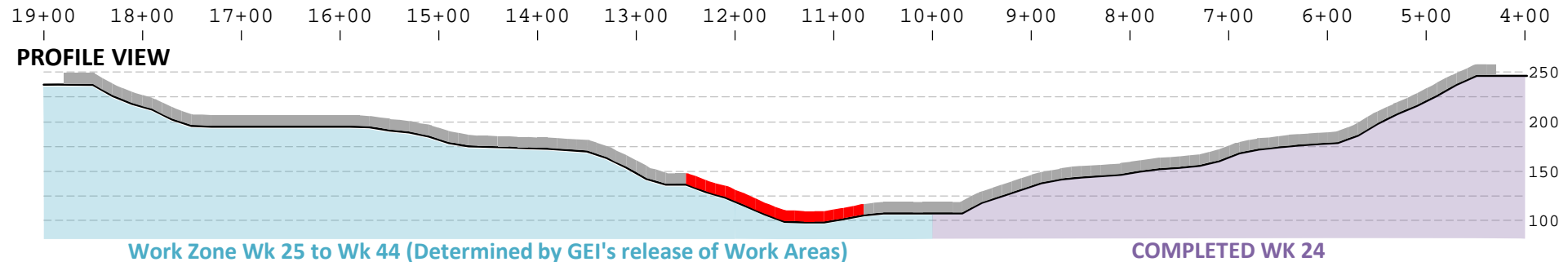
# Week 36: 5/25/11 to 5/31/11

## ANTICIPATED Grout Hole Drilling Sequence



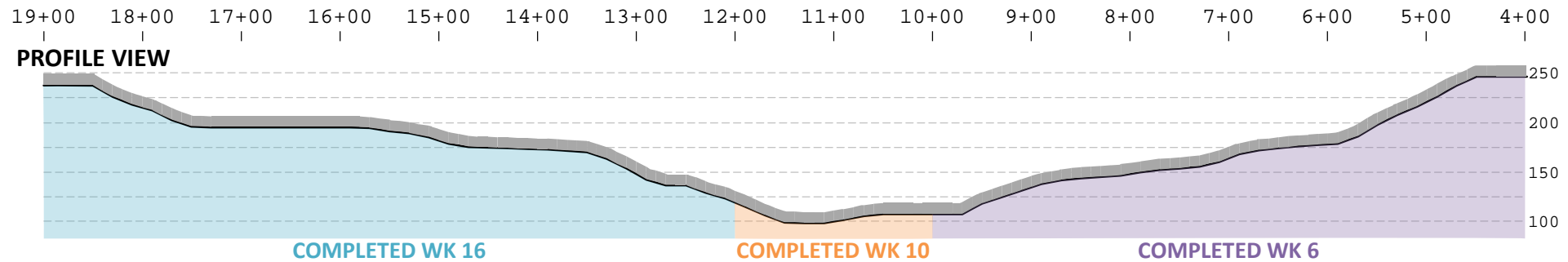
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



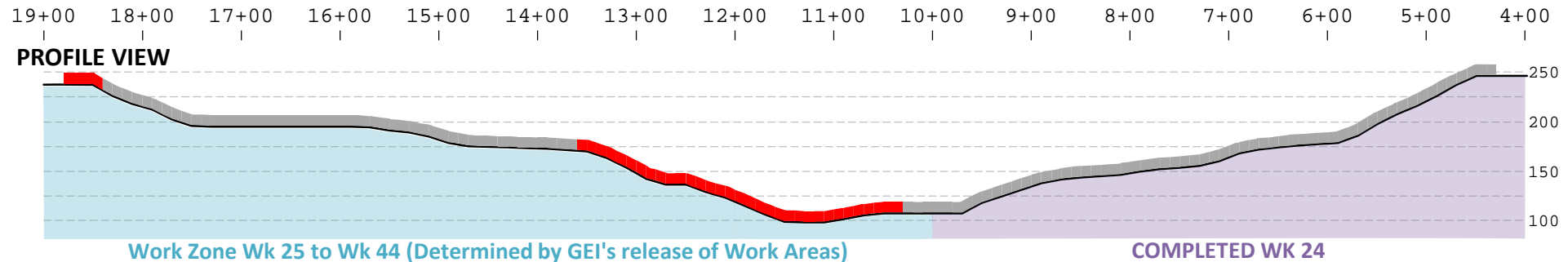
# Week 37: 6/1/11 to 6/7/11

## ANTICIPATED Grout Hole Drilling Sequence



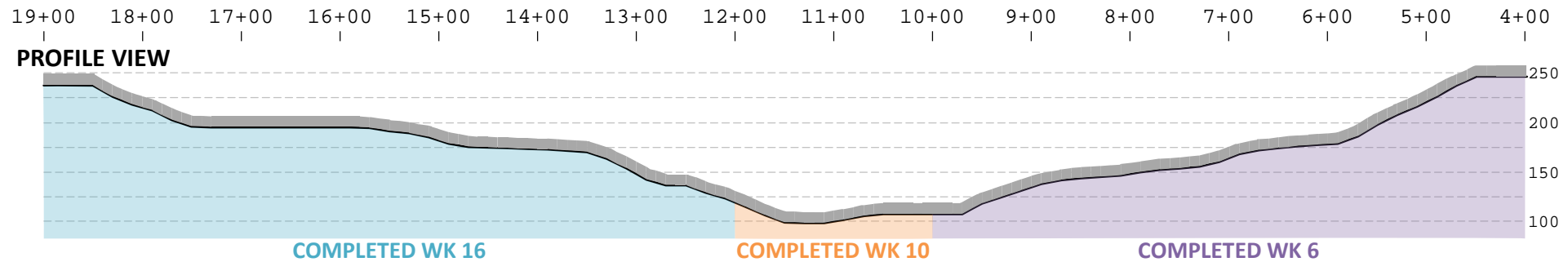
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



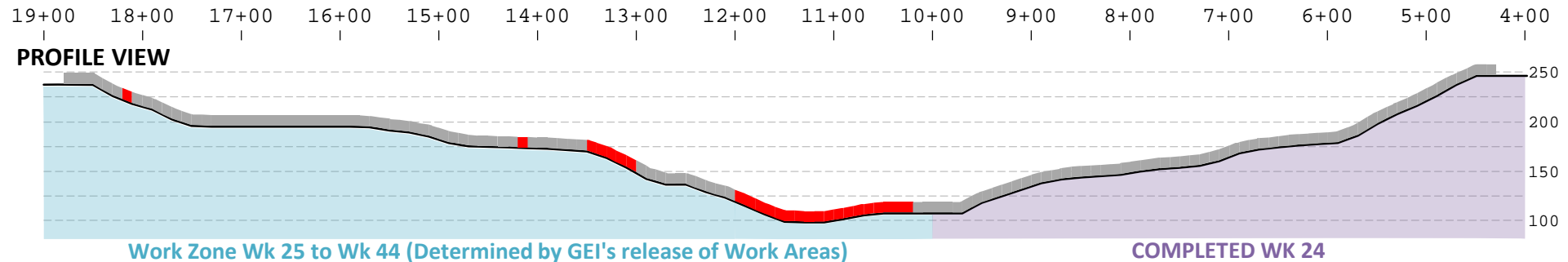
# Week 38: 6/8/11 to 6/14/11

## ANTICIPATED Grout Hole Drilling Sequence



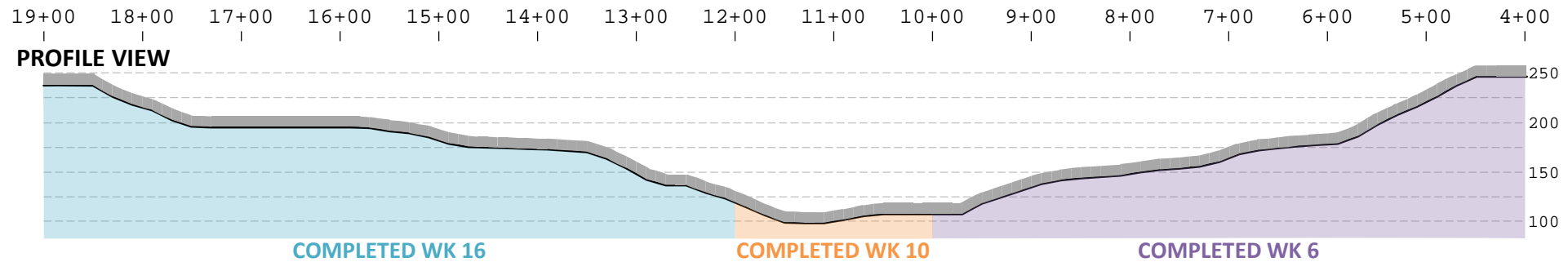
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



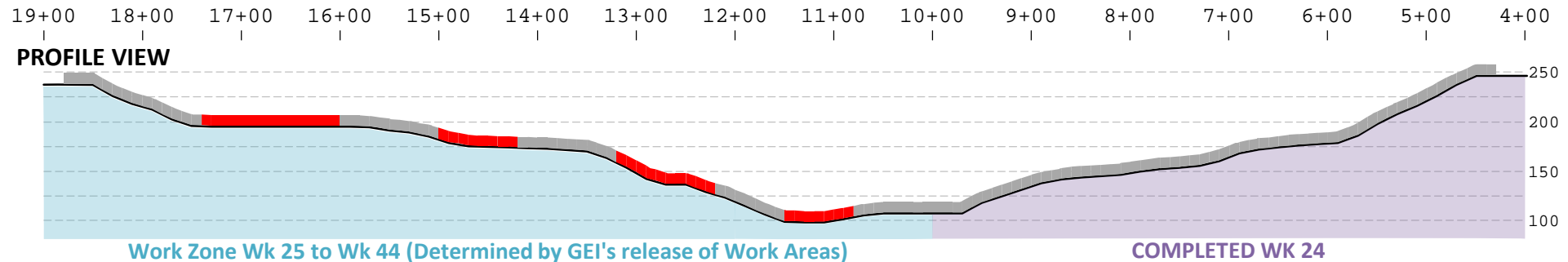
# Week 39: 6/15/11 to 6/21/11

## ANTICIPATED Grout Hole Drilling Sequence



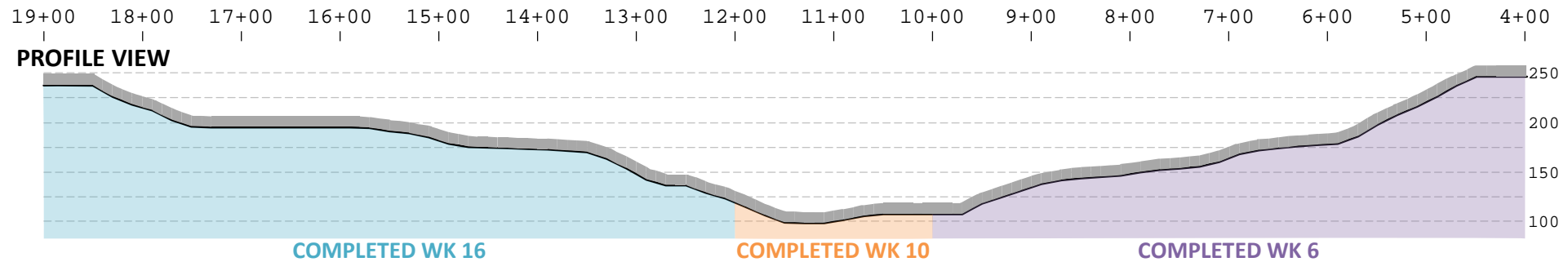
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



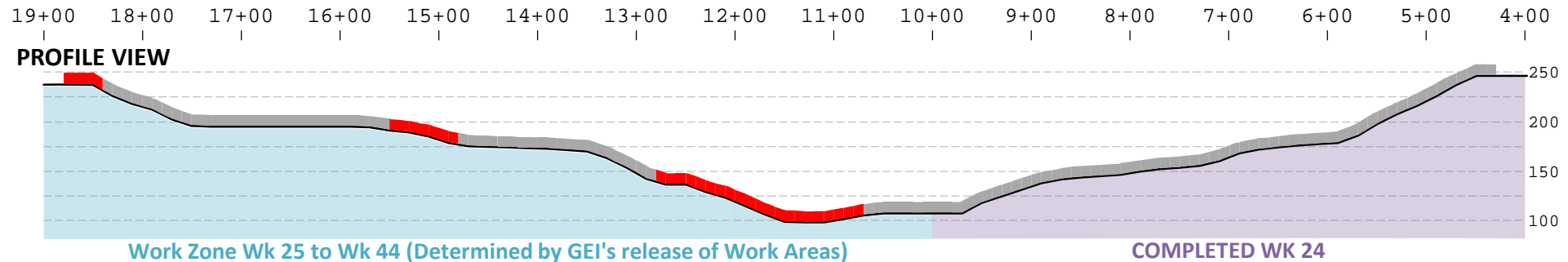
# Week 40: 6/22/11 to 6/28/11

## ANTICIPATED Grout Hole Drilling Sequence



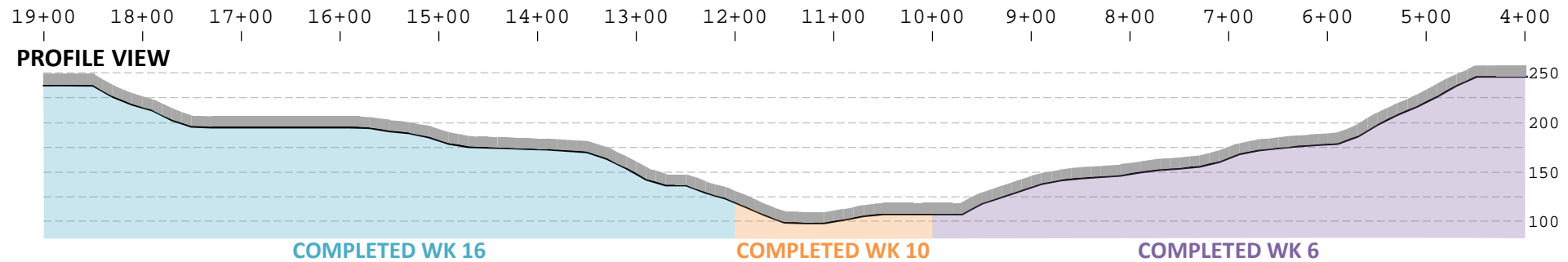
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



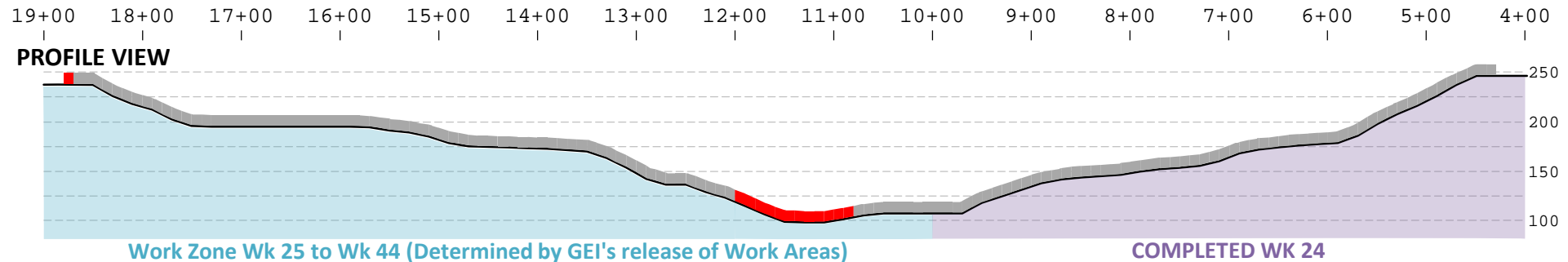
# Week 41: 6/29/11 to 7/5/11

## ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

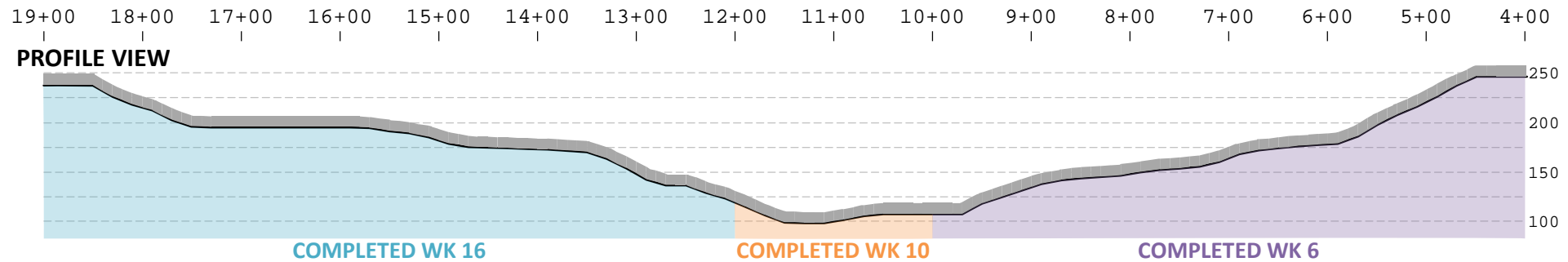
## AS-BUILT Grout Hole Drilling Sequence





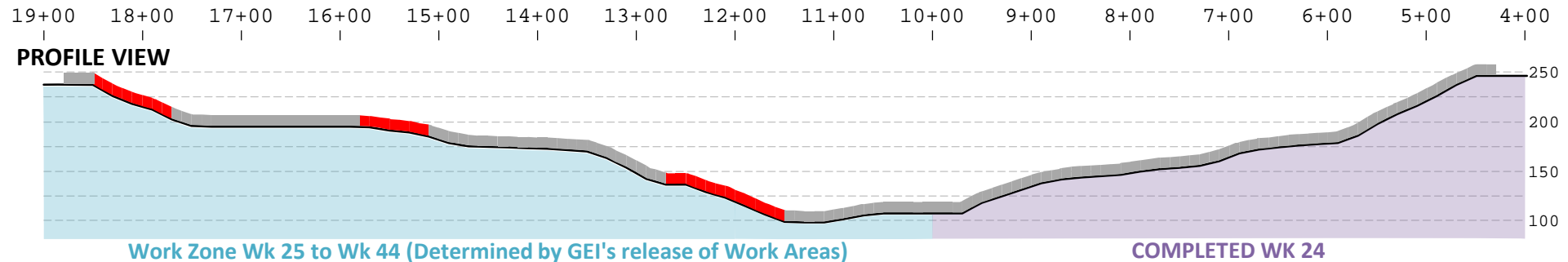
# Week 42: 7/6/11 to 7/12/11

## ANTICIPATED Grout Hole Drilling Sequence



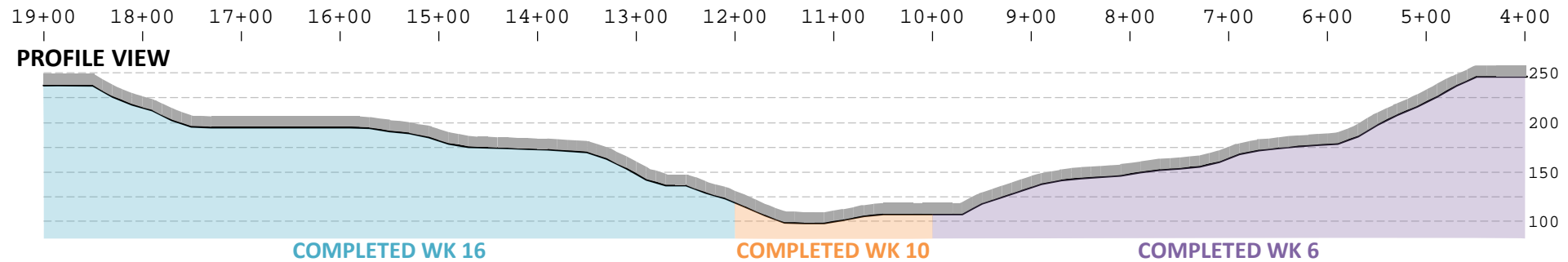
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



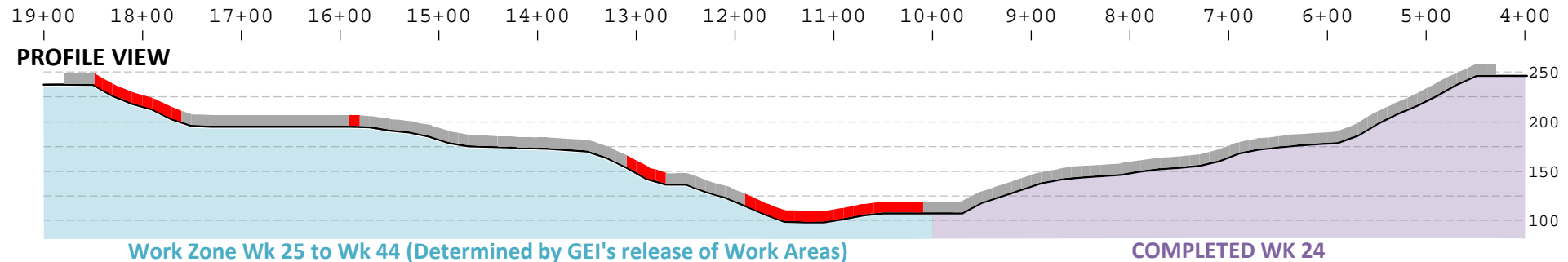
# Week 43: 7/13/11 to 7/19/11

## ANTICIPATED Grout Hole Drilling Sequence



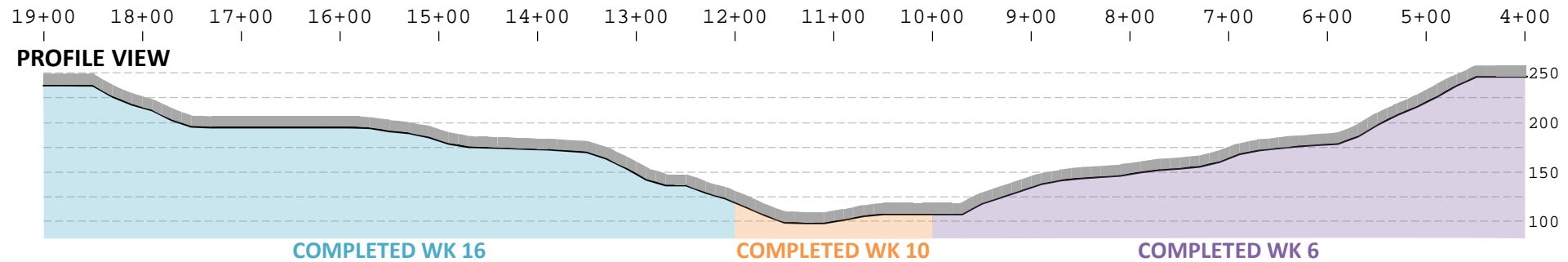
PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



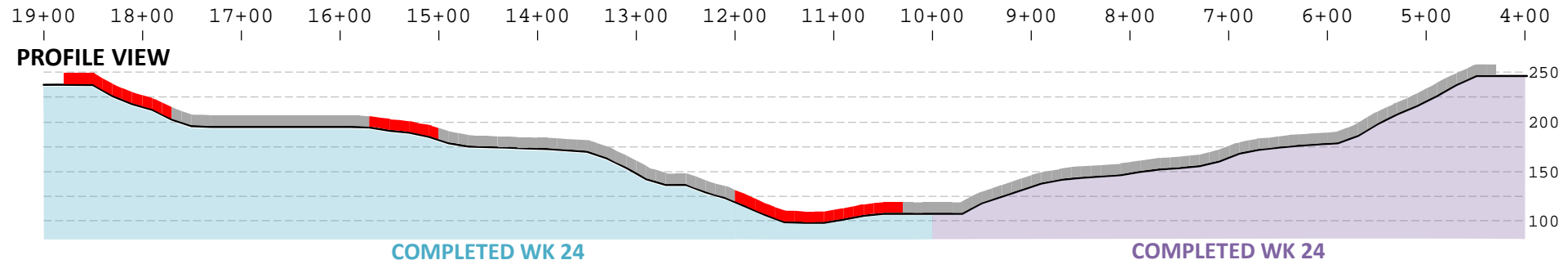
# Week 44: 7/20/11 to 7/26/11

## ANTICIPATED Grout Hole Drilling Sequence



PRODUCTION DRILLING 100% COMPLETE ON WK 16

## AS-BUILT Grout Hole Drilling Sequence



**PRODUCTION DRILLING COMPLETED ON 7/26/11:  
28 WKS LATER THAN ANTICIPATED.**