



**OFFICE OF THE COUNTY SHERIFF**  
 Montgomery County, Maryland  
*Darren M. Popkin, Sheriff*  
**GENERAL OPERATIONAL PROCEDURES**



<b><u>Subject:</u></b>	<b><u>Number:</u></b>	<b><u>Effective Date:</u></b>
Use of Force	3.01	05/20/15

Policy: The decision to use force, whether deadly or non-deadly, is one of the most crucial and demanding decisions that a deputy may be called upon to make. Though use of force incidents occur infrequently, situations may arise when a deputy must make the irreversible decision of whether or not to use deadly or non-deadly force. This decision, perhaps the most far reaching of any decision a deputy may be called upon to make can have a powerful and possibly harmful effect on the deputy, the office, and the community. The decision to use force is that of the deputy and cannot be made by the office; however, the office must provide guidelines to aid in the exercise of that decision.

It is the policy of the *Sheriff's Office* that deputies are permitted to use only that force which is *objectively reasonable* to *perform their duties* or to protect themselves or others from personal attack, physical resistance, harm or death. *A deputy's decision to use force must be based upon the circumstances the deputy reasonably believes to exist. The reasonableness of a particular use of force must be judged from the perspective of a reasonable deputy on the scene, rather than with the 20/20 vision of hindsight. The totality of the circumstances must be considered when reviewing use of force incidents.* This policy is based upon the belief that the decision to use force is better predicated upon the danger posed by a subject *rather than a policy* based on the general nature or category of an offense.

Contents:

- I. Definitions
- II. Use of Force
- III. *Authorized* Use of *Non-Deadly* Force
- IV. *Authorized* Use of *Deadly* Force
- V. *Firearms*
- VI. *Medical Care*
- VII. *Dangerous/Injured Animals*
- VIII. Reporting and Investigating Responsibility
- IX. Review of Use of Force Incidents
- X. CALEA Standards
- XI. Cancellation

**I. Definitions**

- A. Deadly Force - Any use of reasonable and necessary force, which is intended to or likely to, cause death or serious physical injury. *The use of deadly force is not limited to* firearms, but *also* includes protective instruments, or any other means including hands, used by a deputy. (1.2.2, 1.3.2)

- B. Non-Deadly Force - Any use of force *other than that which is considered deadly force. May include the use of defensive tactics or protective instruments.* (1.2.2, 1.3.4)
- C. Objectively Reasonable Force – *The level of force that is deemed appropriate when analyzed from the perspective of a reasonable deputy possessing the same information and faced with the same circumstances as the deputy who actually utilized the force.* (1.2.2)
- D. Protective Instrument - Any device, authorized by the office, and utilized by a deputy to apply force to another individual, e.g. O. C. spray, expandable baton, flashlight, *electronic control device (ECD)*, etc. (1.2.2, 1.3.4)
- E. Reasonable Belief - Facts or circumstances a deputy knows, or should know, which would cause an ordinary and prudent person to act or think in a similar way under similar circumstances. (1.3.2)
- F. Serious Physical Injury - An injury that creates a substantial risk of death; causes serious permanent disfigurement; or results in long-term *loss or* impairment of *any bodily member or organ.* (1.3.2)

## II. Use of Force (1.3.1)

- A. *Deputies may only use force which is objectively reasonable to make an arrest, an investigatory stop or other seizure, or in the performance of their lawful duties, to protect themselves or others from personal attack, physical resistance, harm, or death. The decision to exercise force must be based upon the circumstances that the deputy reasonably believes to exist. In determining the appropriate level of force to be used by a deputy, the nature of the threat or resistance faced or perceived by the deputy as compared to the force employed should be considered. Factors to be considered in assessing the level of force to be used include, but are not limited to:*
  - (1) *Whether the subject poses an imminent threat to the safety of the deputies or others.*
  - (2) *Whether the subject is actively resisting a lawful arrest or the subject is attempting to evade a lawful arrest by flight.*
  - (3) *The severity of the crime or suspected offense.*
- B. *A person need not strike or attempt to strike a deputy to be considered a physical threat as long as a deputy has an objectively reasonable belief that the person is physically threatening and has the present ability to harm the deputy or another. Examples of actions or observations that may lead a deputy to believe that a person is a threat include, but are not limited to, clenched fists, displayed hostility or anger, verbal threats, aggressive stance, non-compliance, and furtive movements. Under the law, deputies are not obligated to retreat when confronted with a threat. The Sheriff's Office recognizes that some situations require the application of force and relies on the deputy's judgment and discretion to employ objectively reasonable force under each unique circumstance.* (1.2.2)

III. Authorized Use of Non-Deadly Force

- A. *Deputies may encounter situations that require not only the deputy's presence, but also some form of verbal or non-verbal communication. This communication may take the form of providing information, giving commands or direction, physical gestures etc.*
- B. *Non-deadly force may be used to effect arrests, to safely make or maintain an investigative detention or seizure, or to protect deputies or others from personal attack, physical resistance, or injury, provided the force applied is reasonable based upon the circumstances confronting the deputy at the time.*
- C. *The Sheriff's Office authorizes protective instruments for deputies, however, in exigent circumstances, deputies may use other objects or instruments in order to protect themselves or others when used within the scope of objectively reasonable force. (1.2.2, 1.3.4)*
- D. Due to the potential for severe injury if applied improperly the use of the "Lateral Vascular Neck Restraint" or any similar neck restraint, designed to temporarily immobilize individuals, is prohibited as an acceptable use of non-deadly force. (1.3.11)

IV. Authorized Use of Deadly Force (1.2.2, 1.3.2))

- A. *Deputies may use deadly force to defend themselves or another person from what they reasonably believe is an imminent threat of death or serious physical injury. The United States Supreme Court has ruled that any use of deadly force must be objectively reasonable. This standard will be applied to all uses of deadly force regardless of whether or not a suspect is fleeing when a deputy employs deadly force.*

B. Foreign Jurisdiction

*Any area outside the State of Maryland is a foreign jurisdiction. When deputies are in foreign jurisdictions, deputies may only carry or wear a weapon, whether on or off duty in compliance with the laws of that jurisdiction, federal law and office regulations. In foreign jurisdictions, the use of deadly force is permissible only in defense against an attack that may result in death or serious bodily injury to the deputy, other law enforcement personnel or to bystanders.*

V. Firearms

- A. Deputies are prohibited from engaging in horseplay with firearms.
- B. Warning shots are prohibited. (1.3.3)
- C. Firearms may be drawn when a deputy has *reasonable* fear for *their* safety or the safety of others. Firearms may not be arbitrarily pointed at a person or persons. *Reasonable caution must be used when utilizing a firearm. When possible, deputies must consider the backstop and location of any bystanders before discharging a firearm to avoid endangering the lives of innocent people.*
- D. *Firearms must not be discharged* at or from a moving vehicle unless the circumstances would authorize the use of deadly force.

- (1) Deputies must not intentionally position themselves in front of an oncoming vehicle where the use of deadly force would likely be the most probable outcome.
  - (2) When confronted by an oncoming vehicle, deputies must move out of its *path, if possible, rather than discharging a firearm at it or any of its occupants.*
  - (3) Additionally, shots fired from or at a moving vehicle are discouraged for the following reasons:
    - (a) There is an obvious danger to persons in the area if the driver should lose control of the vehicle.
    - (b) Such shots are usually ineffective and cannot be depended upon to stop a moving vehicle.
- E. The following procedures must be used to report and investigate every incident of firearms discharge by a deputy except for range practice, ballistic examinations *or destruction of a dangerous or injured animal.* Whenever a deputy discharges their firearm either accidentally or intentionally, they must immediately:
- (1) Notify the Emergency Communications Center (ECC) of the incident and location.
  - (2) Determine the physical condition of any injured person and request *that Montgomery County Fire and Rescue Service (MCFRS) respond to render first aid. (1.3.5)*
  - (3) Unless injured, the deputy must remain at the scene until the arrival of the appropriate investigators. However, if the circumstances are such that the continued presence of the deputy at the scene may cause a dangerous situation to develop (i.e. violent crowd), the ranking deputy or police officer at the scene has the discretion to instruct the deputy to respond to another, more appropriate location.
  - (4) The deputy must protect their weapon for examination and submit the weapon to the appropriate investigator.
    - (a) *The deputy must holster their firearm and leave it holstered.*
    - (b) *When asked to submit the firearm to the investigator, the deputy will leave the firearm holstered and submit the entire duty belt to the investigator.*
  - (5) The deputy should not discuss the case with anyone except:
    - (a) Supervisory and assigned investigative personnel.
    - (b) The assigned States Attorney.

- (c) The deputy's attorney, psychologist, clergy or spouse.
  - (d) *The deputy's union representative.*
- F. The deputy must be available at all reasonable times for official interviews and statements regarding the case and is subject to recall to duty at any time.

**VI. Medical Care (1.3.5)**

- A. *Deputies must be mindful of certain indicators or conditions when detaining or arresting a person. The following conditions or indicators may potentially contribute to sudden unexpected death following extreme physical exertion or restraint. Deputies must recognize these factors and closely monitor anyone after a use of force when any of these factors or indicators are observed.*
- (1) *Excited Delirium, a sudden onset with symptoms of bizarre and/or aggressive behavior, shouting, paranoia, panic, violence toward others, unexpected physical strength, and hyperthermia.*
  - (2) *Alcohol or drug use or abuse.*
  - (3) *Obesity.*
  - (4) *Display of erratic or psychotic behavior.*
  - (5) *Incoherent speech.*
  - (6) *State of agitation.*
  - (7) *Intentionally injuring themselves.*
  - (8) *Disrobing or naked.*
- B. *Deputies must take appropriate measures so that the individual involved in the use of force is able to breathe without restriction and if possible should lay the subject on their side or seated in an upright position. Deputies should avoid transporting subjects in a face-down position whenever possible.*
- C. Deputies must obtain medical *treatment as soon as practical* for *any* individual who:
- (1) Complains of any injury as a result of any use of force.
  - (2) Shows signs of any injury as a result of any use of force by a deputy.
  - (3) A deputy or supervisor believes is in need of medical *treatment* as a result of any use of force.
  - (4) *Complains or exhibits trouble breathing.*
  - (5) *Exhibits reduced levels of consciousness or becomes unresponsive.*

- (6) *Shows signs of excited delirium.*
  - (a) *Deputies must specifically request that an Advanced Life Support Unit (ALS) respond.*
  - (b) *ALS units carry medication such as Haldol or Ketamine, which can assist in treating individuals suffering from excited delirium.*
- (7) *Has been exposed to an electronic control device (ECD) and the probes have impacted a sensitive area such as the eyes, face, breast, throat, neck, groin or probes that are deeply embedded in any body part.*

## VII. Dangerous/Injured Animals

- A. The killing of an animal is justified in the following circumstances:
  - (1) For self-defense.
  - (2) To prevent physical harm to the deputy or another person.
  - (3) When the animal is so badly injured that humanity requires its relief from further suffering.
- B. *If practical, deputies must notify the Emergency Communications Center (ECC) prior to the discharge of the firearm.*
- C. Deputies must *attempt to locate the owner* of a *destroyed domestic* animal if *they are* not present.
- D. Deputies must request that *the* Emergency Communications Center (ECC) notify the appropriate agency to respond and remove the animal's remains.

## VIII. Reporting and Investigating Responsibility

- A. Required Reports (*82.2.1.a, 82.2.1.c, 82.2.1.d, 82.2.1.e 82.2.4*)
  - (1) Deputies must complete and submit an Incident Report (MCSO9) and a Use of Force Report (MCSO2) prior to the end of their tour of duty in the following circumstances: (*82.2.1.b*)
    - (a) Any time force is used to counteract physical *resistance*. (**1.3.6.d**)
    - (b) Any force that results in an injury or death of an individual or whenever an individual claims an injury as a result of the amount of force used. (**1.3.6.b**)
    - (c) Whenever force is applied by the use of a protective instrument. (**1.3.6.c**)

- (d) Whenever a firearm is discharged other than for range practice or ballistic examinations. **(1.3.6.a)**
  - (e) Whenever an office canine inflicts injury to any person.
  - (f) *When a dangerous or injured animal is destroyed.*
- (2) *Whenever the use of force is used on more than one individual during an incident, a Use of Force Report (MCSO2) must be completed for each individual.*
  - (3) If a use of force incident involves more than one deputy, it is the responsibility of the senior-ranking deputy involved in the incident to complete and submit the required reports. However, at the discretion of a supervisor, each deputy involved in the incident may be required to submit separate reports.
  - (4) The section Lieutenant, *Duty Commander* or the highest-ranking deputy on duty must ensure that the involved deputy completes and submits all required reports, prior to the end of the deputy's tour of duty. If the deputy is injured to such an extent that they are physically unable to complete the required reports, the section Lieutenant, *Duty Commander* or the highest-ranking deputy on duty must assist in the completion of the required reports.
  - (5) Off-duty deputies involved in a reportable use of force incident must immediately, after clearing from the incident scene, *contact their Section Lieutenant, Duty Commander or the highest ranking deputy on duty and provide a detailed account of the incident. The Section Lieutenant, Duty Commander or the highest ranking deputy on duty will determine whether or not the deputy will immediately report to the Sheriff's Office to complete the required reports based on the seriousness of the incident and the practicality of reporting to the Office. If the deputy is not required to report to the Office, the deputy will report to their Section Lieutenant on their next scheduled workday and complete the Incident Report (MCSO9) and Use of Force Report (MCSO2).*

B. Notifications **(11.4.5)**

- (1) Deputies must promptly notify the Sheriff's Office of any use of force that results in death or injury requiring medical attention and any firearm discharge except for authorized range practice.
- (2) During normal *business* hours, notification must be made to the deputy's section Lieutenant. The Lieutenant is responsible for notifying the following individuals of the incident:

- (a) Division Captain.
  - (b) *Office of Professional Responsibility (OPR)*
  - (c) Chief Deputy.
  - (d) Sheriff.
- (3) During the evening or weekends, notification must be made to the ***Duty Commander or*** highest-ranking deputy on duty. This deputy is responsible for contacting the following individuals and notifying them of the incident:
- (a) Involved deputy's section Lieutenant.
  - (b) Division Captain.
  - (c) *Office of Professional Responsibility (OPR)*.
  - (d) Chief Deputy.
  - (e) Sheriff.
- (4) The section Lieutenant, ***Duty Commander*** or the highest-ranking deputy on duty must notify the Montgomery County Department of Police, Homicide and Sex Section, after a firearm discharge occurs in the following circumstances:
- (a) All intentional firearm discharges by a deputy, whether injuries occur or not, with the exception of range practice, ballistic examinations or for the purpose of destroying a dangerous or injured animal.
  - (b) All accidental firearm discharges by a deputy that results in injury or death to any person.

C. Division Captain's Responsibilities

The Division Captain must respond immediately to any incident when a deputy assigned to their division is injured, shoots another person, or when the action of the deputy results in the death or an injury requiring hospitalization (excludes treatment and release) of another person and must: ***(81.2.4.f)***

- (1) Direct at least one deputy to secure the scene.
- (2) Direct at least one deputy to remain with the involved deputy to ensure their personal safety and well being. No questioning concerning the incident is to be initiated with the involved deputy.

- (3) If the involved deputy was injured and taken to an emergency facility, send a supervisor to the emergency facility to act as a liaison between emergency facility staff and the Sheriff's Office.
- (4) Conduct a preliminary field investigation.
- (5) Render command assistance to the assigned investigator(s).
- (6) Ensure the involved deputy has a scheduled appointment with the Occupation Medical Section and is referred to the Employee Assistance Program.
- (7) Place any deputy involved in a deadly force incident on administrative leave in accordance with General Orders/Operational Procedure 2.12, Administrative Leave, upon completion of their preliminary report of the incident. The Captain must explain to the deputy that this leave is without loss of pay or benefits, that it is pending the results of the investigation and that the assignment to administrative leave does not imply or indicate that the deputy has acted improperly. **(1.3.8, 22.2.1)**
- (8) Submit a detailed written report of the field investigation to the Sheriff with copies to the Chief Deputy and the *Office of Professional Responsibility*.

D. *Office of Professional Responsibility* Responsibilities (*OPR*)

*A representative from OPR* must respond to any incident *where* a deputy is *seriously* injured, shoots another person, or when the action of the deputy results in the death or injury requiring hospitalization (excludes treatment and release) of another person. *OPR* must conduct an Administrative Investigation into all serious incidents involving deputies, parallel to any criminal investigation, to determine whether the actions were within policy, contrary to policy, or accidental. *OPR* must prepare and submit a detailed report of findings to the Sheriff.

**IX. Review of Use of Force Incidents (1.3.7, 82.2.1.e)**

- A. The involved deputy's section Lieutenant, Division Captain, the Chief Deputy and Sheriff must conduct a review of all Use of Force Reports.
  - (1) The Lieutenant and Captain must complete the comment section of the report as to whether there are any policy, training, weapon, equipment, or discipline issues that should be addressed.
  - (2) The Chief Deputy and Sheriff must initial and date the report after reviewing the report and comments of the Lieutenant and Captain.
  - (3) The Chief Deputy is responsible for maintaining all Use of Force Reports. **(82.2.4, 82.3.5)**

- B. *Annually*, the Chief Deputy must conduct an analysis of all use of force incidents occurring during the preceding year. After reviewing the reported facts, circumstances, and if appropriate, any findings of the *OPR*, the Chief Deputy must submit a written report to the Sheriff *detailing* any trends or patterns that could indicate a need for additional training, equipment upgrades or policy modification.  
**(1.3.13)**

X. **CALEA Standards**

1.2.2, 1.3.1, 1.3.2, 1.3.3, 1.3.4, 1.3.5, 1.3.6.a, 1.3.6.b, 1.3.6.c, 1.3.6.d, 1.3.7, 1.3.8, 1.3.13, 11.4.5, 22.2.1, 81.2.4.f, 82.2.1.a, 82.2.1.b, 82.2.1.c, 82.2.1.d, 82.2.1.e, 82.2.4, 82.3.5

XI. **Cancellation**

This directive cancels and replaces General Operational Procedures 3.01, Effective Date: *07/06/01*.

AUTHORITY:

  
\_\_\_\_\_  
Darren M. Popkin, Sheriff  
05/20/2015