Montgomery County, Maryland
Department of Health and Human Services

July 31, 2020

In Montgomery County, Maryland, an Informal Solicitation is used for professional services that will not exceed $99,999 for the total life (includes initial term, plus all renewal terms) of the contract resulting from this solicitation.

If you are interested in responding to this “Informal Solicitation” with a proposal, please do so by no later than August 31, 2020. The complete proposal must be submitted to:

Lawrence.Papier@Montgomerycountymd.gov
Senior Contract Manager
Department of Health and Human Services
401 Hungerford Drive, 6th Floor
Rockville, Maryland 20850

Please note this Solicitation and any Amendments will be posted on the Department of Health and Human Services Solicitations Page. http://montgomerycountymd.gov/HHS-program/coo/contractmgmt/cmtcursolicits.html
Interested offerors are responsible for checking this website regularly for updates/amendments, until the closing date of the Informal Solicitation.

For technical questions concerning this Informal Solicitation, please contact Tina Purser Langley, Program Manager, Department of Health and Human Services at (240) 773-8290, or via email at: tina.purserlangley@montgomerycountymd.gov

For administrative questions such as the procurement process or about the resulting contract(s) from this Informal Solicitation, please contact Lawrence Papier, Senior Contract Manager, Department of Health and Human Services at 240-777-1213 (or via email at Lawrence.Papier@MontgomeryCountyMD.gov).

This Solicitation will result in multiple Contracts that will be awarded to the highest scoring Offerors who can meet the needs of the County.

The County reserves the right to cancel this Informal Solicitation at any time.

BACKGROUND

A. Montgomery County’s (the County) Department of Health and Human Services (DHHS), Aging and Disabilities Division, is seeking qualified, responsible and experienced service providers/organizations/entities to provide evidence-based health promotion programs to
assist older adults with chronic medical conditions to live longer and healthier lives. The successful Offerors awarded contracts (Contractor) must provide these programs throughout the County to persons 60 years of age or older (Clients) to reduce their risk of disease, injury, and disability. Evidence-based health promotion programs that can be found on this website https://www.ncoa.org/resources/ebpchart/ to be provided to clients include such programs as:

(1) Chronic Disease Self-Management Program (CDSMP) also known as “Living Well in Maryland”,

(2) Diabetes Education, including the Self-Management Program,

(3) Chronic Pain Self-Management Program,

(4) Diabetes Prevention Program,

(5) Memory Academy,

(6) Matter of Balance,

(7) Stepping On,

(8) Tai Chi for Better Balance, and

(9) Other programs to be identified by the County as evidence-based, and suitable for Clients.

Offerors may propose to provide one or more of the programs listed above and must be provided to Clients at the Offeror’s site.

B. The County intends to award multiple Contracts from this Solicitation. Since funding for evidence-based health promotion programs is unpredictable from year to year, the County is using this Informal Solicitation to contract with successful Offerors, who will then be required to respond to Task Order Proposal Requests (TOPR) to be issued by the County, when specific evidence-based health promotions programs are required by by DHHS.

C. The County anticipates but does not guarantee that the annual compensation for each winning offeror will range between $3,000 and $15,000, contingent upon annual fiscal appropriations and encumbrance of funding for requested services. In year one, we expect to spend a total of $30,000 for all contracts resulting from this solicitation. TOPRs will be issued based on the County’s needs, and we make no guarantee that a minimum number of TOPRs will be issued.

**CONTRACTOR QUALIFICATIONS**

All Contractors awarded Contracts from this Informal Solicitation must meet the following qualifications:
A. Have a minimum of two (2) years of experience delivering one or more evidence-based health promotion programs referenced in this Solicitation and/or as identified by the County as an evidence-based health promotion program suitable for older adults, or minimum of three (3) years of experience providing similar services to older adults.

B. Certification as a “Certified Health Education Specialist” or “master Certified Health Education Specialist” is preferred, but not required. The County will verify all information submitted regarding Offeror’s experience, education and other qualifications.

C. Experience working with ethnically and economically diverse populations.

D. Be flexible and responsive to the County’s needs and collaborate with program staff to ensure that services are provided appropriately.

E. Abide by applicable ethical and legal standards for protection of health information under the Health Insurance Portability and Accountability Act (HIPAA), and other federal, state and local laws and regulations governing the privacy of medical information.

F. Have the ability and capacity to provide services throughout the term of the Contract(s) awarded from this Informal Solicitation.

I. SCOPE OF SERVICES

A. The Contractor must implement evidence-based health promotions programs as designed and validated by researchers. These programs must be facilitated or led by trained and certified leaders for the type of program offered and must meet the State of Maryland, Department of Aging’s standards. The leaders must follow established curricula for evidence-based health promotion programs from the National Council on Aging https://www.ncoa.org/resources/ebpchart/

B. The Contractor must provide successful implementation of the evidence-based health promotion programs to clients by performing the following activities:

1. Assign a Project Director, experienced in adult lifestyle change programs to implement and manage the program,

2. Identify, screen, train, and monitor leaders who will facilitate classes and workshops,

3. Ensure that all host-sites chosen for evidence-based health promotion programs meet the Americans with Disabilities Act requirements for access to people with disabilities, and that accommodations for their participation are made when necessary.

C. The Contractor must promote evidence-based programs to potential leaders who teach these classes and workshops, and to Clients, by:

1. Recruiting Clients that are 60 years of age or older,
2. Target-marketing programs via mailings, newsletters, websites, etc.,

3. Displaying the County logo and inserting the following language in all materials produced, for marketing and recruitment: “Services are funded in part by the County, and in part by Maryland’s Department of Health and Human Services.”

D. The Contractor must maintain records, keep monthly data, and comply with requests from DHHS staff and/or staff of the Maryland Department on Aging for data on program process and outcomes when needed.

E. The Contractor must comply with DHHS Background Clearance policy requirements for staff, subcontractors, and volunteers serving clients. At a minimum, all staff and volunteers having unsupervised contact with a vulnerable population, including the elderly, must be appropriately screened prior to providing services under the contracts resulting from this Solicitation. The Contractor must check the link below periodically for updates to the policy. http://www.montgomerycountymd.gov/HHS/DoingBuswDHHS.html

F. The Contractor must complete and submit the County’s Business Associate Agreement (Attachment G).

G. The Contractor understands and agrees that the County is the owner of all data collected in the performance of this Contract and, at the end of the contract term, must ensure that all data be provided to the County is in an acceptable format.

H. The Contractor must provide goals, objectives with identified action steps, desired outcomes, and performance measures for the service(s) being proposed. The goals and objectives proposed should enable contractors to offer evidence-based health promotion programs to assist older adults with chronic medical conditions to live longer and healthier lives, and to meet the County’s requirements as set forth in the scope of services.

II. TOPR PROCESS

A. TOPR’s will be issued by the County as funding becomes available for assignments related to evidence-based health promotion programs. Specifications for work will be determined by the County at the time a TOPR is issued and will be submitted in accordance with Attachment F: Sample Task Order Proposal. In response to the County’s request, the Contractor(s) will provide a Task Order Proposal, which must include all elements described in the TOPR.

B. Task Orders will be assigned to the Contractor(s) awarded a contract as a result of this Solicitation on a case-by-case basis. Task Order work may vary in nature, and Contractors must meet all contract requirements to be considered.

C. DHHS will rate each Task Order Proposal received in response to a TOPR according to the following criteria:
1. Broad-based knowledge, qualifications, and experience in providing the specific scope of work required by the Task Order 40

2. Proposed methodologies to perform the required work and timeframe to accomplish the work 20

3. Appropriateness and adequacy of staff assigned to the Task Order 20

4. Proposed cost of the required Task Order with appropriate justification 20

**Total Points Possible** 100

D. Each Task Order will be awarded to the highest rated Offeror.

III. REPORTS

The Contractor must submit reports to the County’s designated Contract Monitor by the 15th of each month, following program completion. These reports must be accompanied by a monthly invoice for costs incurred and contain the elements required by the County in the TOPR and submitted in a County-approved format.

IV. COMPENSATION

A. Compensation under the resulting Contract(s) will vary depending upon the awarded Task Order and will be based on established rates negotiated with the successful Offerors as a result of this Informal Solicitation. The Contractor’s payment will be based on and determined by submission of its Fee Schedule (Attachment E).

B. No services will be performed or compensated under Contracts resulting from this Solicitation prior to the execution of a County Purchase Order, and the Contractor’s receipt of said purchase order containing a maximum compensation amount.

C. Compensation must not exceed funds appropriated by the County and encumbered in the purchase order issued to the Contractor.

D. Under no circumstances shall the total amount payable during the life of all of the Contracts resulting from this solicitation exceed $99,999, including any optional renewal terms, if renewals options are exercised by the County.

V. INVOICES

The Contractor must submit monthly invoices and supporting documentation in a format approved by the County no later than 15 days following the conclusion of each program provided in accordance with the task order awarded to the Contractor. Upon receipt, acceptance and approval of the Contractor’s invoice, the County will make payment, net 30
days to the contractor for providing the services described in the Contract resulting from this solicitation. All required reports and other supporting documentation must be provided with the Contractor’s invoice. Invoices must be sent to the County’s designated Contract Monitor. Contractors must not bill or invoice any clients for services. Only services approved and authorized by the County in the TOPR(s) will be paid by the County.

VI. TERM

Contracts resulting from this Solicitation will become effective upon signature by the Director, Office of Procurement. The period in which the Contractor must perform all work under the resulting Contract(s) begins on the Contract’s effective date and continues for one (1) year from the date of signature. The Contractor must perform all work in accordance with the specific TOPR issued. Before a contract term ends, the Director, at his or her sole option, may, (but is not required to) renew the contract for additional terms, if the Director determines that renewal is in the best interest of the County. The Contractor’s satisfactory performance does not guarantee a renewal of the term. The Director may exercise this option to renew this term four (4) time(s) for up to one (1) year each. The total compensation for all contracts resulting from this Solicitation must not exceed a $99,999 for the life of all contracts (includes initial term plus any renewal terms).

VII. SUBMITTAL REQUIREMENTS

Proposals submitted in response to this Informal Solicitation must be no longer than 15 pages (not including any relevant attachments) and must follow the format below:

A. A one-page Letter of Introduction on letterhead to include the following:
   1. the date of the proposal submission,
   2. the name and address of the organization/offeror,
   3. contact person’s name, phone number, email address and fax number, and
   4. the date on which the offeror is prepared to begin work.

B. A narrative describing the Offeror’s experience in implementing evidence-based health promotion programs for seniors and people with disabilities including experience working with ethnically and economically diverse populations;

C. A narrative work plan, describing how the Offeror will operate the specific program(s) proposed to provide quality evidence-based health promotion for clients, and the Offeror’s ability to meet the requirements listed in Section I. Scope of Services, including:
   1. Description of the Project Director’s education, and experience working in adult lifestyle change programs,
   2. Details of capability and plan to provide qualified leaders, or to identify and train “leaders” who will lead classes and workshops,
   3. Description of Offeror’s experience in choosing sites that are accessible to people with disabilities, and providing accommodations for their participation,
4. Description of a plan to market programs and recruit participants.
5. Experience measuring program outputs and outcomes;

D. Proposed fee(s) including all personnel and direct costs per proposed program and all other expenses involved in providing the services described in this Solicitation and detailed/itemized explanation of costs.

E. Two (2) Letters of Recommendation.

F. The following attachments (filled out as necessary):

1. Attachment A: General Conditions of Contract Between County and Contractor
2. Attachment B: Wage Requirements Form (PMMD-177)
3. Attachment C: Minority Business Program & Offeror’s Representation (PMMD-90) (1 Page) (Optional)
4. Attachment D: Minority-Owned Business Form (PMMD-65) (4 Pages)
5. Attachment E: Proposed Fee Schedule Sheet (1 page)
6. Attachment F: Sample Task Order Proposal Request
7. Attachment G: Business Associate Agreement

G. Proof of Offeror’s legal name and tax ID number. The following documents are required:

1. IRS Form W-9
2. Articles of Incorporation or Articles of Amendment (if applicable)
4. If non-profit, IRS Letter of Designation
VIII. EVALUATION CRITERIA AND AWARD

DHHS will evaluate written proposals based on the following criteria:

<table>
<thead>
<tr>
<th>Written Proposal Evaluation Criteria</th>
<th>Points</th>
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<tbody>
<tr>
<td>(1) Experience implementing evidence-based health promotion programs for seniors and people with disabilities, including experience working with ethnically and economically diverse population;</td>
<td>25</td>
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<tr>
<td>(2) Description of Offeror’s experience in choosing sites that are accessible to people with disabilities, and providing accommodations for their participation,</td>
<td>20</td>
</tr>
<tr>
<td>(3) Experience measuring program outputs and outcomes;</td>
<td>15</td>
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<tr>
<td>(4) Offeror’s methodology for providing quality evidence-based health promotion to clients and detail plan on how Offeror will operate program to meet the requirements of this Solicitation.</td>
<td>20</td>
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<tr>
<td>(5) Offerors ability to promote evidence-based programs to potential teachers and participants by marketing/publicizing the programs and displaying the Montgomery County log on published materials.</td>
<td>10</td>
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<tr>
<td>(6) Proposed Fee(s) (including justification the proposed fee(s))</td>
<td>10</td>
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</tbody>
</table>

Highest possible score for written proposal evaluation 100

After the proposals have been evaluated per the criteria stated above, the County will award the Contract(s) to those offerors who score 80 points or higher.

IX. GENERAL CONDITIONS AND INSURANCE REQUIREMENTS

MANDATORY INSURANCE REQUIREMENTS –
Evidence Based Health Promotion Programs to Seniors - Implement programs such as “Living Well”, Matter of Balance, Stepping On and Tai Chi for Better Balance to Seniors at off site locations

Prior to the execution of the contract by the County, the proposed awardee/contractor and their contractors (if requested by County) must obtain, at their own cost and expense, the following minimum (not maximum) insurance coverage with an insurance company/companies licensed to conduct business in the State of Maryland and acceptable to the Division of Risk Management. This insurance must be kept in full force and effect during the term of this contract, including all extensions. The insurance must be evidenced by a certificate of insurance, and if requested by the County, the proposed
awardee /contractor shall provide a copy of the insurance policies and additional insured endorsements. The minimum limits of coverage listed below shall not be construed as the maximum as required by contract or as a limitation of any potential liability on the part of the proposed awardee/contractor to the County nor shall failure to request evidence of this insurance in any way be construed as a waiver of proposed awardee / contractor’s obligation to provide the insurance coverage specified. The Contractor's insurance shall be primary. Coverage pursuant to this Section shall not include any provision that would bar, restrict, or preclude coverage for claims by Montgomery County against Contractor, including but not limited to “cross-liability” or “insured vs insured” exclusion provisions.

**Commercial General Liability**

A minimum limit of liability of **one million dollars ($1,000,000), per occurrence and one million ($1,000,000) aggregate**, for bodily injury, personal injury and property damage coverage per occurrence including the following coverages:
- Contractual Liability
- Premises and Operations
- Independent Contractors & Subcontractors
- Products and Completed Operations

**Worker's Compensation/Employer's Liability**

Meeting all statutory requirements of the State of Maryland Law and with the following minimum Employers’ Liability limits:

- **Bodily Injury by Accident** - $100,000 each accident
- **Bodily Injury by Disease** - $500,000 policy limits
- **Bodily Injury by Disease** - $100,000 each employee

**Additional Insured**

Montgomery County, Maryland, its elected and appointed officials, officers, consultants, agents and employees, must be included as an additional insured on Contractor’s commercial general, automobile insurance, and contractor’s excess/umbrella insurance if used to satisfy the Contractor’s minimum insurance requirements under this contract, for liability arising out of contractor’s products, goods and services provided under this contract. The stipulated limits of coverage above shall not be construed as a limitation of any potential liability of the contractor.

**Policy Cancellation**

Should any of the above policies be cancelled before the expiration date thereof, written notice must be delivered to the County in accordance with the policy provisions.

**Certificate Holder**

Montgomery County, Maryland

401 Hungerford Drive, 6th floor / Larry Papier

Rockville, Maryland  20850
GENERAL CONDITIONS OF CONTRACT BETWEEN COUNTY AND CONTRACTOR

1. ACCOUNTING SYSTEM AND AUDIT, ACCURATE INFORMATION
The Contractor certifies that all information the Contractor has provided or will provide to the County is true and correct and can be relied upon by the County in awarding, modifying, making payments, or taking any other action with respect to this Contract including resolving claims and disputes. Any false or misleading information is a ground for the County to terminate this Contract for cause and to pursue any other appropriate remedy. The Contractor certifies that the Contractor's accounting system conforms with generally accepted accounting principles, is sufficient to comply with the Contract's budgetary and financial obligations, and is sufficient to produce reliable financial information.

The County may examine the Contractor's and any first tier Sub-Contractor's records to determine and verify compliance with the Contract and to resolve or decide any claim or dispute arising under this Contract. The Contractor and any first tier Sub-Contractor must grant the County access to these records at all reasonable times during the Contract term and for 3 years after final payment. If the Contract is supported to any extent with federal or state funds, the appropriate federal or state authorities may also examine these records. The Contractor must include the preceding language of this paragraph in all first tier Sub-Contracts.

2. AMERICANS WITH DISABILITIES ACT

3. APPLICABLE LAWS
This Contract must be construed in accordance with the laws and regulations of Maryland and Montgomery County. The Montgomery County Procurement Regulations are incorporated by reference into, and made a part of, this Contract. In the case of any inconsistency between this Contract and the Procurement Regulations, the Procurement Regulations govern. The Contractor must, without additional cost to the County, pay any necessary fees and charges, obtain any necessary licenses and permits, and comply with applicable federal, state and local laws, codes and regulations. For purposes of litigation involving this Contract, except for Contract Disputes discussed in paragraph 8 below, exclusive venue and jurisdiction must be in the Circuit Court for Montgomery County, Maryland or in the District Court of Maryland for Montgomery County.

The County’s prevailing wage law, as found at §11B-33C of the County Code, applies to certain construction Contracts. To the extent applicable, the County’s prevailing wage requirements are enumerated within this solicitation/Contract in the “Prevailing Wage Requirements for Construction Contract Addendum to the General Conditions of Contract between County and Contractor.” If applicable to this Contract, the Addendum will be attached to the Contract, and will be incorporated herein by reference, and made a part thereof.

Furthermore, certain non-profit and governmental entities may purchase supplies and services, similar in scope of work and compensation amounts provided for in a County Contract, using their own Contract and procurement laws and regulations, pursuant to the Md. State Finance and Procurement Article, Section 13-101, et. seq.

Contractor and all of its Sub-Contractors must comply with the provisions of County Code §11B-35A and must not retaliate against a covered employee who discloses an illegal or improper action described in §11B-35A. Furthermore, an aggrieved covered employee under §11B-35A is a third-party beneficiary under this Contract,
who may by civil action recover compensatory damages including interest and reasonable attorney’s fees, against the Contractor or one of its Sub-Contractors for retaliation in violation of that Section.

The Contractor agrees to comply with the requirements of the Displaced Service Workers Protection Act, which appears in County Code, Chapter 27, Human Rights and Civil Liberties, Article X, Displaced Service Workers Protection Act, §§ 27-64 through 27-66.

Montgomery County’s Earned Sick and Safe Leave Law, found at Sections 27-76 through 27-82 of the County Code, became effective October 1, 2016. An employer doing business in the County, as defined under the statute, must comply with this law. This includes an employer vendor awarded a County Contract. A vendor may obtain information regarding this law at [http://www.montgomerycountymd.gov/humanrights/](http://www.montgomerycountymd.gov/humanrights/)

4. ASSIGNMENTS AND SUBCONTRACTS
The Contractor must not assign or transfer this Contract, any interest herein or any claim hereunder, except as expressly authorized in writing by the Director, Office of Procurement. Unless performance is separately and expressly waived in writing by the Director, Office of Procurement, an assignment does not release the Contractor from responsibility for performance of this Contract. Unless otherwise provided in the Contract, the Contractor may not Contract with any other party for furnishing any of the materials or services herein Contracted for without the written approval of the Director, Office of Procurement. Any Sub-Contract for any work hereunder must comport with the terms of this Contract and County law, and must include any other terms and conditions that the County deems necessary to protect its interests. The Contractor must not employ any Sub-Contractor that is a debarred or suspended person under County Code §11B-37. The Contractor is fully responsible to the County for the acts and omissions of itself, its Sub-Contractors and any persons either directly or indirectly employed by them. Nothing contained in the Contract documents shall create any Contractual relation between any Sub-Contractor and the County, and nothing in the Contract documents is intended to make any Sub-Contractor a beneficiary of the Contract between the County and the Contractor.

5. CHANGES
The Director, Office of Procurement, may unilaterally change the work, materials and services to be performed. The change must be in writing and within the general scope of the Contract. The Contract will be modified to reflect any time or money adjustment the Contractor is entitled to receive. Contractor must bring to the Contract Administrator, in writing, any claim about an adjustment in time or money resulting from a change, within 30 days from the date the Director, Office of Procurement, issued the change in work, or the claim is waived. Any failure to agree upon a time or money adjustment must be resolved under the "Disputes" clause of this Contract. The Contractor must proceed with the prosecution of the work as changed, even if there is an unresolved claim. No charge for any extra work, time or material will be allowed, except as provided in this section.

6. CONTRACT ADMINISTRATION
A. The Contract administrator, subject to paragraph B below, is the Department representative designated by the Director, Office of Procurement, in writing and is authorized to:
(1) serve as liaison between the County and the Contractor;
(2) give direction to the Contractor to ensure satisfactory and complete performance;
(3) monitor and inspect the Contractor’s performance to ensure acceptable timeliness and quality;
(4) serve as records custodian for this Contract, including wage and prevailing wage requirements;
(5) accept or reject the Contractor's performance;
(6) furnish timely written notice of the Contractor's performance failures to the Director, Office of Procurement, and to the County Attorney, as appropriate;
(7) prepare required reports;
8. DISPUTES
Any dispute arising under this Contract that is not disposed of by agreement must be decided under the Montgomery County Code and the Montgomery County Procurement Regulations. Pending final resolution of a dispute, the Contractor must proceed diligently with Contract performance. Subject to subsequent revocation or alteration by the Director, Office of Procurement, the head of the County department, office or agency ("Department Head") of the Contract administrator is the designee of the Director, Office of Procurement, for the purpose of dispute resolution. The Department Head, or his/her designee, must forward to the Director, Office of Procurement, a copy of any written resolution of a dispute. The Department Head may delegate this responsibility to another person (other than the Contract administrator). A Contractor must notify the Contract administrator of a claim in writing, and must attempt to resolve a claim with the Contract administrator prior to filing a dispute with the Director, Office of Procurement or designee. The Contractor waives any dispute or claim not made in writing and received by the Director, Office of Procurement, within 30 days of the event giving rise to the dispute or claim, whether or not the Contract administrator has responded to a written notice of claim or resolved the claim. The Director, Office of Procurement, must dismiss a dispute that is not timely filed. A dispute must be in writing, for specific relief, and any requested relief must be fully supported by affidavit of all relevant calculations, including cost and pricing information, records, and other information. At the County's option, the Contractor agrees to be made a party to any related dispute involving another Contractor.

9. DOCUMENTS, MATERIALS, AND DATA
All documents materials or data developed as a result of this Contract are the County's property. The County has the right to use and reproduce any documents, materials, and data, including confidential information, used in the performance of, or developed as a result of, this Contract. The County may use this information for its own purposes, including reporting to state and federal agencies. The Contractor warrants that it has title to or right of use of all documents, materials or data used or developed in connection with this Contract. The Contractor must keep confidential all documents, materials, and data prepared or developed by the Contractor or supplied by the County.

10. DURATION OF OBLIGATION
The Contractor agrees that all of Contractor's obligations and warranties, including all requirements imposed by the Minority Owned Business Addendum to these General Conditions, if any, which directly or indirectly are intended by their nature or by implication to survive Contractor performance, do survive the completion of
performance, termination for default, termination for convenience, or termination by mutual consent of the Contract.

11. ENTIRE AGREEMENT
There are no promises, terms, conditions, or obligations other than those contained in this Contract. This Contract supersedes all communications, representations, or agreements, either verbal or written, between the parties hereto, with the exception of express warranties given to induce the County to enter into the Contract.

12. ETHICS REQUIREMENTS/PolITICAL CONTRIBUTIONS
The Contractor must comply with the ethics provisions contained in Chapters 11B and 19A, Montgomery County Code, which include the following:
(a) a prohibition against making or offering to make certain gifts. Section 11B-51(a).
(b) a prohibition against kickbacks. Section 11B-51(b).
(c) a prohibition against a person engaged in a procurement from employing or offering to employ a public employee. Section 11B-52 (a).
(d) a prohibition against a Contractor that is providing a recommendation to the County from assisting another party or seeking to obtain an economic benefit beyond payment under the Contract. Section 11B-52 (b).
(e) a restriction on the use of confidential information obtained in performing a Contract. Section 11B-52 (c).
(f) a prohibition against contingent fees. Section 11B-53.
Furthermore, the Contractor specifically agrees to comply with Sections 11B-51, 11B-52, 11B-53, 19A-12, and/or 19A-13 of the Montgomery County Code. In addition, the Contractor must comply with the political contribution reporting requirements currently codified under the Election Law at Md. Code Ann., Title 14.

13. GUARANTEE
A. Contractor guarantees for one year from acceptance, or for a longer period that is otherwise expressly stated in the County’s written solicitation, all goods, services, and construction offered, including those used in the course of providing the goods, services, and/or construction. This includes a guarantee that all products offered (or used in the installation of those products) carry a guarantee against any and all defects for a minimum period of one year from acceptance, or for a longer period stated in the County’s written solicitation. The Contractor must correct any and all defects in material and/or workmanship that may appear during the guarantee period, or any defects that occur within one (1) year of acceptance even if discovered more than one (1) year after acceptance, by repairing, (or replacing with new items or new materials, if necessary) any such defect at no cost to the County and to the County’s satisfaction.

B. Should a manufacturer's or service provider’s warranty or guarantee exceed the requirements stated above, that guarantee or warranty will be the primary one used in the case of defect. Copies of manufacturer's or service provider’s warranties must be provided upon request.

C. All warranties and guarantees must be in effect from the date of acceptance by the County of the goods, services, or construction.

D. The Contractor guarantees that all work shall be accomplished in a workmanlike manner, and the Contractor must observe and comply with all Federal, State, County and local laws, ordinances and regulations in providing the goods, and performing the services or construction.

E. Goods and materials provided under this Contract must be of first quality, latest model and of current manufacture, and must not be of such age or so deteriorated as to impair their usefulness or safety. Items
that are used, rebuilt, or demonstrator models are unacceptable, unless specifically requested by the County in the Specifications.

14. **HAZARDOUS AND TOXIC SUBSTANCES**
Manufacturers and distributors are required by federal "Hazard Communication" provisions (29 CFR 1910.1200), and the Maryland "Access to Information About Hazardous and Toxic Substances" Law, to label each hazardous material or chemical container, and to provide Material Safety Data Sheets to the purchaser. The Contractor must comply with these laws and must provide the County with copies of all relevant documents, including Material Safety Data Sheets, prior to performance of work or contemporaneous with delivery of goods.

15. **HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA) COMPLIANCE**
In addition to the provisions stated above in Section 3, “Applicable Laws,” Contractor must comply with all requirements in the federal Health Insurance Portability and Accountability Act (HIPAA), to the extent that HIPAA is applicable to this Contract. Furthermore, Contractor must enter into the County’s standard Business Associate Agreement or Qualified Service Organization Agreement when Contractor or the County, as part of this Contract, may use or disclose to one another, to the individual whose health information is at issue, or to a third-party, any protected health information that is obtained from, provided to, made available to, or created by, or for, the Contractor or the County.

16. **IMMIGRATION REFORM AND CONTROL ACT**
The Contractor warrants that both the Contractor and its subContractors do not, and shall not, hire, recruit or refer for a fee, for employment under this Contract or any subContract, an alien while knowing the alien is an unauthorized alien, or any individual without complying with the requirements of the federal Immigration and Nationality laws, including any verification and record keeping requirements. The Contractor further assures the County that, in accordance with those laws, it does not, and will not, discriminate against an individual with respect to hiring, recruitment, or referral for a fee, of an individual for employment or the discharge of an individual from employment, because of the individual's national origin or, in the case of a citizen or prospective citizen, because of the individual's citizenship status.

17. **INCONSISTENT PROVISIONS**
Notwithstanding any provisions to the contrary in any Contract terms or conditions supplied by the Contractor, this General Conditions of Contract document supersedes the Contractor's terms and conditions, in the event of any inconsistency.

18. **INDEMNIFICATION**
The Contractor is responsible for any loss, personal injury, death and any other damage (including incidental and consequential) that may be done or suffered by reason of the Contractor's negligence or failure to perform any Contractual obligations. The Contractor must indemnify and save the County harmless from any loss, cost, damage and other expenses, including attorney's fees and litigation expenses, suffered or incurred due to the Contractor's negligence or failure to perform any of its Contractual obligations. If requested by the County, the Contractor must defend the County in any action or suit brought against the County arising out of the Contractor's negligence, errors, acts or omissions under this Contract. The negligence of any agent, subContractor or employee of the Contractor is deemed to be the negligence of the Contractor. For the purposes of this paragraph, County includes its boards, agencies, agents, officials and employees.

19. **INDEPENDENT CONTRACTOR**
The Contractor is an independent Contractor. The Contractor and the Contractor's employees or agents are not agents of the County.
20. **INSPECTIONS**
The County has the right to monitor, inspect and evaluate or test all supplies, goods, services, or construction called for by the Contract at all reasonable places (including the Contractor's place of business) and times (including the period of preparation or manufacture).

21. **INSURANCE**
Prior to Contract execution by the County, the proposed awardee/Contractor must obtain at its own cost and expense the minimum insurance specified in the applicable table (See Tables A and B) or attachment to these General Conditions, with one or more insurance company(s) licensed or qualified to do business in the State of Maryland and acceptable to the County’s Division of Risk Management. The minimum limits of coverage listed shall not be construed as the maximum as required by Contract or as a limitation of any potential liability on the part of the proposed awardee/Contractor to the County, nor shall failure by the County to request evidence of this insurance in any way be construed as a waiver of proposed awardee/Contractor’s obligation to provide the insurance coverage specified. Contractor must keep this insurance in full force and effect during the term of this Contract, including all extensions. Unless expressly provided otherwise, Table A is applicable to this Contract. The insurance must be evidenced by one or more Certificate(s) of Insurance and, if requested by the County, the proposed awardee/Contractor must provide a copy of any and all insurance policies to the County. At a minimum, the proposed awardee/Contractor must submit to the Director, Office of Procurement, one or more Certificate(s) of Insurance prior to award of this Contract, and prior to any Contract modification extending the term of the Contract, as evidence of compliance with this provision. The Contractor’s insurance must be primary. Montgomery County, MD, including its officials, employees, agents, boards, and agencies, must be named as an additional insured on all liability policies. Contractor must provide to the County at least 30 days written notice of a cancellation of, or a material change to, an insurance policy. In no event may the insurance coverage be less than that shown on the applicable table, attachment, or Contract provision for required insurance. After consultation with the Department of Finance, Division of Risk Management, the Director, Office of Procurement, may waive the requirements of this section, in whole or in part.

Please disregard TABLE A. and TABLE B., if they are replaced by the insurance requirements as stated in an attachment to these General Conditions of Contract between County and Contractor.

**Table A. INSURANCE REQUIREMENTS**
(See Paragraph #21 under the General Conditions of Contract between County and Contractor)

<table>
<thead>
<tr>
<th>CONTRACT DOLLAR VALUES (IN $1,000’s)</th>
<th>Up to 50</th>
<th>Up to 100</th>
<th>Up to 1,000</th>
<th>Over 1,000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Workers Compensation (for Contractors with employees)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bodily Injury by</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accident (each)</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>See</td>
</tr>
<tr>
<td>Disease (policy limits)</td>
<td>500</td>
<td>500</td>
<td>500</td>
<td>Attachment</td>
</tr>
<tr>
<td>Disease (each employee)</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>Commercial General Liability for bodily injury and property</td>
<td>300</td>
<td>500</td>
<td>1,000</td>
<td>See</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Attachment</td>
</tr>
</tbody>
</table>
damage per occurrence, including
Contractual liability, premises
and operations, and independent
Contractors

Minimum Automobile Liability
(including owned, hired and non
owned automobiles)

Bodily Injury
- each person 100 250 500
- each occurrence 300 500 1,000

Property Damage
- each occurrence 300 300 300

Professional Liability*
- for errors, omissions
- and negligent acts, per
- claim and aggregate, with
- one year discovery period and
- maximum deductible of $25,000

Certificate Holder
Montgomery County Maryland (Contract #)
Office of Procurement
255 Rockville Pike, Suite 180
Rockville, Maryland 20850 4166

*Professional services Contracts only

(remainder of page intentionally left blank)
TABLE B. INSURANCE REQUIREMENTS
(See Paragraph #21 under the General Conditions of Contract between County and Contractor)

<table>
<thead>
<tr>
<th></th>
<th>Up to 50</th>
<th>Up to 100</th>
<th>Up to 1,000</th>
<th>1,000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial General</td>
<td>300</td>
<td>500</td>
<td>1,000</td>
<td></td>
</tr>
<tr>
<td>Liability minimum</td>
<td></td>
<td></td>
<td></td>
<td>See</td>
</tr>
<tr>
<td>combined single limit</td>
<td></td>
<td></td>
<td></td>
<td>Attachment</td>
</tr>
<tr>
<td>for bodily injury and property damage per occurrence, including Contractual liability, premises and operations, independent Contractors, and product liability</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Certificate Holder
Montgomery County Maryland (Contract #)
Office of Procurement
255 Rockville Pike, Suite 180
Rockville, Maryland 20850 4166

(Remainder of Page Intentionally Left Blank)
22. **INTELLECTUAL PROPERTY APPROVAL AND INDEMNIFICATION - INFRINGEMENT**
If Contractor will be preparing, displaying, publicly performing, reproducing, or otherwise using, in any manner or form, any information, document, or material that is subject to a copyright, trademark, patent, or other property or privacy right, then Contractor must: obtain all necessary licenses, authorizations, and approvals related to its use; include the County in any approval, authorization, or license related to its use; and indemnify and hold harmless the County related to Contractor’s alleged infringing or otherwise improper or unauthorized use. Accordingly, the Contractor must protect, indemnify, and hold harmless the County from and against all liabilities, actions, damages, claims, demands, judgments, losses, costs, expenses, suits, or actions, and attorneys’ fees and the costs of the defense of the County, in any suit, including appeals, based upon or arising out of any allegation of infringement, violation, unauthorized use, or conversion of any patent, copyright, trademark or trade name, license, proprietary right, or other related property or privacy interest in connection with, or as a result of, this Contract or the performance by the Contractor of any of its activities or obligations under this Contract.

23. **INFORMATION SECURITY**
A. Protection of Personal Information by Government Agencies:
In any Contract under which Contractor is to perform services and the County may disclose to Contractor personal information about an individual, as defined by State law, Contractor must implement and maintain reasonable security procedures and practices that: (a) are appropriate to the nature of the personal information disclosed to the Contractor; and (b) are reasonably designed to help protect the personal information from unauthorized access, use, modification, disclosure, or destruction. Contractor’s requirement to implement and maintain reasonable security practices and procedures must include requiring any third-party to whom it discloses personal information that was originally disclosed to Contractor by the County to also implement and maintain reasonable security practices and procedures related to protecting the personal information. Contractor must notify the County of a breach of the security of a system if the unauthorized acquisition of an individual’s personal information has occurred or is reasonably likely to occur, and also must share with the County all information related to the breach. Contractor must provide the above notification to the County as soon as reasonably practicable after Contractor discovers or is notified of the breach of the security of a system. Md. Code Ann., State Gov’t. § 10-1301 through 10-1308 (2013).

B. Payment Card Industry Compliance:
In any Contract where the Contractor provides a system or service that involves processing credit card payments (a “Payment Solution”), the Payment Solution must be Payment Card Industry Data Security Standard Compliant (“PCI-DSS Compliant”), as determined and verified by the Department of Finance, and must (1) process credit card payments through the use of a Merchant ID (“MID”) obtained by the County’s Department of Finance by and in the name of the County as merchant of record, or (2) use a MID obtained by and in the name of the Contractor as merchant of record.

24. **NON-CONVICTION OF BRIBERY**
The Contractor hereby declares and affirms that, to its best knowledge, none of its officers, directors, or partners or employees directly involved in obtaining Contracts has been convicted of bribery, attempted bribery, or conspiracy to bribe under any federal, state, or local law.

25. **NON-DISCRIMINATION IN EMPLOYMENT**
The Contractor agrees to comply with the non-discrimination in employment policies and or provisions prohibiting unlawful employment practices in County Contracts as required by Section 11B 33 and Section 27 19 of the Montgomery County Code, as well as all other applicable state and federal laws and regulations regarding employment discrimination.
The Contractor assures the County that, in accordance with applicable law, it does not, and agrees that it will not, discriminate in any manner on the basis of race, color, religious creed, ancestry, national origin, age, sex, marital status, disability, or sexual orientation.

The Contractor must bind its subContractors to the provisions of this section.

26. PAYMENT AUTHORITY
No payment by the County may be made, or is due, under this Contract, unless funds for the payment have been appropriated and encumbered by the County. Under no circumstances will the County pay the Contractor for legal fees. The Contractor must not proceed to perform any work (provide goods, services, or construction) prior to receiving written confirmation that the County has appropriated and encumbered funds for that work. If the Contractor fails to obtain this verification from the Office of Procurement prior to performing work, the County has no obligation to pay the Contractor for the work.

If this Contract provides for an additional Contract term for Contractor performance beyond its initial term, continuation of Contractor’s performance under this Contract beyond the initial term is contingent upon, and subject to, the appropriation of funds and encumbrance of those appropriated funds for payments under this Contract. If funds are not appropriated and encumbered to support continued Contractor performance in a subsequent fiscal period, Contractor’s performance must end without further notice from, or cost to, the County. The Contractor acknowledges that the County Executive has no obligation to recommend, and the County Council has no obligation to appropriate, funds for this Contract in subsequent fiscal years. Furthermore, the County has no obligation to encumber funds to this Contract in subsequent fiscal years, even if appropriated funds may be available. Accordingly, for each subsequent Contract term, the Contractor must not undertake any performance under this Contract until the Contractor receives a purchase order or Contract amendment from the County that authorizes the Contractor to perform work for the next Contract term.

27. P-CARD OR SUA PAYMENT METHODS
The County is expressly permitted to pay the vendor for any or all goods, services, or construction under the Contract through either a procurement card (“p-card”) or a Single Use Account (“SUA”) method of payment, if the Contractor accepts the noted payment method from any other person. In that event, the County reserves the right to pay any or all amounts due under the Contract by using either a p-card (except when a purchase order is required) or a SUA method of payment, and the Contractor must accept the County’s p-card or a SUA method of payment, as applicable. Under this paragraph, Contractor is prohibited from charging or requiring the County to pay any fee, charge, price, or other obligation for any reason related to or associated with the County’s use of either a p-card or a SUA method of payment.

28. PERSONAL PROPERTY
All furniture, office equipment, equipment, vehicles, and other similar types of personal property specified in the Contract, and purchased with funds provided under the Contract, become the property of the County upon the end of the Contract term, or upon termination or expiration of this Contract, unless expressly stated otherwise.

29. TERMINATION FOR DEFAULT
The Director, Office of Procurement, may terminate the Contract in whole or in part, and from time to time, whenever the Director, Office of Procurement, determines that the Contractor is:
(a) defaulting in performance or is not complying with any provision of this Contract;
(b) failing to make satisfactory progress in the prosecution of the Contract; or
(c) endangering the performance of this Contract.
The Director, Office of Procurement, will provide the Contractor with a written notice to cure the default. The termination for default is effective on the date specified in the County’s written notice. However, if the County determines that default contributes to the curtailment of an essential service or poses an immediate threat to life, health, or property, the County may terminate the Contract immediately upon issuing oral or written notice to the Contractor without any prior notice or opportunity to cure. In addition to any other remedies provided by law or the Contract, the Contractor must compensate the County for additional costs that foreseeably would be incurred by the County, whether the costs are actually incurred or not, to obtain substitute performance. A termination for default is a termination for convenience if the termination for default is later found to be without justification.

30. TERMINATION FOR CONVENIENCE
This Contract may be terminated by the County, in whole or in part, upon written notice to the Contractor, when the County determines this to be in its best interest. The termination for convenience is effective on the date specified in the County’s written notice. Termination for convenience may entitle the Contractor to payment for reasonable costs allocable to the Contract for work or costs incurred by the Contractor up to the date of termination. The Contractor must not be paid compensation as a result of a termination for convenience that exceeds the amount encumbered to pay for work to be performed under the Contract.

31. TIME
Time is of the essence.

32. WORK UNDER THE CONTRACT
Contractor must not commence work under this Contract until all conditions for commencement are met, including execution of the Contract by both parties, compliance with insurance requirements, encumbrance of funds, and issuance of any required notice to proceed.

33. WORKPLACE SAFETY
The Contractor must ensure adequate health and safety training and/or certification, and must comply with applicable federal, state and local Occupational Safety and Health laws and regulations.

THIS FORM MUST NOT BE MODIFIED WITHOUT THE PRIOR APPROVAL OF THE OFFICE OF THE COUNTY ATTORNEY.
A. This contract is subject to the Wage Requirements Law, found at Section 11B-33A of the Montgomery County Code ("WRL" or "11B-33A"). A County contract for the procurement of services must require the contractor and any of its subcontractors to comply with the WRL, subject to the exceptions for particular contractors noted in 11B-33A (b) and for particular employees noted in 11B-33A (f).

B. Conflicting requirements (11B-33A (h)): If any federal, state, or County law or regulation requires payment of a higher wage, that law or regulation controls. For an existing County Contract, if an applicable collective bargaining agreement (CBA) that existed prior to May 10, 2016, governs the parties, then that CBA controls. If the term of the CBA mentioned in the preceding sentence ends during the Contract, the WRL will then control.

C. A nonprofit organization that is exempt from the WRL under 11B-33A (b)(3), must specify, in each bid or proposal, the wage the organization intends to pay to those employees who will perform direct, measurable work under the contract, and any health insurance coverage the organization intends to provide to those employees. Section 11B-33A (c)(2).

D. A contractor must not split or subdivide a contract, pay an employee through a third party, or treat an employee as a subcontractor or independent contractor, to avoid the imposition of any requirement in 11B-33A. Section 11B-33A (c)(3).

E. Each contractor and subcontractor covered under the WRL must: certify that it is aware of and will comply with the applicable wage requirements; keep and submit any records necessary to show compliance; and conspicuously post notices approved and/or supplied by the County, informing employees of the requirements in 11B-33A. Section 11B-33A (i).

F. An employer must comply with the WRL during the initial term of the contract and all subsequent renewal periods, and must pay the adjusted wage rate increase required under 11B-33A (e)(2), if any, which is effective July 1 of each year. The County will adjust the wage rate by the annual average increase in the Consumer Price Index for all urban consumers for the Washington-Baltimore metropolitan area, or successor index, for the previous calendar year and must calculate the adjustment to the nearest multiple of 5 cents. Section 11B-33A (e)(2).

G. An employer must not discharge or otherwise retaliate against an employee for asserting any right, or filing a complaint of a violation, under the WRL. Section 11B-33A (i)(3).

H. The sanctions under Section 11B-33 (b), which apply to noncompliance with nondiscrimination requirements, apply with equal force and scope to noncompliance with the wage requirements of the WRL. Section 11B-33A (i)(4).

I. In the event of a breach of this contract as a result of a contractor’s or subcontractor’s violation of the WRL, the County may seek its available remedies, which include but are not limited to liquidated damages, withholding of payment, and recoupment of audit costs that are described below. The Contractor is jointly and severally liable for any noncompliance by a subcontractor. An aggrieved employee, as a third-party beneficiary, may by civil action against the violating Contractor or subcontractor enforce the payment of wages due under the WRL and recover from the Contractor or subcontractor any unpaid wages with interest, a reasonable attorney’s fee, and damages for any retaliation by the Contractor or subcontractor arising from the employee asserting any right, including filing a complaint under the WRL. Section 11B-33A (i)(5). Furthermore, the contractor expressly acknowledges that the County may assess liquidated damages against the Contractor in the event that it, as a covered employer, fails to pay the required wage, or violates the wage reporting or payroll records reporting requirement found at 11B-33A (g), including its providing late or inaccurate payroll records.

(i) Liquidated Damages
ATTACHMENT B

The County may assess liquidated damages for any noncompliance by contractor or its subcontractor at the rate of 1% per day of the total contract amount, or the estimated annual contract value of a requirements contract, for each day of the violation. This liquidated damages amount includes the amount of any unpaid wages, with interest. The Contractor must pay to the County liquidated damages noted above, in addition to any other remedies available to the County. Contractor and County acknowledge that damages that would result to the County as a result of a breach under the WRL are difficult to reasonably ascertain, and that the liquidated damages provided for in this paragraph is a fair and reasonable estimate of damages the County would incur as a result of contractor’s or subcontractor’s violation of the WRL.  

(ii) Withholding of Payment

If the Director determines that a provision of the WRL has been violated, the Director must issue a written decision, including imposing appropriate sanctions and assessing liquidated damages (as outlined above) and audit costs (as outlined below), and may withhold from payment due the contractor, pending a final decision, an amount sufficient to: (a) pay each employee of the contractor or subcontractor the full amount of wages due under the WRL; (b) reimburse the County for audit costs; and (c) satisfy a liability of a contractor or subcontractor for liquidated damages.

(iii) Audit Costs

If the County determines, as a result of a WRL audit, that the Contractor has violated requirements of the WRL, the Contractor must reimburse to the County the cost incurred by the County in conducting the audit. Section 11B-33A (i)(2)(C).

J. The County must conduct, and the contractor or subcontractor must comply with, random or regular audits to assure compliance with the WRL. Section 11B-33A (i)(2). The Director may conduct an on-site inspection(s) for the purpose of determining compliance. Some of the documents that may be required during an audit are listed on the Wage Requirements Law FAQ web page: http://www.montgomerycountymd.gov/PRO/DBRC/WRL.html

K. The Contractor is in breach of this Contract if the Contractor fails to submit timely documentation demonstrating compliance with the WRL to the satisfaction of the Director, including: the Wage Requirements Law Payroll Report Form (PMM-183), which is required to be submitted by the 14th day of the month following the end of each quarter (January, April, July, October); documents requested in conjunction with a random or regular audit by the County; or, documents otherwise requested by the Director. Section 11B-33A (g)(2).

If a contractor or subcontractor fails to submit, or is late in submitting, copies of any payroll record or other report required to be submitted under the WRL, the County may deem invoices unacceptable until the contractor or subcontractor provides the required records or reports, and may postpone processing payments due under the contract or under an agreement to finance the contract.

For any questions, please contact the Wage Requirements Law Program Manager at 240-777-9918 or WRL@montgomerycountymd.gov.
ATTACHMENT B

**Wage Requirements Law Certification**

(Montgomery County Code, Section 11B-33A)

<table>
<thead>
<tr>
<th>Business Name</th>
<th>Address</th>
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<tbody>
<tr>
<td></td>
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</tbody>
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<table>
<thead>
<tr>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
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<table>
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<th>Fax Number</th>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>E-Mail Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

Provide, in the spaces below, the contact name and information of the individual designated by your firm to monitor your compliance with the County’s Wage Requirements Law, unless exempt under Section 11B-33A (b) (see Section B. below):

<table>
<thead>
<tr>
<th>Contact Name</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Phone Number</th>
<th>Fax Number</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>E-mail Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

In the event that you, the “Offeror,” are awarded the contract and become a Contractor, YOU MUST MARK ☑ or ☒ in ALL BOXES BELOW that apply.

- **A. Wage Requirements Compliance**

  This Contractor, as a “covered employer”, must comply with the requirements under Montgomery County Code Section 11B-33A, “Wage Requirements” (“Wage Requirements Law” or “WRL”). Contractor and its subcontractors must pay all employees not exempt under the WRL, and who perform direct measurable work for the County, the required gross wage rate effective at the time the work is performed. For employees who are not paid an hourly wage, Contractor’s compliance with the WRL must be measured by dividing the amount paid to the employee each pay period by the number of hours worked by that employee during each pay period. A covered employer must not make any deduction for any item necessary for an employee to perform the essential job function unless the deduction is permitted by Executive Regulation. The offer price(s) submitted under this solicitation include(s) sufficient funds to meet the requirements of the WRL. A “covered employer” must submit, within 14 days after the end of each quarter (by the 14th of January, April, July, and October, for the quarter ending the preceding month), certified payroll records for each payroll period and for all employees of the contractor or a subcontractor performing services under the County contract governed by the WRL. The payroll records must contain a statement signed by the contractor or subcontractor certifying that the payroll records are correct and the wage rates paid are not less than those required by the WRL. These payroll records must include the following: name, address and telephone number of the contractor or subcontractor; the name and location of the job; and each employee’s name, current home address, daily straight time and overtime hours, total straight time and overtime hours for the payroll period, rate of pay, fringe benefits by type and amount, gross wages, race and gender of the employee, and the employer and the employee share of any health insurance premium provided to the employee. The Contractor must ensure that NO Social Security number of any person, other than the last four digits, is included on the quarterly report. A sample, blank Payroll Report Form, for your use and completion, can be found at: [http://www.montgomerycountymd.gov/PRO/DBRC/WRL.html](http://www.montgomerycountymd.gov/PRO/DBRC/WRL.html). The above must be submitted to the
Each Contractor must: keep payroll records covering work performed on a contract covered by the WRL for not less than 5 years after the work is completed; and, subject to reasonable notice, permit the County to inspect the payroll records at any reasonable time and as often as the County deems necessary. If the Contractor or subcontractor fails to submit, or is late in submitting, copies of any payroll record or other report required to be submitted under the WRL, the County may deem invoices unacceptable until the Contractor or subcontractor provides the required records or reports, and may postpone processing payments due under the contract or under an agreement to finance the contract. A violation of the WRL, including the late submission or non-submission of the information noted above, may result in action by the County, including: (a) withholding contract payments, reducing payment amounts, or otherwise assessing damages against Contractor, in an amount sufficient to: (i) pay each employee of the Contractor or subcontractor the full amount of wages due under the WRL; (ii) reimburse the County for audit costs; or (iii) satisfy a liability of a contractor or subcontractor for liquidated damages; (b) terminating the contract; or, (c) otherwise taking action to enforce the contract or the WRL. Violation of the WRL may also result in a finding of non-responsibility for a future contract, or may form the basis for debarment or suspension.

B. Exemption Status (if applicable)

This Contractor is exempt from Section 11B-33A, “Wage Requirements,” because it is:

1. Reserved – [Intentionally left blank].
2. a contractor who, at the time a contract is signed, has received less than $50,000 from the County in the most recent 12-month period, and will be entitled to receive less than $50,000 from the County under that contract in the next 12-month period. Section 11B-33A (b)(1).
3. a public entity. Section 11B-33A (b)(2).
4. a non-profit organization that has qualified for an exemption from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Section 11B-33A (b)(3) (must complete item C below).
5. an employer expressly precluded from complying with the WRL by the terms of any federal or state law, contract, or grant. Section 11B-33A (b)(7) (must specify the law, or furnish a copy of the contract or grant).

C. Nonprofit Wage & Health Information

This Contractor is a non-profit organization that is exempt from coverage under Section 11B-33A (b)(3). Accordingly, the contractor has completed the 501 (c)(3) Nonprofit Organization’s Employee’s Wage and Health Insurance Form, which is attached. See Section11B-33A (c)(2). Also, the contractor must provide proof of its 501(c)(3) status (i.e. Letter from the IRS).

D. Nonprofit’s Comparison Price(s) (if desired)

This Contractor is a non-profit organization that is opting to pay its covered employees the hourly rate specified in the wage requirements. Accordingly, Contractor is duplicating the blanket-cost quotation sheet on which it is submitting its price(s) in the Solicitation, and is submitting on this duplicate form its price(s) to the County had it not opted to pay its employees the hourly rate specified in the WRL. For proposal evaluation purposes, this price(s) will be compared to price(s) of another nonprofit organization(s) that is paying its employees an amount consistent with its exemption from paying the hourly rate under the WRL. This revised information on the duplicate cost sheet must be clearly marked as your nonprofit organization comparison price(s). In order for the County to compare your price(s), the revised information on the duplicate cost sheet must be submitted with your offer on or before the offer opening date, and must show how the difference
between your nonprofit organization price(s) and other organization comparison price(s) was calculated. Section 11B-33A (c)(2).

☐ E. Sole Proprietorship

Sole Proprietorships are subject to the WRL. In order to be excused from the posting and reporting requirements of the WRL, the individual who is the sole proprietor must sign the certifications below in order to attest to the fact that the Sole Proprietorship:

1. is aware of, and will comply with, the WRL, as applicable;
2. has no employee other than the sole proprietor; and
3. will inform the Montgomery County Division of Business Relations and Compliance if the sole proprietor employs any worker other than the sole proprietor.

Contractor Certification

CONTRACTOR SIGNATURE: Contractor submits this certification form in accordance with Section 11B-33A of the Montgomery County Code. Contractor certifies that it, and any and all of its subcontractors that perform services under the resultant contract with the County, adhere to Section 11B-33A of the Montgomery County Code.

<table>
<thead>
<tr>
<th>Authorized Signature</th>
<th>Title of Authorized Person</th>
</tr>
</thead>
<tbody>
<tr>
<td>Typied or Printed Name</td>
<td>Date</td>
</tr>
</tbody>
</table>
Please provide below the employee labor category of each employee(s) who will perform direct measurable work under this contract, the hourly wage the organization pays for that employee labor category, and any health insurance the organization intends to provide for that employee labor category. This information is collected for statistical reporting purposes only.

<table>
<thead>
<tr>
<th>Employee Labor Category</th>
<th>Wage per Hour</th>
<th>Name of Health Insurance Provider(s) and Plan Name* (e.g. ABC Insurer, Inc., HMO Medical and Dental)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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* IF NO HEALTH INSURANCE PLAN IS PROVIDED PLEASE STATE “NONE”.

6 of 6
It is the policy of the County to recruit actively, minority-owned businesses to provide goods and services to perform governmental functions pursuant to Section 11B-57 of the County Code. Minority-owned businesses are described in County law as Minority/Female/Disabled Person owned businesses (MFD). MFD businesses include certain non-profit entities organized to promote the interests of persons with a disability demonstrating (on a contract by contract basis) that at least 51% of the persons used by the non-profit entity to perform the services or manufacture the goods contracted for by the County, are persons with a disability. MFD firms also include those firms that are 51% owned, controlled and managed by one or more members of a socially or economically disadvantaged minority group, which include African Americans who are not of Hispanic origin, Hispanic Americans, Native Americans, Asian Americans, Women and Mentally or Physically Disabled Persons.

Section 7 - “Minority Contracting”, Montgomery County Procurement Regulations specifies the procedure to be followed and will govern the evaluation of offers received pursuant to this solicitation. A copy of Section 7 of the Procurement Regulations is available upon request.

Prior to awarding contracts with a value of $50,000 or more, a prospective Contractor must demonstrate that a minimum percentage of the overall contract value as set by the County, will be subcontracted to certified MFD businesses. A decision as to whether the prospective Contractor has demonstrated a good faith effort to meet this subcontracting requirement will be made by the Director, Office of Procurement, or his/her designee, who may waive this requirement.

A sample of the MFD Report of payment Received is attached. This form is mailed to the MFD Subcontractor to complete for documentation of payment by the Prime Contractor. It is not to be completed by the Prime Contractor nor submitted with the MFD Subcontractor Performance Plan.

The Director, Office of Procurement, or his /her designee determines whether a waiver of MFD subcontracting would be appropriate, under Section 7.3.3.5 of the Procurement Regulations.

For further information regarding the MFD Business Program, please contact the MFD Program Manager, Division of Business Relations and Compliance at (240) 777-9912.

Offerors are encouraged (but not required) to complete the following:

I hereby represent that this is a Minority Business firm as indicated below (CIRCLE ONE):

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<tr>
<th>AFRICAN AMERICAN</th>
<th>ASIAN AMERICAN</th>
<th>DISABLED PERSON</th>
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<td>HISPANIC AMERICAN</td>
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Attach one of the following certification documents from: Maryland Department of Transportation (MDOT); Federal SBA 8(a); MD/DC Minority Supplier Development Council, Women’s Business Enterprise National Council; or City of Baltimore.
MONTGOMERY COUNTY, MARYLAND
MINORITY, FEMALE, DISABLED PERSON SUBCONTRACTOR
PERFORMANCE PLAN

A. Individual assigned by Contractor to ensure Contractor's compliance with MFD Subcontractor Performance Plan:

Name: ____________________________________________
Title: ____________________________________________
Address: __________________________________________
City: __________________________ State: ________ Zip: ________
Phone Number: __________ Fax: __________ Email: __________

B. This Plan covers the life of the contract from contract execution through the final contract expiration date.

C. The percentage of total contract dollars, including modifications and renewals, to be paid to all certified minority owned business subcontractors, is ________% of the total dollars awarded to Contractor.

D. Each of the following certified minority owned businesses will be paid the percentage of total contract dollars indicated below as a subcontractor under the contract.

I hereby certify that the business(s) listed below are certified by one of the following: Maryland Department of Transportation (MDOT); Federal SBA (8A); MD/DC Minority Supplier Development Council (MSDC); Women’s Business Enterprise National Council (WBENC); or City of Baltimore.

A Certification Letter must be attached.

For assistance, call 240-777-9912.
1. Certified by: ________________________________________________________________

  Subcontractor
  Name: ____________________________________________________________
  Title: ________________________________________________________________
  Address: ___________________________ ___________________________ State: ______ Zip: ______
  City: ______________________ Phone Number: __________ Fax Number: __________ Email: __________

  CONTACT PERSON: ________________________________________________________

  Circle MFD Type:
  AFRICAN AMERICAN  ASIAN AMERICAN  DISABLED PERSON
  FEMALE  HISPANIC AMERICAN  NATIVE AMERICAN

  The percentage of total contract dollars to be paid to this subcontractor: ________________________________

  This subcontractor will provide the following goods and/or services:
  ______________________________________________________________________________

________________________________________________________________

2. Certified by: ________________________________________________________________

  Subcontractor
  Name: ____________________________________________________________
  Title: ________________________________________________________________
  Address: ___________________________ ___________________________ State: ______ Zip: ______
  City: ______________________ Phone Number: __________ Fax Number: __________ Email: __________

  CONTACT PERSON: ________________________________________________________

  Circle MFD Type:
  AFRICAN AMERICAN  ASIAN AMERICAN  DISABLED PERSON
  FEMALE  HISPANIC AMERICAN  NATIVE AMERICAN
The percentage of total contract dollars to be paid to this subcontractor: 

This subcontractor will provide the following goods and/or services: 

3. Certified by: 
Subcontractor Name: 
Title: 
Address: 
City: State: Zip: 
Phone Number: Fax Number: Email: 
CONTACT PERSON: 

Circle MFD Type: 
AFRICAN AMERICAN ASIAN AMERICAN DISABLED PERSON 
FEMALE HISPANIC AMERICAN NATIVE AMERICAN 

The percentage of total contract dollars to be paid to this subcontractor: 

This subcontractor will provide the following goods and/or services: 

4. Certified By: 
Subcontractor Name: 
Title: 
Address: 
City: State: Zip: 
Phone Number: Fax Number: Email: 
CONTACT PERSON: 

Circle MFD Type: 
AFRICAN AMERICAN ASIAN AMERICAN DISABLED PERSON
E. The following language will be inserted in each subcontract with a certified minority owned business listed in D above, regarding the use of binding arbitration with a neutral arbitrator to resolve disputes with the minority owned business subcontractor; the language must describe how the costs of dispute resolution will be apportioned:

F. Provide a statement below, or on a separate sheet, that summarizes maximum good faith efforts achieved, and/or the intent to increase minority participation throughout the life of the contract or the basis for a full waiver request.

G. A full waiver request must be justified and attached.

Full Waiver Approved: [Date]  Partial Waiver Approved: [Date]
MFD Program Officer  MFD Program Officer
Full Waiver Approved: [Date]  Partial Waiver Approved: [Date]
Director  Director
Cherri Branson  Cherri Branson
Office of Procurement  Office of Procurement

The Contractor submits this MFD Subcontractor Performance Plan (Plan Modification No. ) in accordance with the Minority Owned Business Addendum to General Conditions of Contract between County and Contractor.

CONTRACTOR SIGNATURE
USE ONE:
1. TYPE CONTRACTOR’S
   NAME: ________________________________

   Signature
   ________________________________

   Typed Name
   ________________________________

   Date
   ________________________________

2. TYPE CORPORATE CONTRACTOR’S
   NAME: ________________________________

   Signature
   ________________________________

   Typed Name
   ________________________________

   Date
   ________________________________

I hereby affirm that the above named person is a corporate officer or a designee empowered to
sign contractual agreements for the corporation.

Signature

Typed Name

Title

Date

APPROVED:

Cherri Branson, Director, Office of Procurement ________________________________

Date ________________________________

Section 7.3.3.4(a) of the Procurement Regulations requires:
The Contractor must notify the Director, Office of Procurement of any proposed change to the
Subcontractor Performance Plan.
(SAMPLE) HHS Task Order Proposal Request (TOPR)

TOPR Number: (XX-Contract Number)

Task Order Title: Chronic Disease Self-Management Program

Using Department: Department of Health and Human Services

Reference Contract: (enter the Contract Number)

Contract Administrator: Tina Purser Langley

TOPR Release Date: (date the TOPR will be sent to the Contractor)

Closing Date/Time: (date and time the TOPR is due)

Approximate Start Date: (Approximate start date for the task)

Quotation Deliverables: ALL WORK MUST BE PERFORMED IN ACCORDANCE WITH THE ABOVE REFERENCED CONTRACT

Scope of Work: (County enters Scope of Work here)

Please include the following in the Task Order Proposal:

☐ Task Order Proposal Number
☐ Experience with delivery of the Chronic Disease Self-Management Program
☐ Narrative of how scope of services will be met including specified timeline
☐ Budget
☐ Signature of authorized representative of the submitting entity

NOTE: Task Orders must specify when goods or deliverable are due, or a timeframe for performance of services, (e.g., by date certain, or a fixed number of hours, or a certain number of hours per week, until assignment, or project completion.

NOTE: This Task Order Proposal Request is a sample to be modified by the County agency requesting the Task Order Proposal.
ATTACHMENT F

BUSINESS ASSOCIATE AGREEMENT

This Business Associate Agreement (the “Agreement”) is made by and between Montgomery County, Maryland (hereinafter referred to as “Covered Entity”), and __________________________________ (hereinafter referred to as “Business Associate”). Covered Entity and Business Associate shall collectively be known herein as the “Parties.”

I. GENERAL

A. Covered Entity has a business relationship with Business Associate that is memorialized in Montgomery County Contract # _____________ (the “Underlying Agreement”), pursuant to which Business Associate may be considered a “business associate” of Covered Entity as defined in the Health Insurance Portability and Accountability Act of 1996, including all pertinent regulations (45 CFR Parts 160 and 164), issued by the U.S. Department of Health and Human Services, including Subtitle D of the Health Information Technology for Economic and Clinical Health Act (the “HITECH Act”), as codified in Title XIII of Division A and Title IV of Division B of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111–5), and including any and all applicable Privacy, Security, Enforcement, or Notice (Breach Notification) Rules or requirements (collectively, “HIPAA”), as all are amended from time to time; and

B. The performance of the Underlying Agreement may involve the creation, exchange, or maintenance of Protected Health Information (“PHI”) as that term is defined under HIPAA; and

C. For good and lawful consideration as set forth in the Underlying Agreement, Covered Entity and Business Associate enter into this Agreement for the purpose of ensuring compliance with the requirements of HIPAA; and

D. This Agreement articulates the obligations of the Parties as to use and disclosure of PHI. It does not affect Business Associate’s obligations to comply with the the Maryland Confidentiality of Medical Records Act (Md. Code Ann., Health-General I §§4-301 et seq.) (“MCMRA”) or other applicable law with respect to any information the County may disclose to Business Associate as part of Business Associate’s performance of the Underlying Agreement; and

E. This Agreement supersedes and replaces any and all Business Associate Agreements the Covered Entity and Business Associate may have entered into prior to the date hereof; and

F. The above premises having been considered and incorporated by reference into the sections below, the Parties, intending to be legally bound, agree as follows:

II. DEFINITIONS.

A. The terms used in this Agreement have the same meaning as the definitions of those terms in HIPAA. In the absence of a definition in HIPAA, the terms have their commonly understood meaning.
B. Consistent with HIPAA, and for ease of reference, the Parties expressly note the definitions of the following terms:

1. “Breach” is defined at 45 CFR § 164.402.

2. “Business Associate” is defined at 45 CFR § 160.103, and in reference to the party to this Agreement, shall mean ____________________________.

3. “Covered Entity” is defined at 45 CFR § 160.103, and in reference to the party to this Agreement, shall mean the County.

4. “Designated Record Set” is defined at 45 CFR §164.501.

5. “Individual” is defined at 45 CFR §§ 160.103, 164.501 and 164.502(g), and includes a person who qualifies as a personal representative.

6. “Protected Health Information” or “PHI” is defined at 45 CFR § 160.103.

7. “Required By Law” is defined at 45 CFR § 164.103.

8. “Secretary” means the Secretary of the U.S. Department of Health and Human Services or designee.

9. “Security Incident” is defined at 45 CFR § 164.304.

10. “Unsecured Protected Health Information” or “Unsecured PHI” means PHI that is not rendered unusable, unreadable, or indecipherable to unauthorized persons through the use of a technology or methodology, as specified by the Secretary in the guidance as noted under the HITECH Act, section 13402(h)(1) and (2) of Public Law 111-5, codified at 42 U.S.C. § 17932(h)(1) and (2), and as specified by the Secretary in 45 CFR 164.402.

III. PERMISSIBLE USE AND DISCLOSURE OF PHI

A. Except as otherwise limited in this Agreement, or by privilege, protection, or confidentiality under HIPAA, MCMRA, or other applicable law, Business Associate may use or disclose (including permitting acquisition or access to) PHI to perform applicable functions, activities, or services for, or on behalf of, Covered Entity as specified in the Underlying Agreement. Moreover, the provisions of HIPAA are expressly incorporated by reference into, and made a part of, this Agreement.

B. Business Associate may use or disclose (including permitting acquisition or access to) PHI only as permitted or required by this Agreement or as Required By Law.

C. Business Associate is directly responsible for full compliance with the relevant requirements of HIPAA.
ATTACHMENT F

D. Business Associate must not use or disclose (including permitting acquisition or access to) PHI other than as permitted or required by this Agreement or HIPAA, and must use or disclose PHI only in a manner consistent with HIPAA. As part of this, Business Associate must use appropriate safeguards to prevent use or disclosure of PHI that is not permitted by this Agreement or HIPAA. Furthermore, Business Associate must take reasonable precautions to protect PHI from loss, misuse, and unauthorized access, disclosure, alteration, and destruction.

E. Business Associate must implement and comply with administrative, physical, and technical safeguards governing the PHI, in a manner consistent with HIPAA, that reasonably and appropriately protect the confidentiality, integrity, and availability of the PHI that it creates, receives, maintains, or transmits on behalf of Covered Entity.

F. Business Associate must immediately notify Covered Entity, in a manner consistent with HIPAA, of: (i) any use or disclosure of PHI not provided for by this Agreement, including a Breach of PHI of which it knows or by exercise of reasonable diligence would have known, as required at 45 CFR §164.410; and, (ii) any Security Incident of which it becomes aware as required at 45 CFR §164.314(a)(2)(i)(C). Business Associate’s notification to Covered Entity required by HIPAA and this Section III.F must:

1. Be made to Covered Entity without unreasonable delay and in no case later than 14 calendar days after Business Associate: a) knows, or by exercising reasonable diligence would have known, of a Breach, b) becomes aware of a Security Incident, or c) becomes aware of any use or disclosure of PHI not provided for by this Agreement;

2. Include the names and addresses of the Individual(s) whose PHI is the subject of a Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement. In addition, Business Associate must provide any additional information reasonably requested by Covered Entity for purposes of investigating the Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement;

3. Be in substantially the same form as Exhibit A hereto;

4. Include a brief description of what happened, including the date of the Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement, if known, and the date of the discovery of the Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement;

5. Include a description of the type(s) of Unsecured PHI that was involved in the Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement (such as full name, Social Security number, date of birth, home address, account number, disability code, or other types of information that were involved);

6. Identify the nature and extent of the PHI involved, including the type(s) of identifiers and the likelihood of reidentification;

7. If known, identify the unauthorized person who used or accessed the PHI or to whom the disclosure was made;

June 3, 2015
ATTACHMENT F

8. Articulate any steps the affected Individual(s) should take to protect him or herself from potential harm resulting from the Breach, Security Incident, or use or disclosure of PHI not permitted by this Agreement;

9. State whether the PHI was actually acquired or viewed;

10. Provide a brief description of what the Covered Entity and the Business Associate are doing to investigate the Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement, to mitigate losses, and to protect against any further Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement;

11. Note contact information and procedures for an Individual(s) to ask questions or learn additional information, which must include a toll-free telephone number of Business Associate, along with an e-mail address, Web site, or postal address; and

12. Include a draft letter for the Covered Entity to utilize, in the event Covered Entity elects, in its sole discretion, to notify the Individual(s) that his or her PHI is the subject of a Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement that includes the information noted in Section III.F.4 – III.F.11 above.

G. Business Associate must, and is expected to, directly and independently fulfill all notification requirements under HIPAA.

H. In the event of a Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement, Business Associate must mitigate, to the extent practicable, any harmful effects of said disclosure that are known to it.

I. In accordance with 45 CFR §§ 164.502(e)(1)(ii) and 164.308(b)(2), Business Associate agrees to ensure that any agent, subcontractor, or employee to whom it provides PHI (received from, or created or received by, Business Associate on behalf of Covered Entity) agrees to the same restrictions, conditions, and requirements that apply through this Agreement to Business Associate with respect to such information.

J. Business Associate must ensure that any contract or other arrangement with a subcontractor meets the requirements of paragraphs 45 CFR §164.314(a)(2)(i) and (a)(2)(ii) required by 45 CFR § 164.308(b)(3) between a Business Associate and a subcontractor, in the same manner as such requirements apply to contracts or other arrangements between a Covered Entity and Business Associate.

K. Pursuant to 45 CFR § 164.502(a)(4)(ii), Business Associate must disclose PHI to the Covered Entity, Individual, or Individual's designee, as necessary to satisfy a Covered Entity's obligations under § 164.524(c)(2)(ii) and (3)(ii) with respect to an individual's request for an electronic copy of PHI.

L. To the extent applicable, Business Associate must provide access to PHI in a Designated Record Set at reasonable times, at the request of Covered Entity or as directed by June 3, 2015
Covered Entity, to an Individual specified by Covered Entity in order to meet the requirements under 45 CFR § 164.524.

M. A Business Associate that is a health plan, excluding an issuer of a long-term care policy falling within paragraph (1)(viii) of the definition of health plan, must not use or disclose PHI that is genetic information for underwriting purposes, in accordance with the provisions of 45 CFR 164.502.

N. To the extent applicable, Business Associate must make any amendment(s) to PHI in a Designated Record Set that Covered Entity directs or agrees to, pursuant to 45 CFR § 164.526, at the request of Covered Entity or an Individual.

O. Business Associate must, upon request with reasonable notice, provide Covered Entity access to its premises for a review and demonstration of its internal practices and procedures for safeguarding PHI.

P. Business Associate must, upon request and with reasonable notice, furnish to Covered Entity security and privacy audit results, risk analyses, security and privacy policies and procedures, details of previous Breaches and Security Incidents, and documentation of controls.

Q. Business Associate must also maintain records indicating who has accessed PHI about an Individual in an electronic designated record set and information related to such access, in accordance with 45 C.F.R. § 164.528. Business Associate must document such disclosures of PHI and information related to such disclosures as would be required for a Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528. Should an Individual make a request to Covered Entity for an accounting of disclosures of his or her PHI pursuant to 45 C.F.R. § 164.528, Business Associate must promptly provide Covered Entity with information in a format and manner sufficient to respond to the Individual's request.

R. Business Associate must, upon request and with reasonable notice, provide Covered Entity with an accounting of uses and disclosures of PHI that was provided to it by Covered Entity.

S. Business Associate must make its internal practices, books, records, and any other material requested by the Secretary relating to the use, disclosure, and safeguarding of PHI received from Covered Entity available to the Secretary for the purpose of determining compliance with HIPAA. Business Associate must make the aforementioned information available to the Secretary in the manner and place as designated by the Secretary or the Secretary's duly appointed delegate. Under this Agreement, Business Associate must comply and cooperate with any request for documents or other information from the Secretary directed to Covered Entity that seeks documents or other information held or controlled by Business Associate.

T. Business Associate may use PHI to report violations of law to appropriate Federal and State authorities, consistent with 42 C.F.R. § 164.502(j)(1).

U. Except as otherwise limited in this Agreement, Business Associate may disclose PHI for the proper management and administration of Business Associate or the Underlying
Agreement, provided that disclosures are Required By Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and be used or further disclosed only as Required By Law or for the limited purpose for which it was disclosed to the person, and the person must agree to notify Business Associate of any instance of any Breach, Security Incident, or use or disclosure of PHI not provided for by this Agreement of which it is aware in which the confidentiality of the information has been breached.

V. Business Associate understands that, pursuant to 45 CFR § 160.402, the Business Associate is liable, in accordance with the Federal common law of agency, for a civil money penalty for a violation of the HIPAA rules based on the act or omission of any agent of the Business Associate, including a workforce member or subcontractor, acting within the scope of the agency.

IV. TERM AND TERMINATION.

A. Term. The Term of this Agreement shall be effective as of the effective date of the Underlying Agreement, and shall terminate: (1) when all of the PHI provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity; or, (2) if it is infeasible to return or destroy PHI, in accordance with the termination provisions in this Article IV.

B. Termination for Cause. Upon Covered Entity's knowledge of a material breach of this Agreement by Business Associate, Covered Entity shall:

1. Provide an opportunity for Business Associate to cure the breach or end the violation and, if Business Associate does not cure the breach or end the violation within the time specified by Covered Entity, have the right to terminate this Agreement and to terminate the Underlying Agreement, and shall report the violation to the Secretary;

2. Have the right to immediately terminate this Agreement and the Underlying Agreement if Business Associate has breached a material term of this Agreement and cure is not possible, and shall report the violation to the Secretary; or

3. If neither termination nor cure is feasible, report the violation to the Secretary.

4. This Article IV, Term and Termination, Paragraph B, is in addition to the provisions set forth in Paragraph 27, Termination for Default of the General Conditions of Contract Between County and Contractor, attached to the Underlying Agreement, in which “Business Associate” is “Contractor” and “Covered Entity” is “County” for purposes of this Agreement.

C. Effect of Termination.

1. Except as provided in Section IV.C.2, upon termination or cancellation of this Agreement, for any reason, Business Associate must return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of
ATTACHMENT F

Covered Entity. This provision applies to PHI that is in the possession of a subcontractor(s), employee(s), or agent(s) of Business Associate. Business Associate must not retain any copies of the PHI.

2. In the event that Business Associate determines that returning or destroying the PHI is infeasible, Business Associate must provide to Covered Entity written notification of the nature of the PHI and the conditions that make return or destruction infeasible. After written notification that return or destruction of PHI is infeasible, Business Associate must extend the protections of this Agreement to such PHI and limit further use(s) and disclosure(s) of such PHI to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such PHI. Notwithstanding the foregoing, to the extent that it is not feasible to return or destroy such PHI, the terms and provisions of this Agreement survive termination of this Agreement with regard to such PHI.

3. Should Business Associate violate this Agreement, HIPAA, the Underlying Agreement, the MCMRA, or other applicable law, Covered Entity has the right to immediately terminate any contract then in force between the Parties, including the Underlying Agreement.

V. CONSIDERATION. Business Associate recognizes that the promises it has made in this Agreement shall, henceforth, be reasonably, justifiably, and detrimentally relied upon by Covered Entity in choosing to continue or commence a business relationship with Business Associate.

VI. CAUSES OF ACTION IN THE EVENT OF BREACH. As used in this paragraph, the term “breach” has the meaning normally ascribed to that term under the Maryland law related to contracts, as opposed to the specific definition under HIPAA related to PHI. Business Associate hereby recognizes that irreparable harm will result to Covered Entity in the event of breach by Business Associate of any of the covenants and assurances contained in this Agreement. As such, in the event of breach of any of the covenants and assurances contained in this Agreement, Covered Entity shall be entitled to enjoin and restrain Business Associate from any continued violation of this Agreement. Furthermore, in the event of breach of this Agreement by Business Associate, Covered Entity is entitled to reimbursement and indemnification from Business Associate for Covered Entity's reasonable attorneys’ fees and expenses and costs that were reasonably incurred as a proximate result of Business Associate's breach. The causes of action contained in this Article VI are in addition to (and do not supersede) any action for damages and/or any other cause of action Covered Entity may have for breach of any part of this Agreement. Furthermore, these provisions are in addition to the provisions set forth in Paragraph 18, “Indemnification”, of the General Conditions of Contract Between County and Contractor, attached to the Underlying Agreement in which “Business Associate” is “Contractor” and “Covered Entity” is “County”, for purposes of this Agreement.

VII. MODIFICATION; AMENDMENT. This Agreement may be modified or amended only through a writing signed by the Parties and, thus, no oral modification or amendment hereof shall be permitted. The Parties agree to take such action as is necessary
to amend this Agreement, from time to time, as is necessary for Covered Entity to comply with the requirements of HIPAA, including its Privacy, Security, and Notice Rules.

VIII. INTERPRETATION OF THIS AGREEMENT IN RELATION TO OTHER AGREEMENTS BETWEEN THE PARTIES. Should there be any conflict between the language of this Agreement and any other contract entered into between the Parties (either previous or subsequent to the date of this Agreement), the language and provisions of this Agreement, along with the Underlying Agreement, shall control and prevail unless the Parties specifically refer in a subsequent written agreement to this Agreement, by its title, date, and substance and specifically state that the provisions of the later written agreement shall control over this Agreement and Underlying Agreement. In any event, any agreement between the Parties, including this Agreement and Underlying Agreement, must be in full compliance with HIPAA, and any provision in an agreement that fails to comply with HIPAA will be deemed separable from the document, unenforceable, and of no effect.

IX. COMPLIANCE WITH STATE LAW. The Business Associate acknowledges that by accepting the PHI from Covered Entity, it becomes a holder of medical records information under the MCMRA and is subject to the provisions of that law. If HIPAA conflicts with another applicable law regarding the degree of protection provided for Protected Health Information, Business Associate must comply with the more restrictive protection requirement.

X. MISCELLANEOUS.

A. Ambiguity. Any ambiguity in this Agreement shall be resolved to permit Covered Entity to comply with HIPAA.

B. Regulatory References. A reference in this Agreement to a section in HIPAA means the section in effect, or as amended.

C. Notice to Covered Entity. Any notice required under this Agreement to be given Covered Entity shall be made in writing to:

Joy Page, Esq.
Deputy Privacy Official
Montgomery County, Maryland
401 Hungerford Drive, 7th Floor
Rockville, Maryland 20850
(240) 777-3247 (Voice)
(240) 777-3099 (Fax)

Notice to Business Associate. Any notice required under this Agreement to be given Business Associate shall be made in writing to:

Address: ____________________________________________
_____________________________________________________
Attention: ____________________________________________
D. **Maryland Law.** This Agreement is governed by, and shall be construed in accordance with, applicable federal law and the laws of the State of Maryland, without regard to choice of law principles.

E. **Incorporation of Future Amendments.** Other requirements applicable to Business Associates under HIPAA are incorporated by reference into this Agreement.

F. **Penalties for HIPAA Violation.** In addition to that stated in this Agreement, Business Associate may be subject to civil and criminal penalties noted under HIPAA, including the same HIPAA civil and criminal penalties applicable to a Covered Entity.

SIGNATURE PAGE FOLLOWS
IN WITNESS WHEREOF and acknowledging acceptance and agreement of the foregoing, the Parties affix their signatures hereto.

MONTGOMERY COUNTY, MARYLAND

By: _______________________________  By: _______________________________
Name: _______________________________  Name: Raymond L. Crowel, Psy.D.
Title: _______________________________  Title: Director, Department of Health and 
Human Services
Date: _______________________________  Date: _______________________________
This notification is made pursuant to Section III.F of the Business Associate Agreement between:

- Montgomery County, Maryland, (the “County”) and
- _________________________________ (Business Associate).

Business Associate hereby notifies the County that there has been a Breach, Security Incident, or use or disclosure of PHI not provided for by the Business Associate Agreement (an “Incident”) that Business Associate has used or has had access to under the terms of the Business Associate Agreement.

Description of the Incident:

_______________________________________________________________ 
_____________________________________________________________________________________

Date of the Incident: _____________________________
Date of discovery of the Incident: __________________________

Does the Incident involve 500 or more individuals? Yes/No

If yes, do the people live in multiple states? Yes/No

Number of individuals affected by the Incident:

____________________________________________________________________________________

Names and addresses of individuals affected by the Incident:

(Attach additional pages as necessary)

The types of unsecured PHI that were involved in the Incident (such as full name, Social Security number, date of birth, home address, account number, or disability code):

____________________________________________________________________________________

Description of what Business Associate is doing to investigate the Incident, to mitigate losses, and to protect against any further Incidents:
Contact information to ask questions or learn additional information:

Name: _________________________________________________________________

Title: _________________________________________________________________

Address: ______________________________________________________________

______________________________________________________________

Email Address: _________________________________________________________

Phone Number: _________________________________________________________